

***TP d'observation M1***  
***Telescopic observations with***  
***CCD detectors***

**Stéphane Erard**

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## Selected references

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**Howell S. B.** (2006) Handbook of CCD astronomy (Cambridge, 2<sup>nd</sup> edition)

**Chromey F.R.** (2016) To measure the sky (Cambridge, 2<sup>nd</sup> edition)

**Shepard M.** (2017) Introduction to Planetary Photometry (Cambridge)

**Léna P.** et al (1996) = Observational Astrophysics (Springer)

= Méthodes physiques de l'observation (CNRS-Interéditions, 3rd ed)

**Ph. Massey** (2019) Observational astronomy: <http://www2.lowell.edu/users/massey/Observational.html>

**Glass I.S.** (1999) Handbook of infrared astronomy (Cambridge)

**Undergraduate / basics: Gallaway M.** (2020) An Introduction to Observational Astrophysics (Springer)

**Owocki S.** (2022) Fundamentals of Astrophysics (Cambridge)

**Martinez P. et Klotz A.** (1994) Le guide pratique de l'astronomie CCD (Adagio)

**Other docs from Master degree:**

<https://media4.obspm.fr/portail/>

<https://ufe.obspm.fr/Ressources-multimedia>

<https://media4.obspm.fr/> (may require registration)

+ see **M1 lectures** (instrumentation module) + See Meudon library (including online resources)

**Docs and tuto applets** (from suppliers)

E.g. [https://www.hamamatsu.com/sp/sys/en/camera\\_simulator/index.html](https://www.hamamatsu.com/sp/sys/en/camera_simulator/index.html)

<https://lot-qd.de/en/products/imaging/>

<https://www.princetoninstruments.com/learn/camera-fundamentals>

**Other docs related to the present lecture:** maybe somewhere under <https://moodle.psl.eu>



# Images

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## References of images used here:

<http://www.astrosurf.com/cidadao/> [& other sites on astrosurf.com]

<https://hantsastro.org.uk/gallery/showcat.php?cat=spectroscopy>

[http://www.cis.rit.edu/~ejipci/Reports/mcc\\_DIP\\_workshop.pdf](http://www.cis.rit.edu/~ejipci/Reports/mcc_DIP_workshop.pdf)

[http://astrophoto.fr/obstruction\\_fr.html](http://astrophoto.fr/obstruction_fr.html)

<http://users.polytech.unice.fr/~leroux/>

<https://unison.audio/dithering/>

M1/M2 lectures on instrumentation / image formation (M1 by S. Lacour)

Cours Optique et télescopes, found on various web sites (Riaud et al)

LHIREs doc: <https://www.shelyak.com/produit/lhires-iii/>

Spectro: <http://www.astrosurf.com/buil/us/spe2/hresol4.htm>

### *Optical :*

T1m / Meudon

T80 & T120 / OHP

T1m & TBL / OMP

AMIE / Smart-1, etc...

### *Infrared:*

NACO / VLT

SofI / NTT

TBL / OMP

VIRTIS / Rosetta

## Vade-mecum

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### To be optimised during acquisition

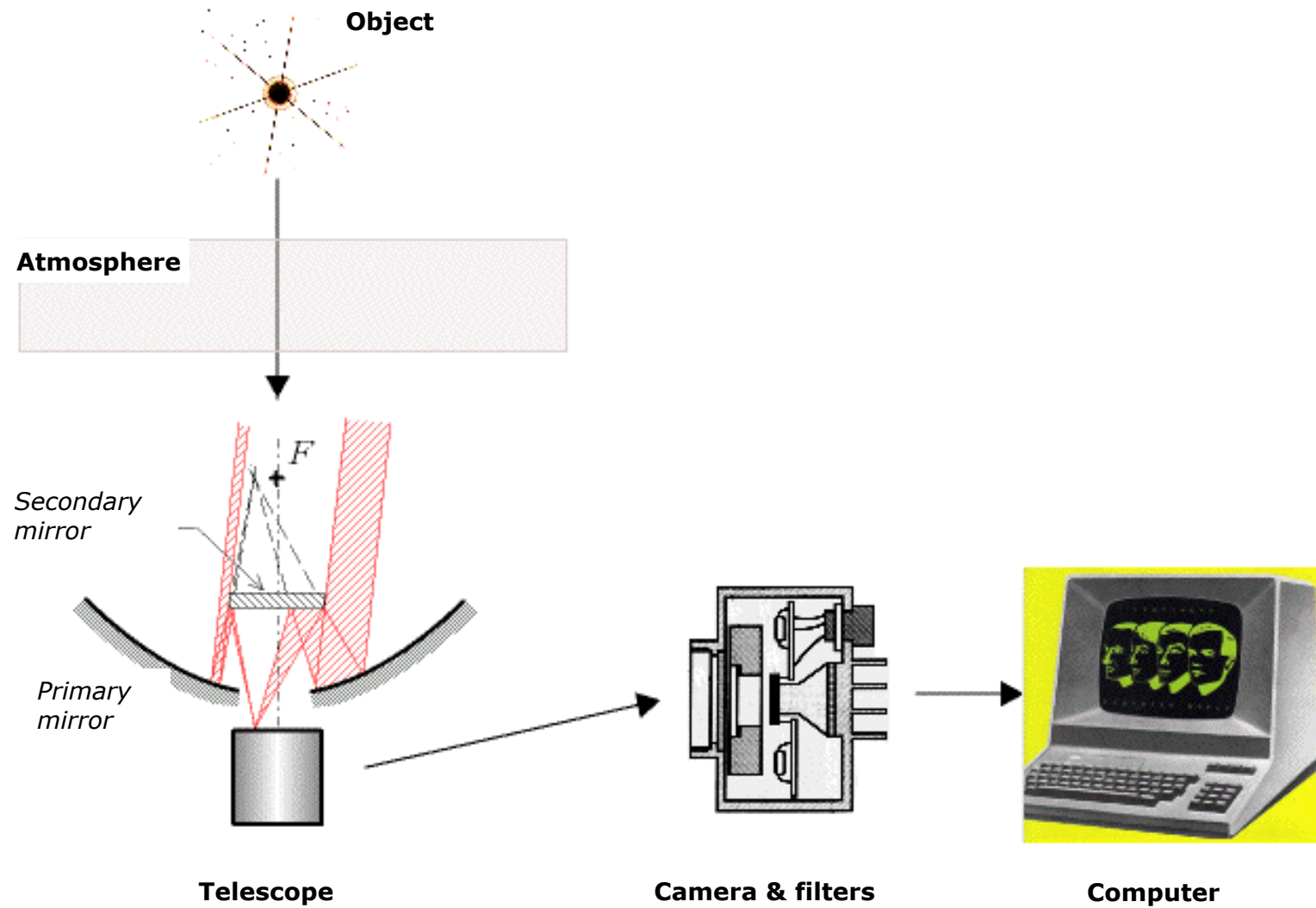
- **Observe targets close to the S meridian** (highest elevation / minimum airmass)
- **Binning** (minimises readout noise, if no loss of resolution)
- **Exposure time** (max signal, no saturation)
- **Don't forget to focus!** — Estimate seeing (qualifies turbulence)
- **Maintain observation log** / take notes (events, doubts, questions...)

### After the fact (by software)

- **Stacks + summing / median**  $\leq$  centre on object
- **Calibration**
- **Further processing**

# Acquisition process in astronomy imaging

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# Digital detectors in UV-Optical-NIR range

## Modern systems

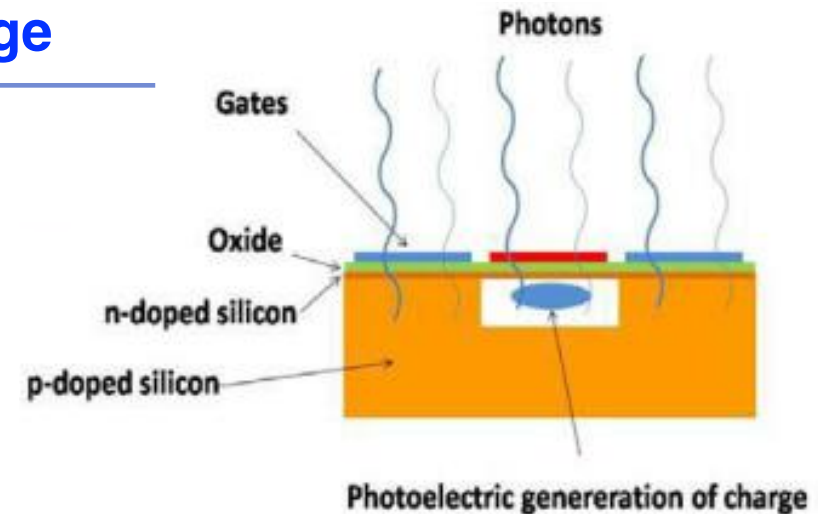
- **photosensitive digital detectors**  
(semiconductor photodiodes)

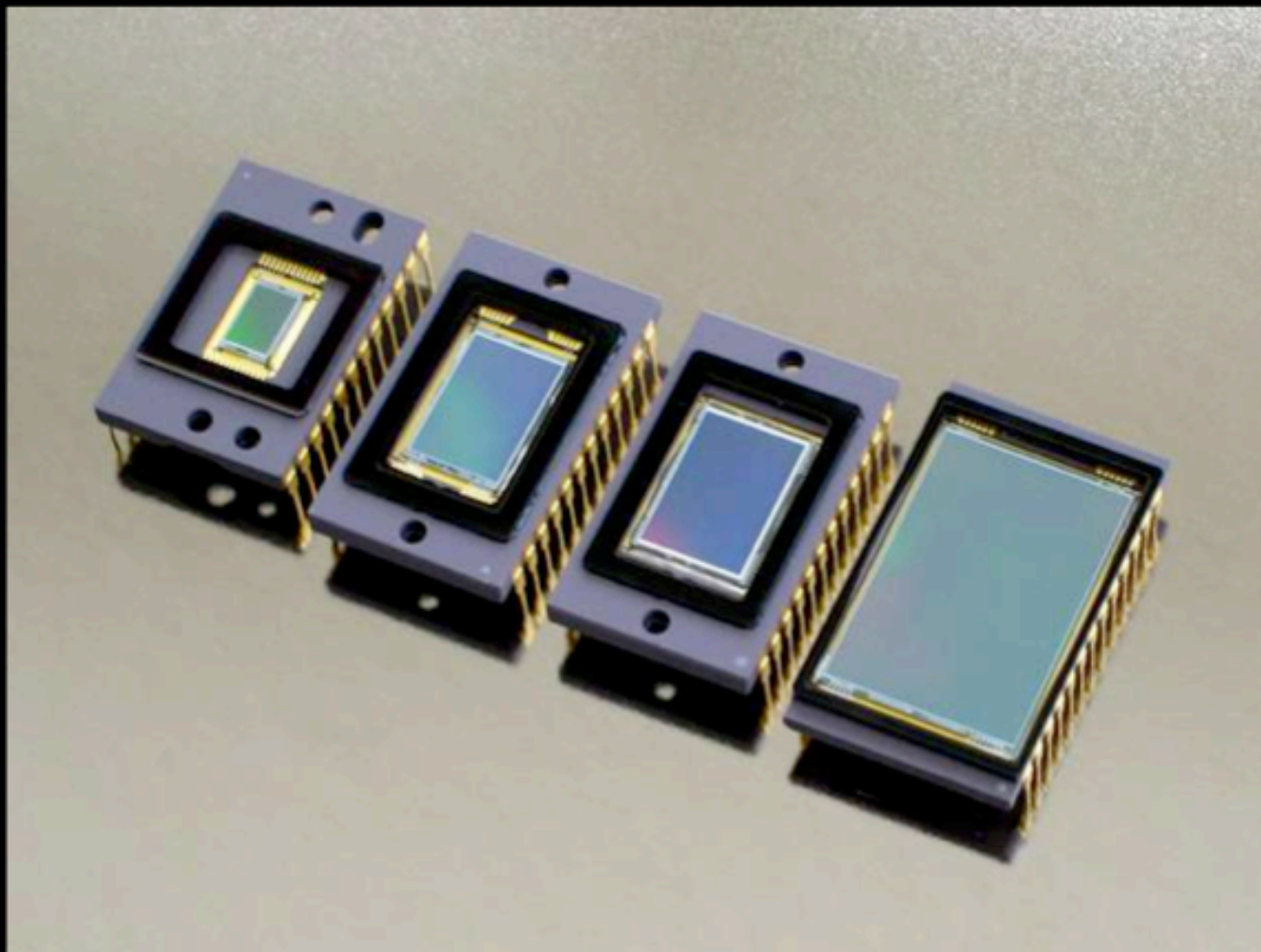
**Electric charges accumulate  
in each photosite, as a function  
of incident light flux**

- **Arrays of detectors, with readout and control electronics**  
Sizes = 256 x 256 to 2048 x 2048 (up to 10000 x 10000 in 2020)
- **Different types of detectors** (with different readout circuits):  
⇒ **CCD or CMOS in the optical range**  
equivalent systems (HgCdTe, InGaAs, etc) in the IR range

## Properties

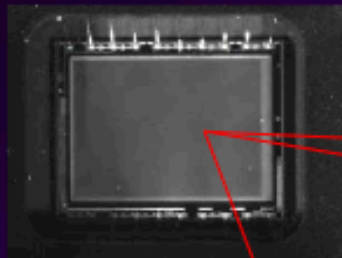
- **Efficient** (50-90 % photons detected vs ~5 % for photographic plates)
- **Quick readout** (no chemical processing)
- **Wide spectral range** (UV → 1  $\mu\text{m}$  for CCD, 1 → 6  $\mu\text{m}$  for IR arrays)
- **Good linearity** (nb of charges  $\propto$  nb of incident photons)





**Kodak Full Frame CCDs:** KAF-0402ME, KAF-1603ME, KAF-3200ME and KAF-6303E

# Magnified View of a CCD Array



**CCD**



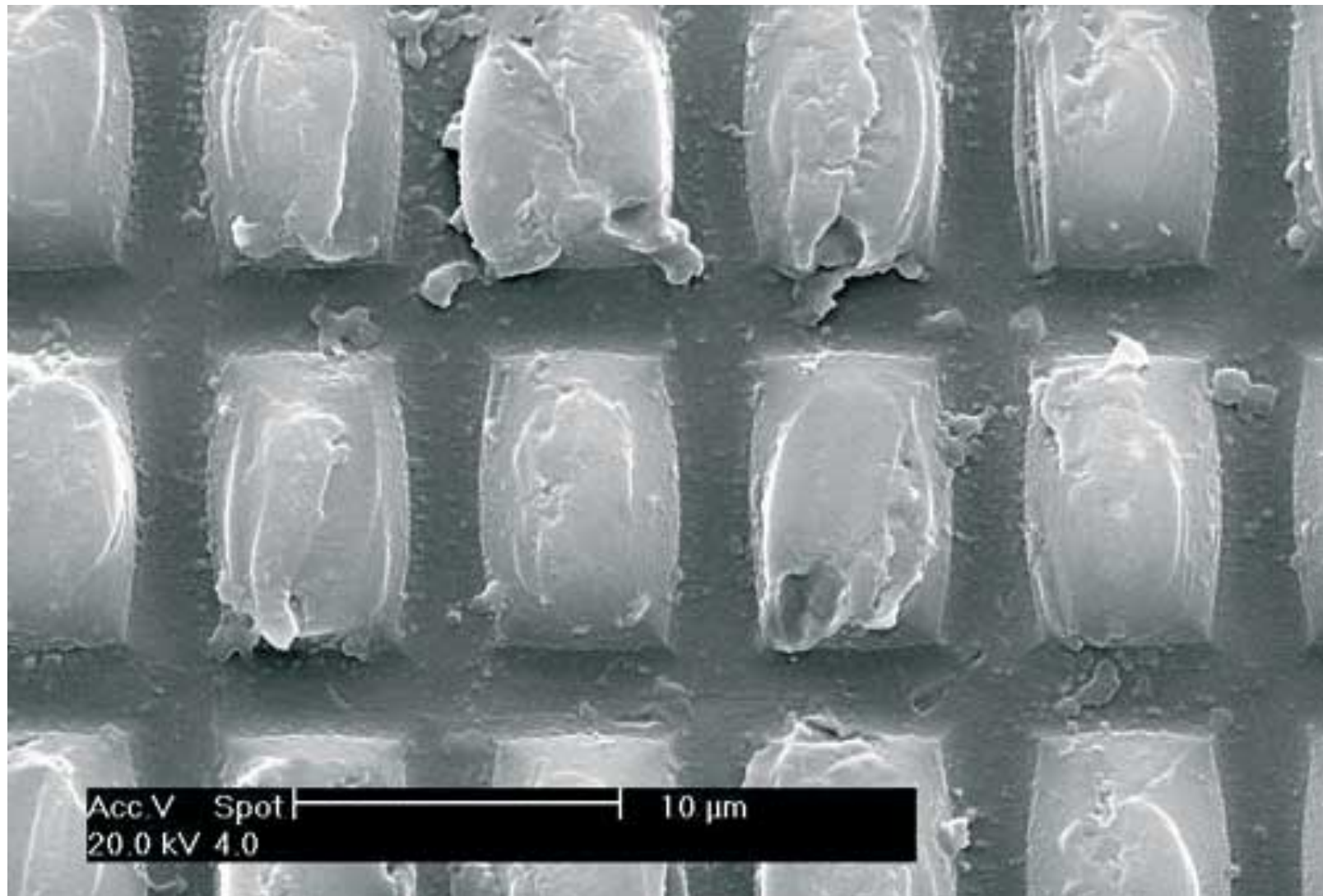
**Individual picture element**

**Close-up of a CCD Imaging Array**

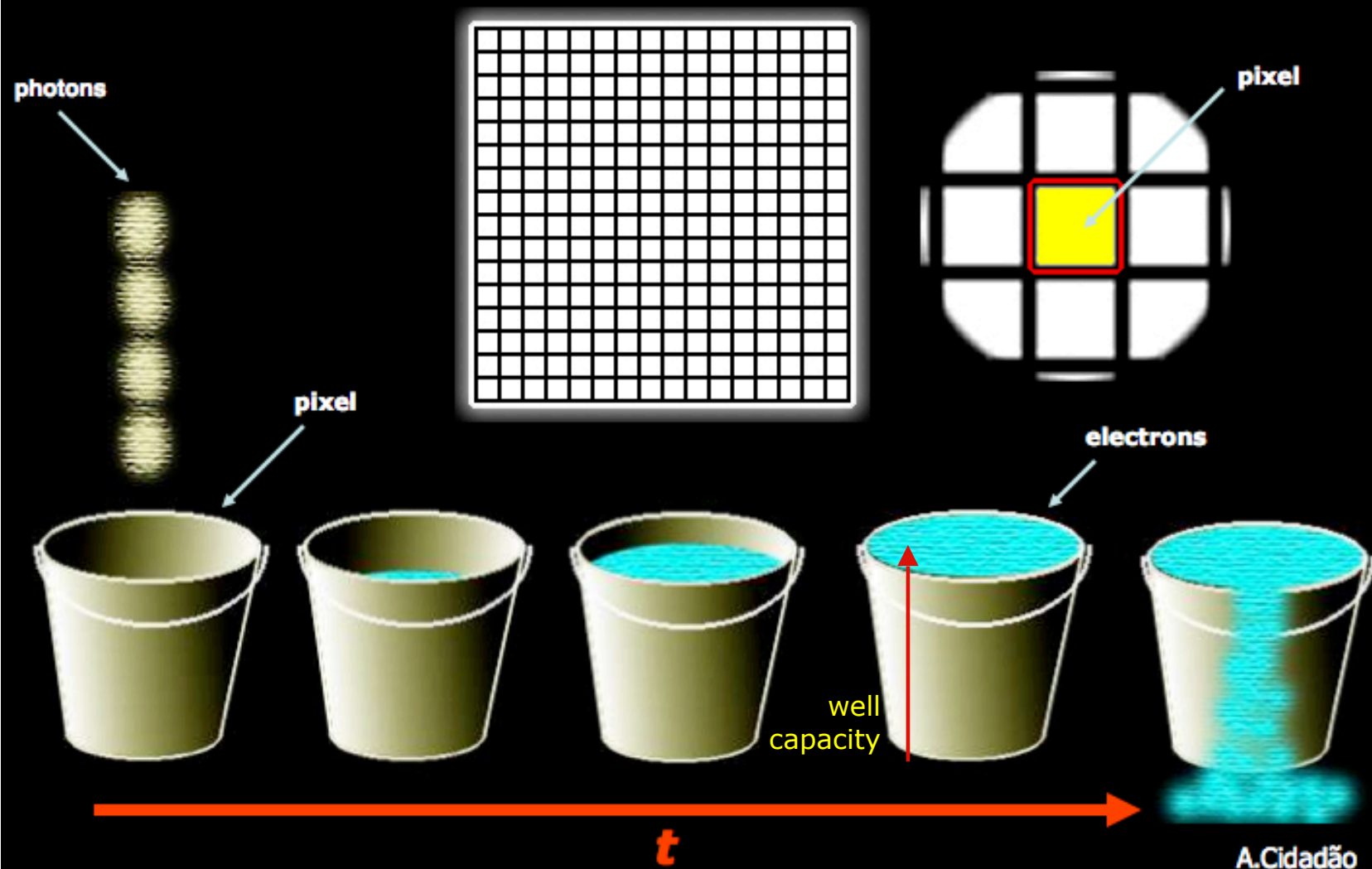


## Photosites

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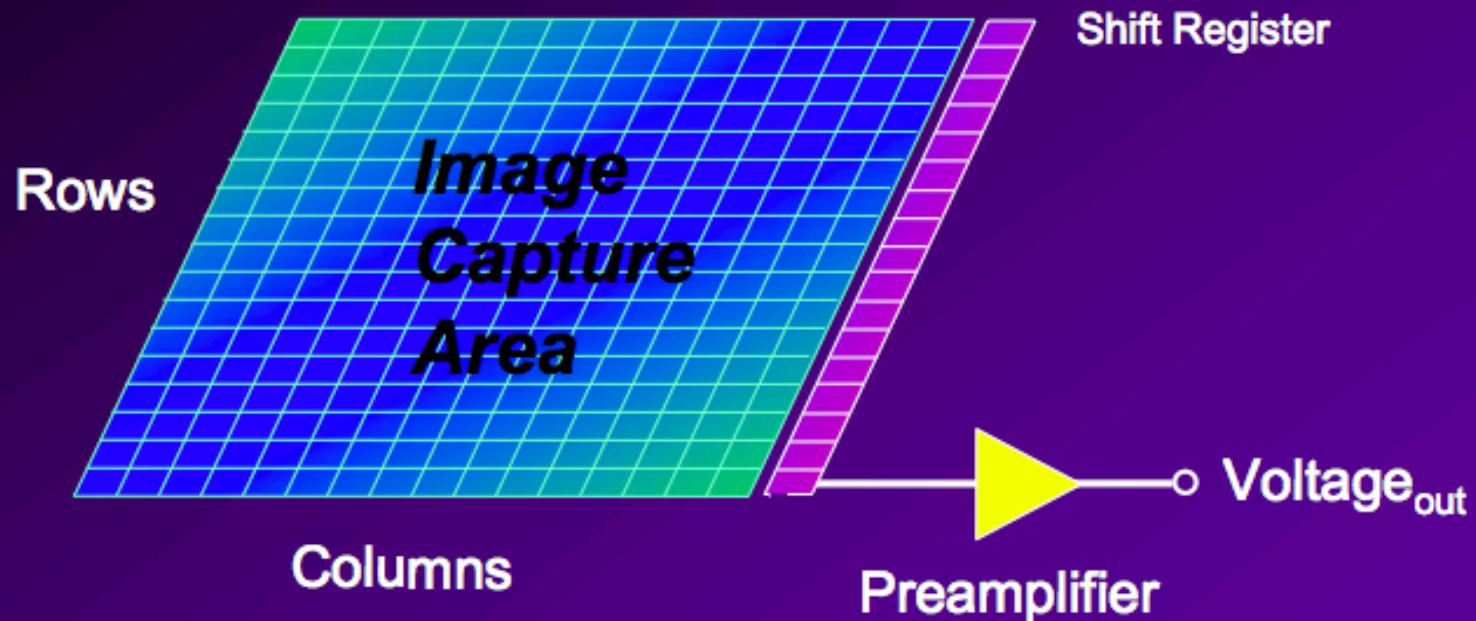
# What is a "CCD" ?





# Basic structure of CCD

Divided into small elements called pixels  
(*picture elements*).



# Reading process in CCD

## Readout

Control electronics => shift by line/row, then column

Tension on output pin is measured

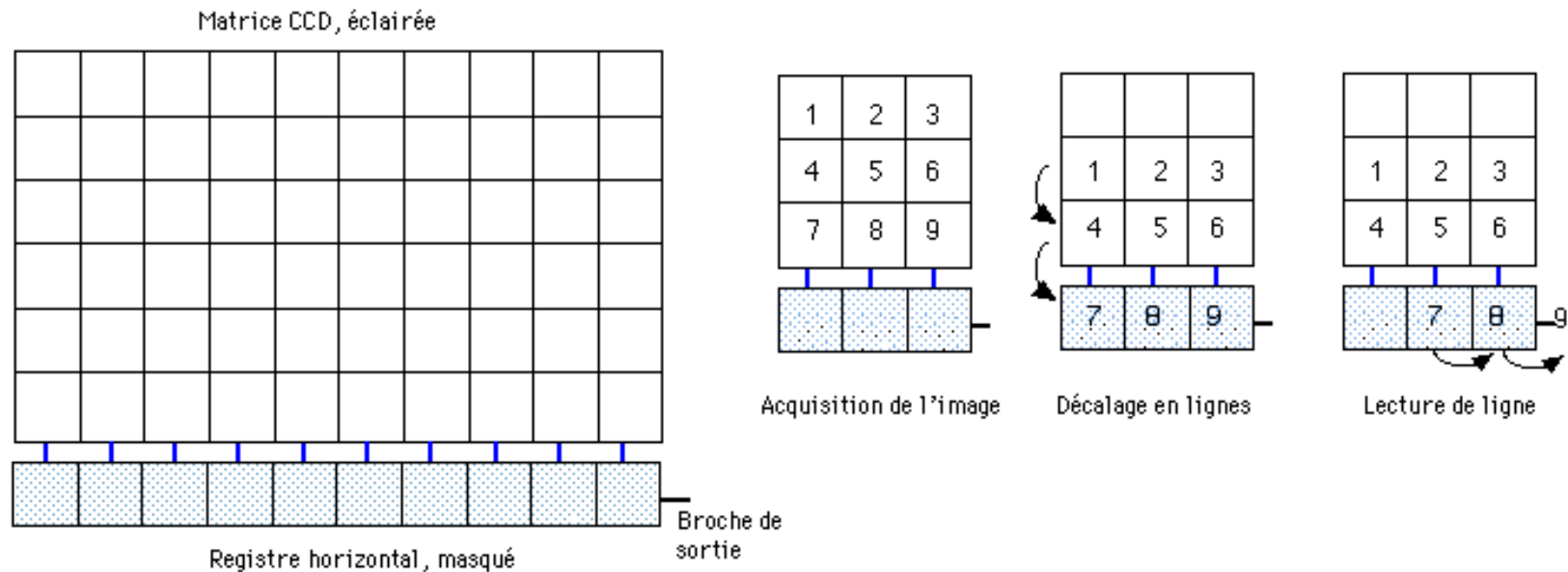
Charges are evacuated and the array is reset simultaneously

Typical readout time ~ 1 s, which is long

## Special modes

Windowing (read only a part of the array)

Binning (read several pixels simultaneously, before digital conversion)

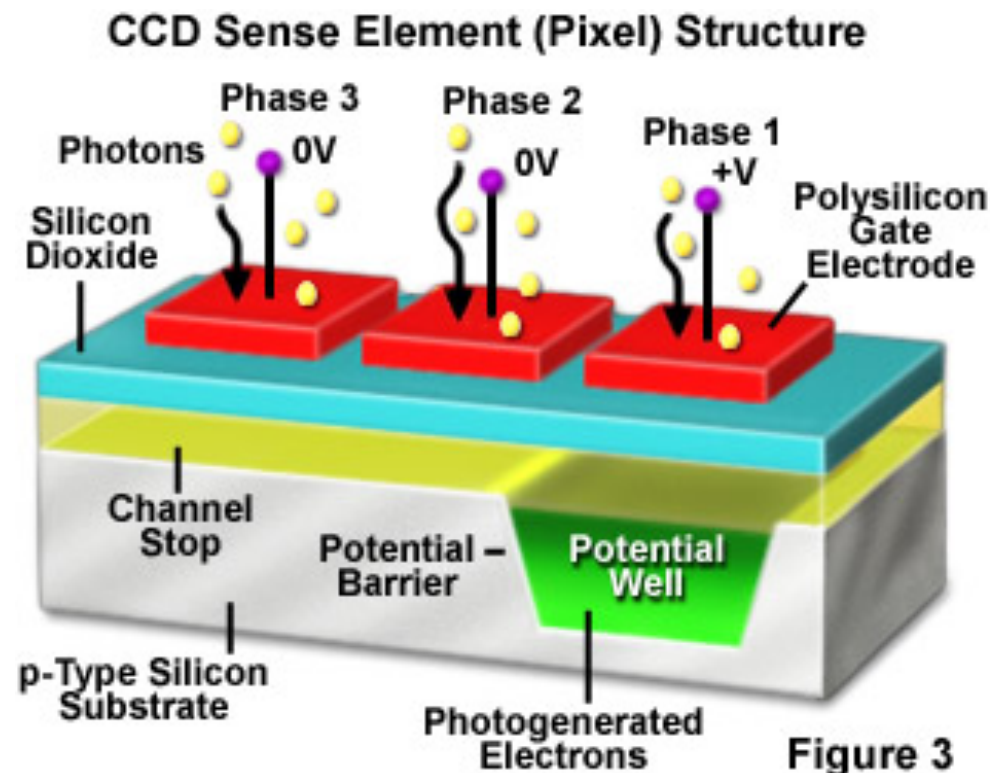


# CCD digest

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## Detection

Incident photons generate electrons in the substrate, which are maintained in place during exposure



# CCD digest

## Readout

Charges are shifted by changing the potentials under the rows, in sync ( $\Rightarrow$  clocking system)

Rows are shifted, then the output register alone is shifted pixel/pixel

Output current is measured on a pixel basis (analog readout)

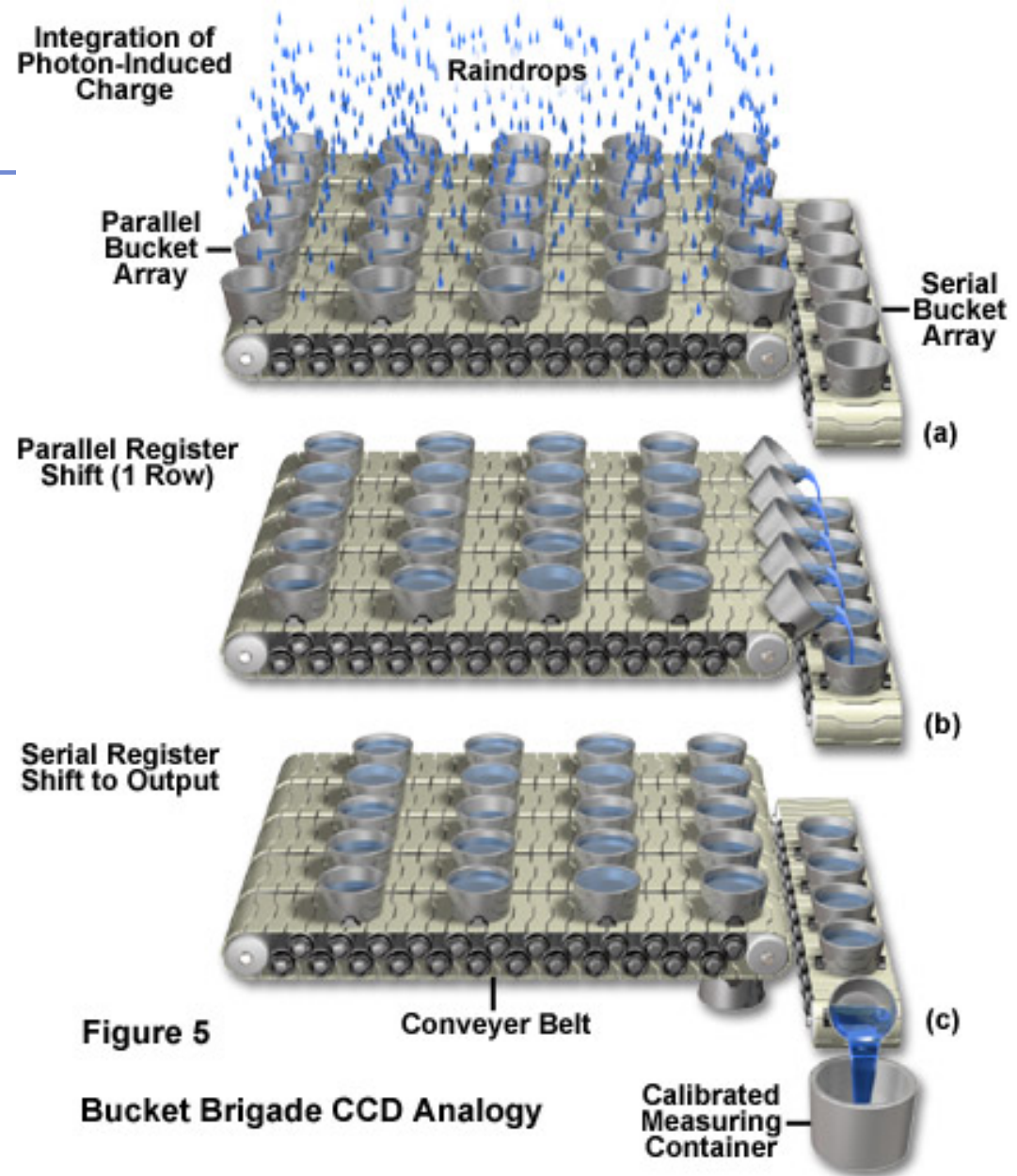
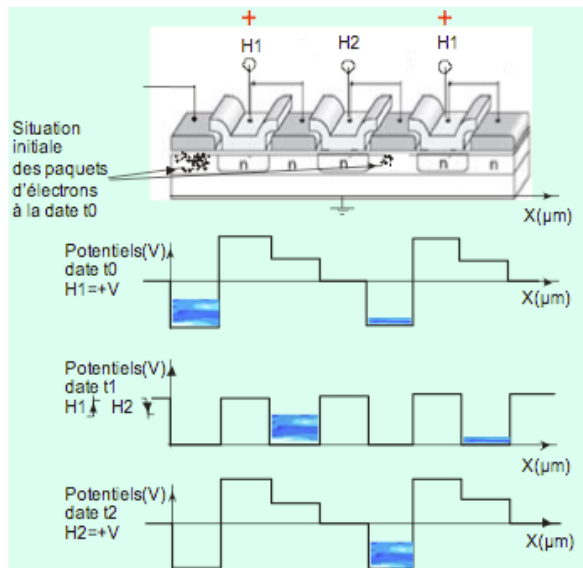


Figure 5

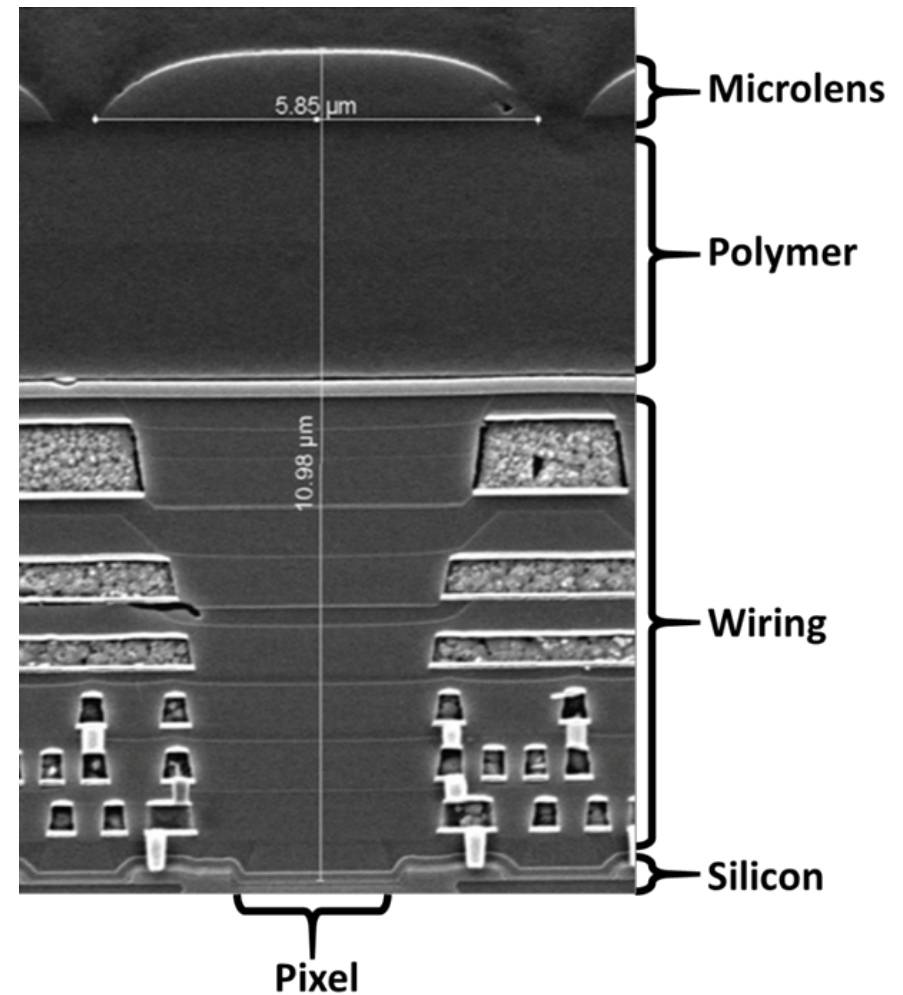
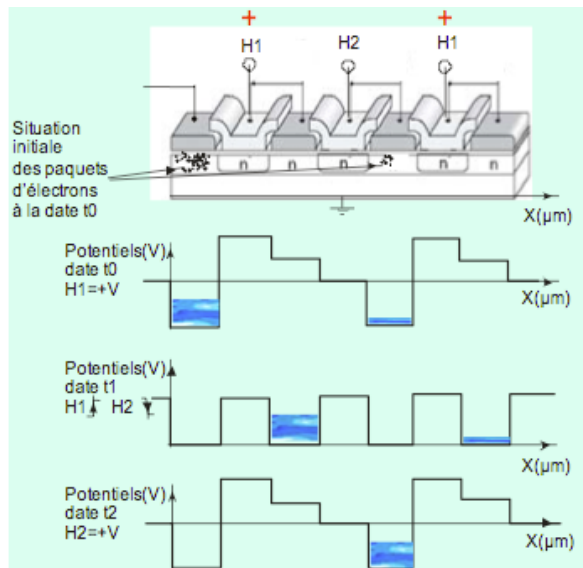
Bucket Brigade CCD Analogy

# CCD digest

## Readout

Charges are shifted by changing the potentials under the rows, in sync

More accurate than CMOS, especially at low fluxes => OK for science measurements



# CCD digest

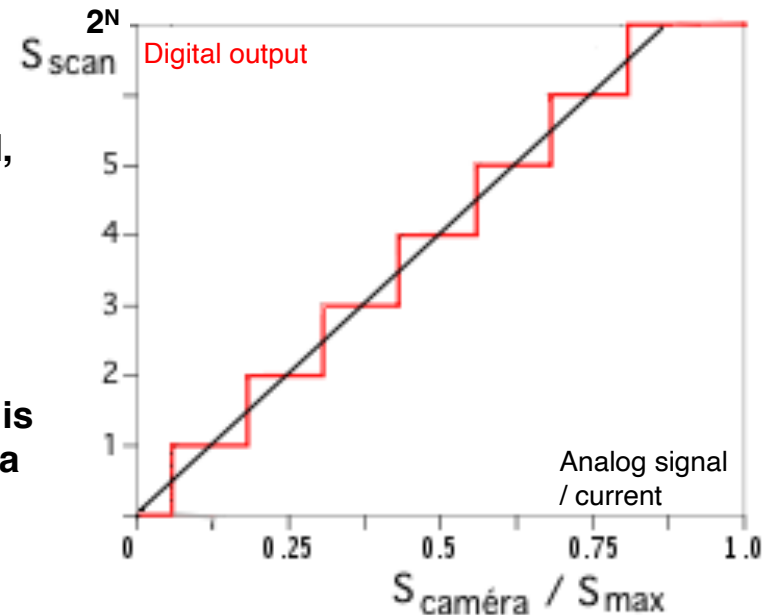
## Digitization (French: numérisation!)

The output current is amplified and measured, then digitized with an Analog-to-Digital Converter (ADC)

Usual ramp resolution  $N = 8-16$  bits

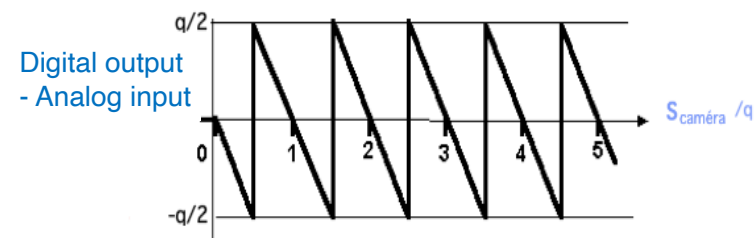
With astronomy cameras, the digitized signal is then transferred to a computer and stored as a file (usually in FITS format)

1 image pixel  $\Leftrightarrow$  1 detector pixel



The digitization process results in rounding errors, which can be represented as a noise

(function of number of bits used =  $N$ )

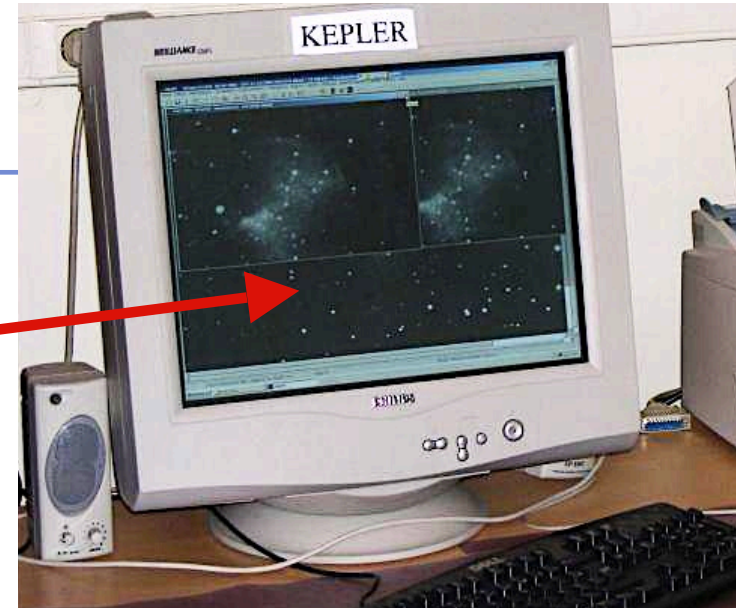
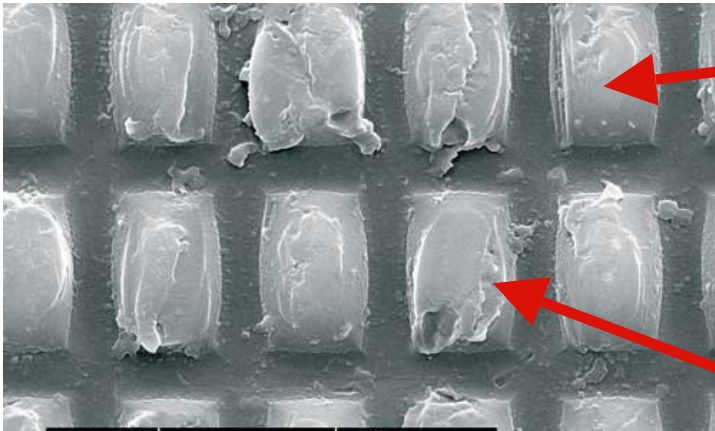




# Visualisation of astronomy images

## Correspondence between

detector photosite  $\leftrightarrow$  screen pixel

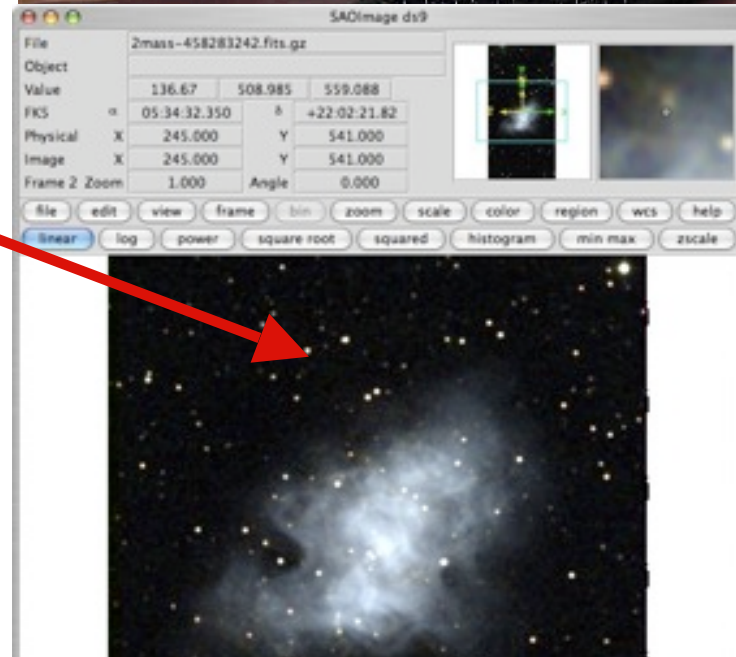


In acquisition software  
Beware of  
- field  
- scale  
- image quality

**Anything else implies resampling and loss of display quality**  
(but may be required to see a complete image)

## Basic tools to read/display/analyse FITS images:

- ds9/SAOimage
- Aladin
- ATV under IDL
- astropy + matplotlib under python — etc...



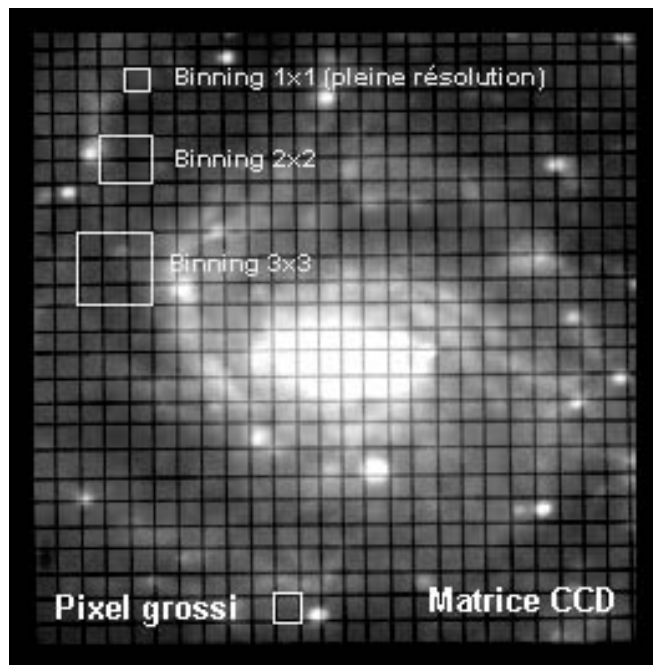
In display app  
Beware of  
- top/bottom  
inversions

# CCD digest

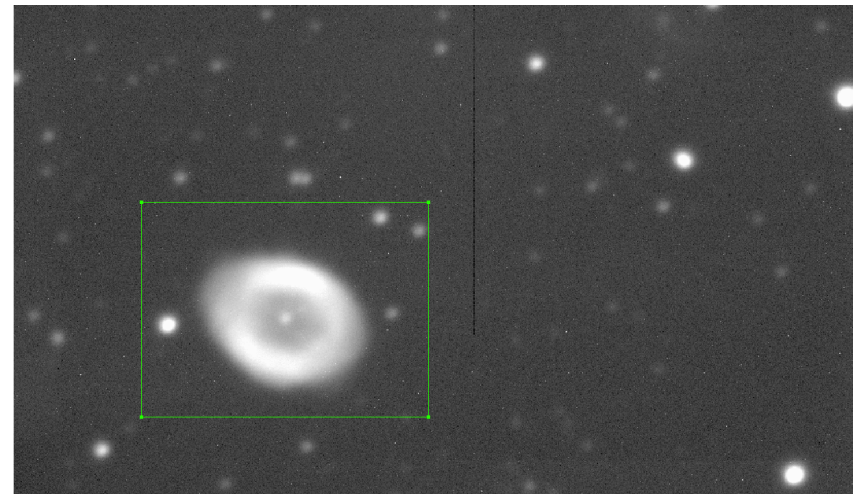
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## Special readout modes

**Binning:** several pixels read simultaneously, *before* measurement of output current and digital conversion – intended to lower readout noise, & faster

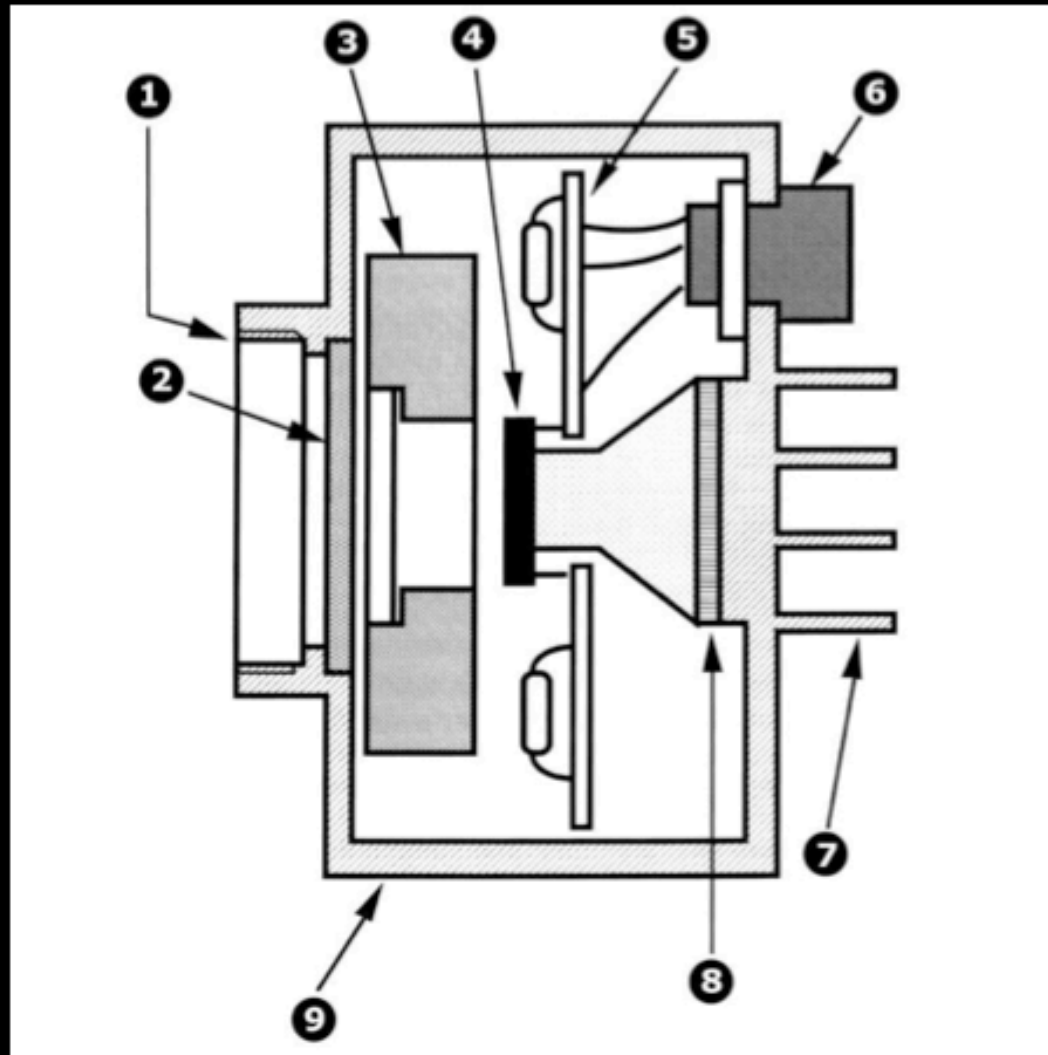


**Windowing:** only the region of interest is read => faster readout and acquisition, e.g. to follow evolving phenomena (occultations...)





## Camera

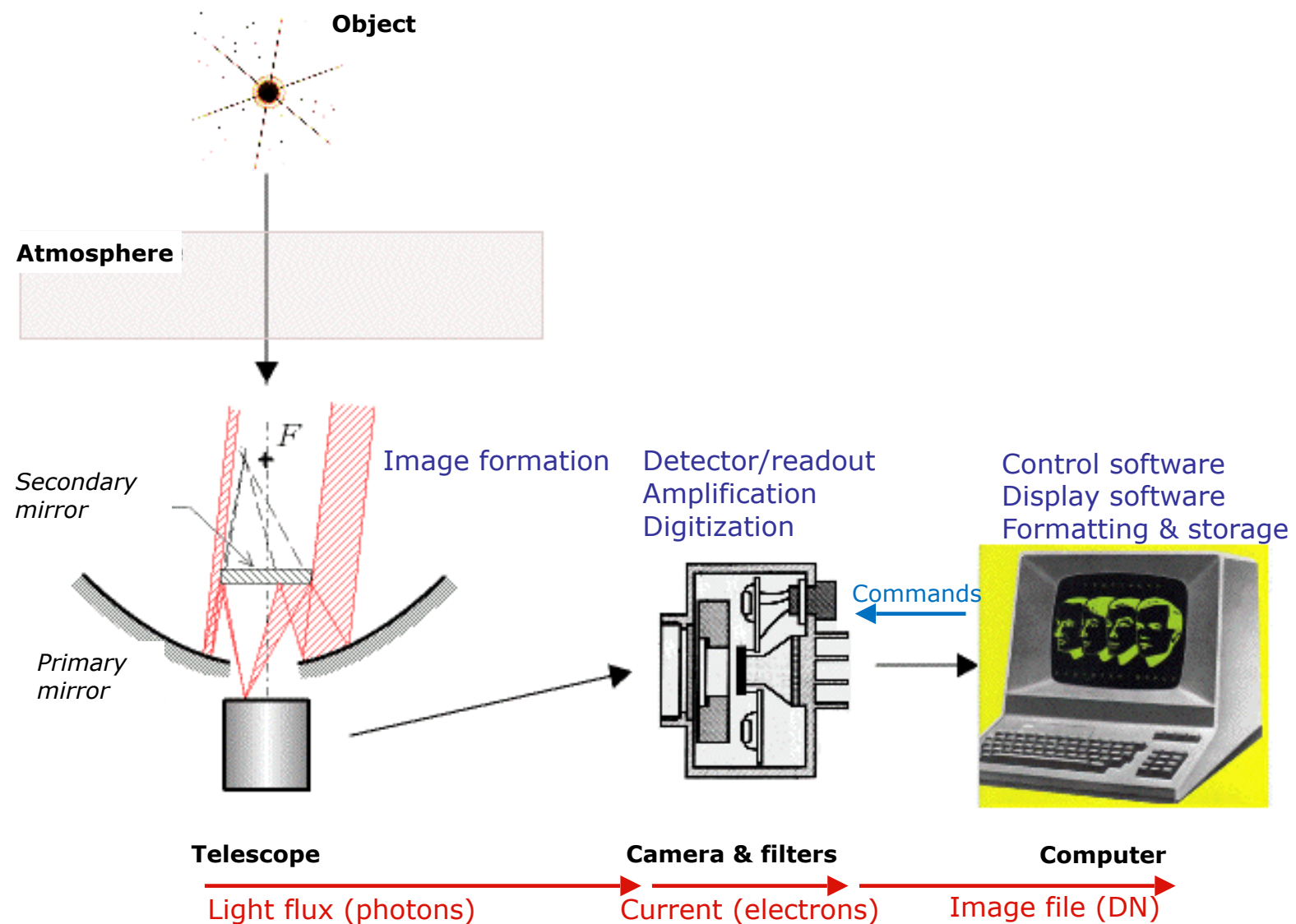


**Anatomy of a CCD camera:** 1- Adapter (M42); 2- Optical window; 3- Mechanical shutter; 4- CCD detector; 5- Amplifier; 6- Power connection; 7- Dissipator; 8- Peltier (cooling); 9- Housing.

## SBIG's New STX Series



# Acquisition process in astronomy imaging



# Electronic characteristics

## Output signal

Is proportional to incident light flux (in a given range / in good approximation)

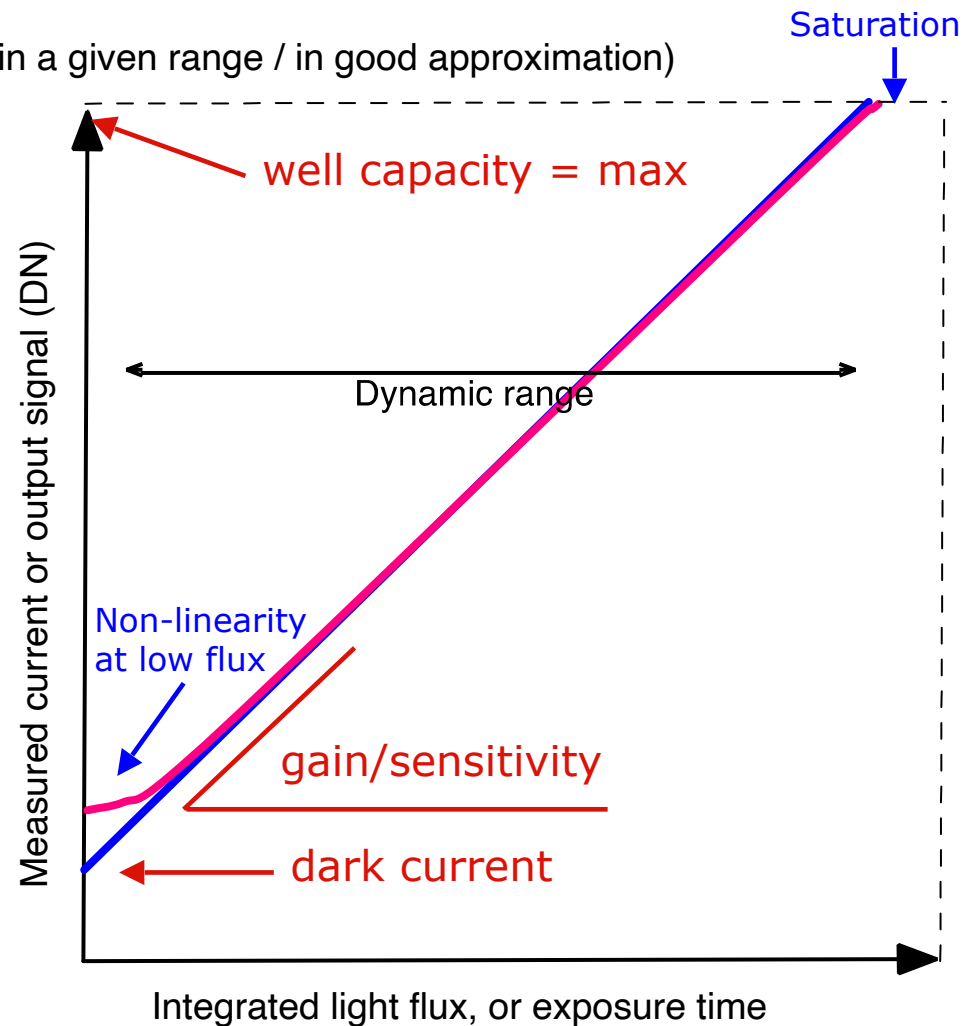
For each pixel:

$$\text{Signal} = \text{flux} * \text{gain} + \text{dark}$$

⇒ gain and dark must be measured together with the raw signal, then applied to the raw signal

Gain = sensitivity

Allows for quantitative measurements (photometry)



# Electronic characteristics

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## Well capacity / saturation

Well capacity is finite ( $\sim 20\,000$  to  $350\,000$  e<sup>-</sup>/pixel)

=> When full, accumulated charges spill over to neighbouring sites (blooming/smearing)



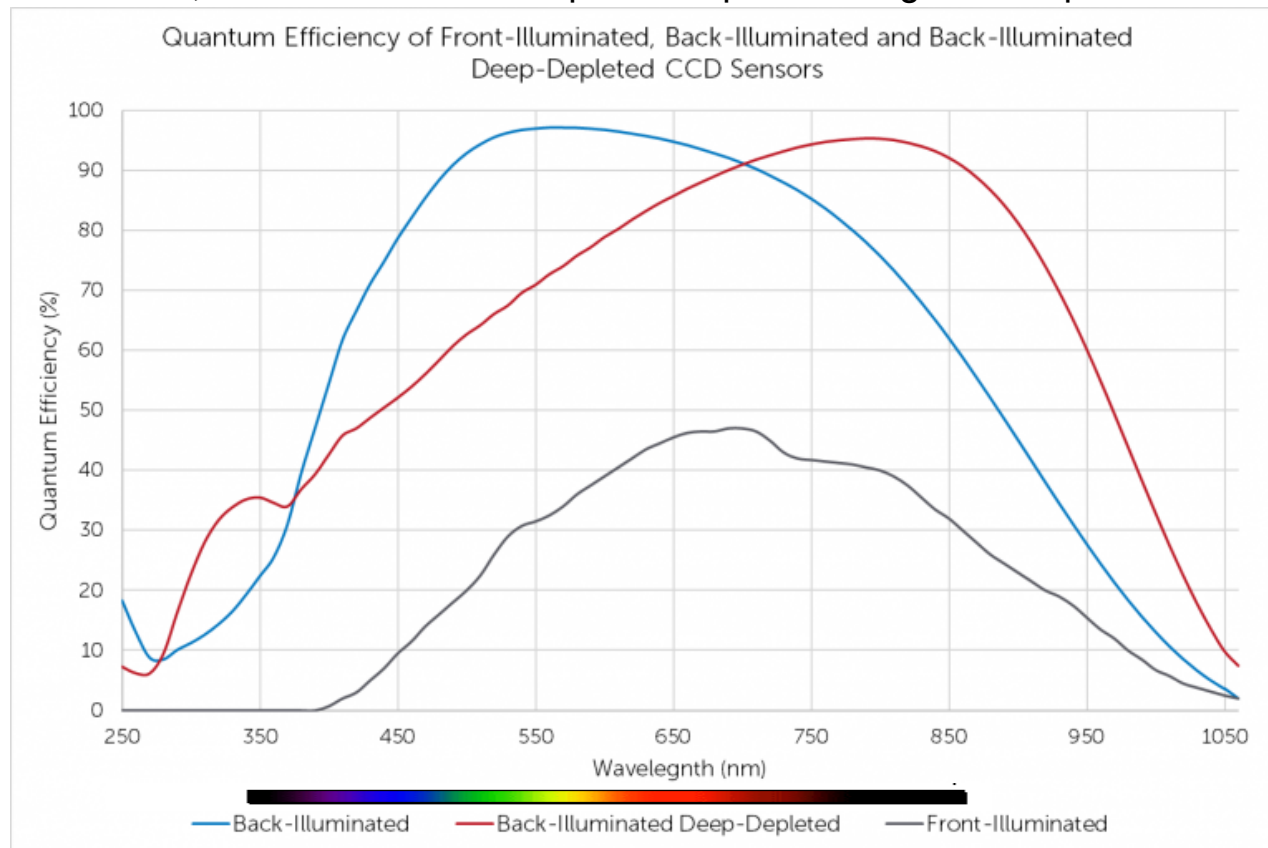
# Electronic characteristics

## Sensitivity / gain

**Equivalent Quantum Efficiency (QE):** nb of electrons produced per incident photon

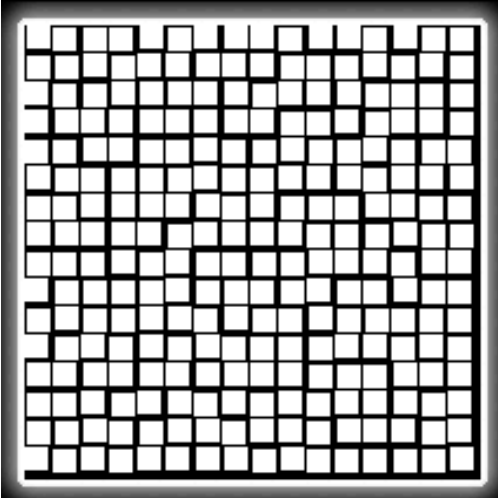
⇒ **Function of wavelength** ~ 0.4-1.0  $\mu\text{m}$  for standard CCD

Back-illuminated, thinned CCD have expanded spectral range and improved sensitivity



Silicon crystal properties  
=> max wvl = 1.1  $\mu\text{m}$   
(gap between valence and conduction bands)

## CCD Cameras - *Bias (offset)*



Base level imposed during Analog / Digital conversion: fixed & reproducible  
(does not correspond to any charge)

Visible at minimum exposure time

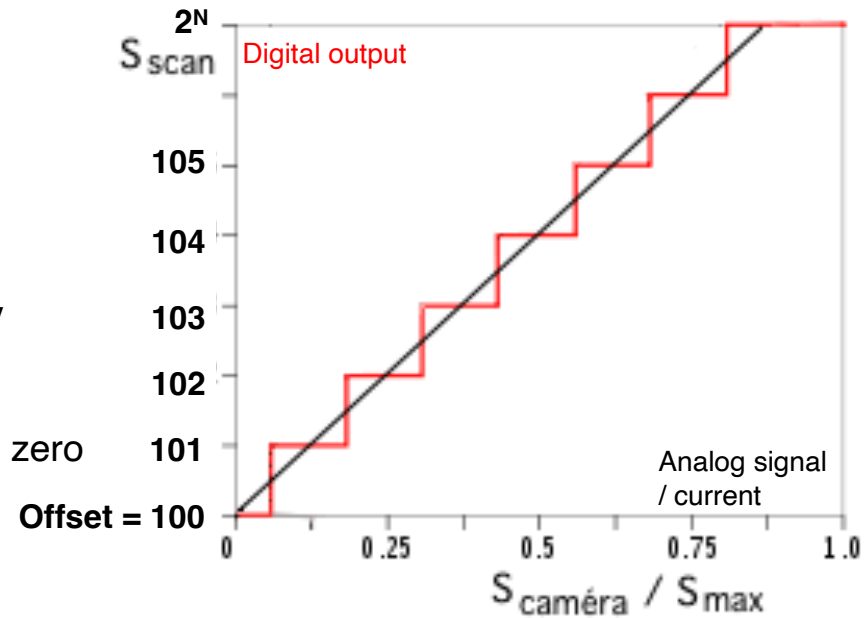
Provides more or less regular patterns,  
often along column direction



# Offset

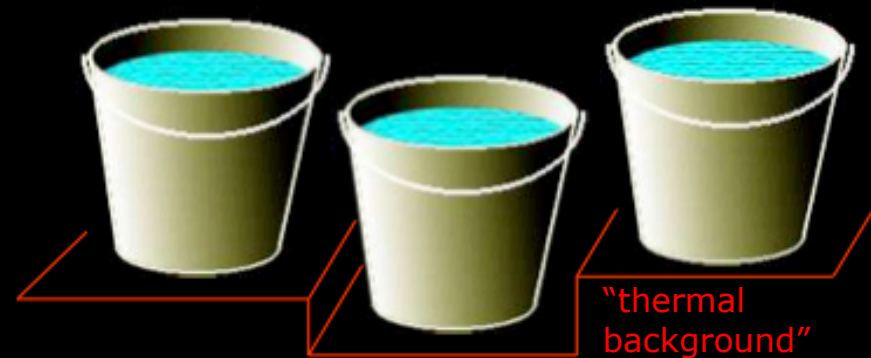
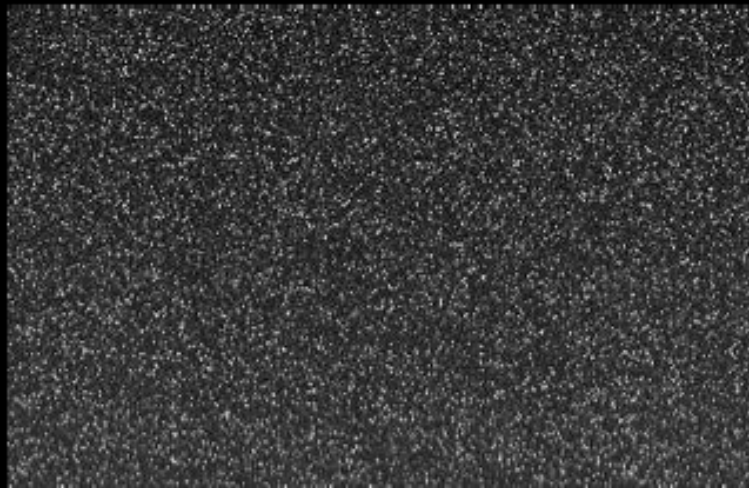
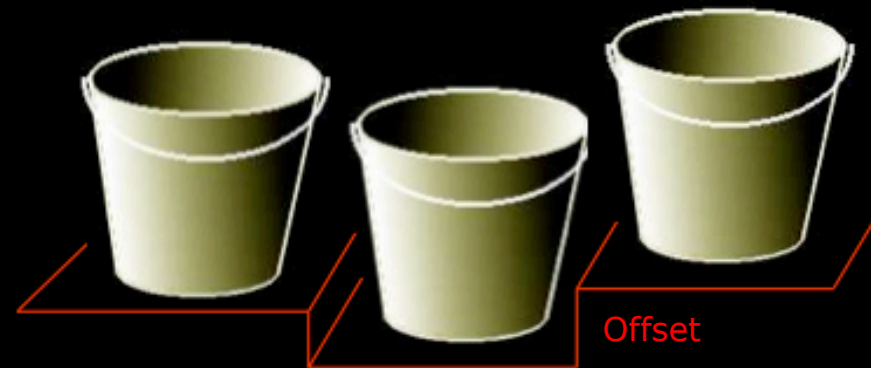
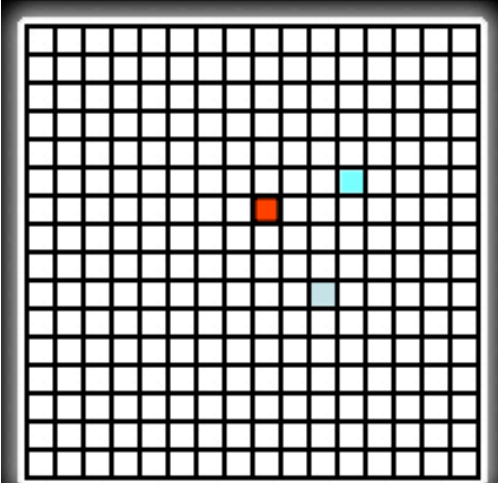
**Offset**  
= base level imposed in the ADC during conversion (does not correspond to any charge / light flux)

= at least 2 to 3 x noise level, to offset from zero and preserve statistics





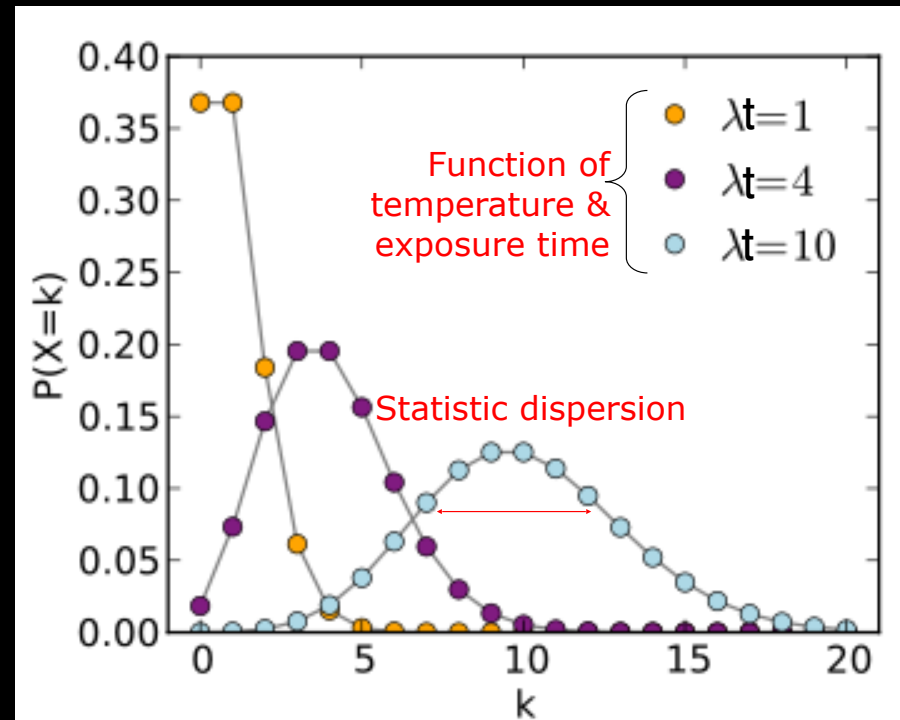
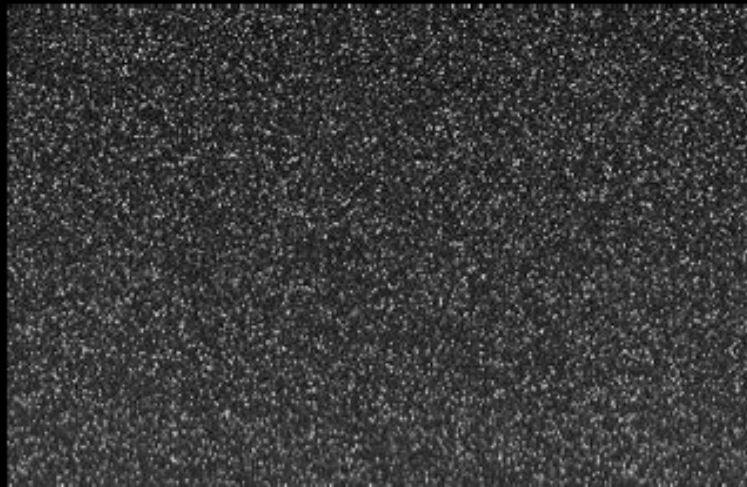
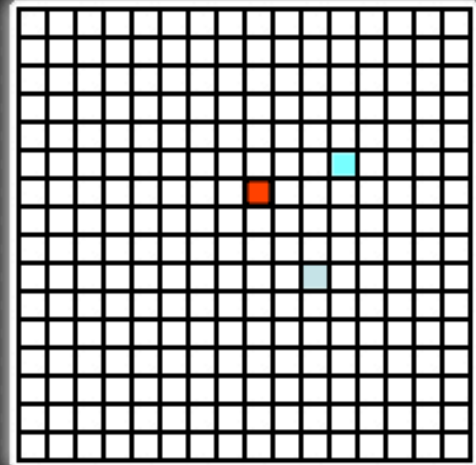
## CCD Cameras - *Dark current*



**DARK FRAME = BIAS FRAME + THERMAL FRAME**

A.Cidadão

## CCD Cameras - Dark current



Charges are created spontaneously in absence of light  
Not necessarily large wrt offset  
(*"thermal" here refers to agitation, not to BB emission!*)

This process follows a Poisson distribution

## Intermission: the Poisson distribution

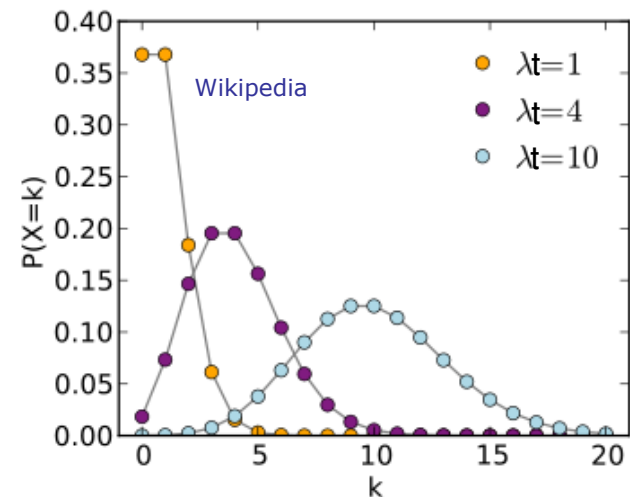
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Assumptions: - events are random and independent  
- event frequency is constant ( $\lambda$ )

Examples: photon emission; creation of thermal charges

Probability mass function (to have  $k$  events during interval  $t$ ):  $P(k) = e^{-\lambda t} \frac{(\lambda t)^k}{k!}$

Tends towards a Gaussian distribution  
when  $\lambda t$  is large (central limit theorem)

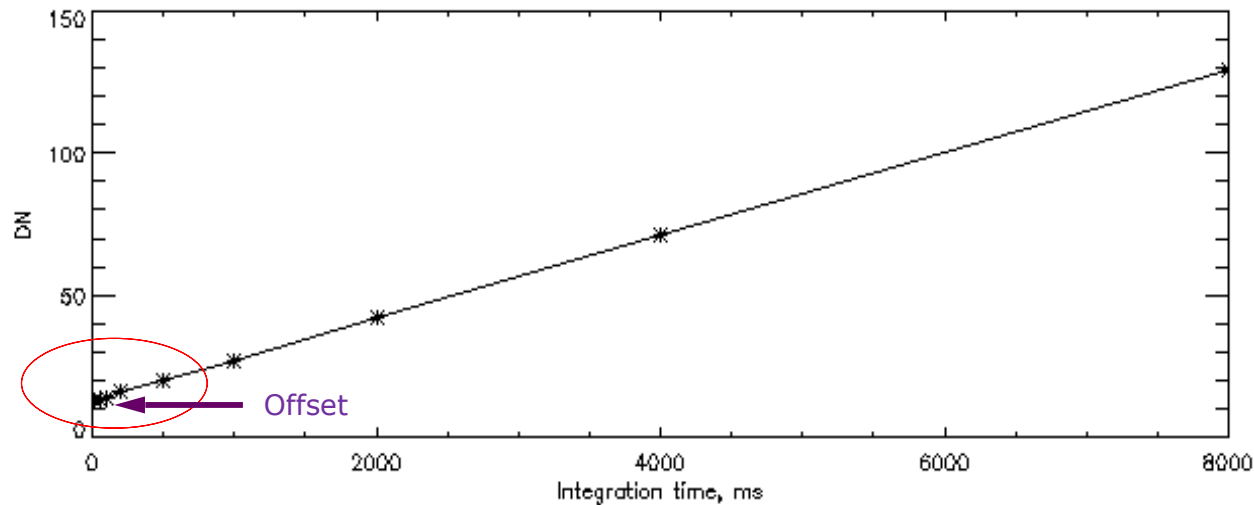


With  $N = \lambda t$ :

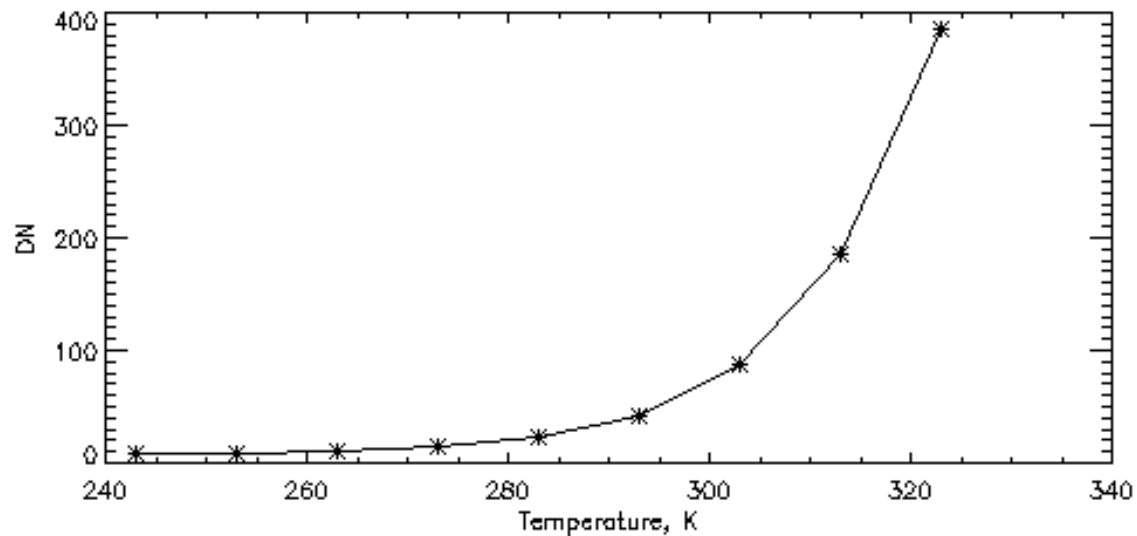
Mean =  $N$  (nb of photons received during  $t$ )  $\Rightarrow$  Predictable

Standard deviation:  $\sigma = \sqrt{N}$  (mean variation around this value, between successive measurements)  
 $\Rightarrow$  Random: *this* is noise

## Darks current: variations



**~ linear with exposure time**  
(as long as saturation is not reached)



**Severe with T**  
(Boltzmann)

=> Cool down CCD  
whenever possible

IR detectors: often require  
very low-T functioning point  
(90-140K)

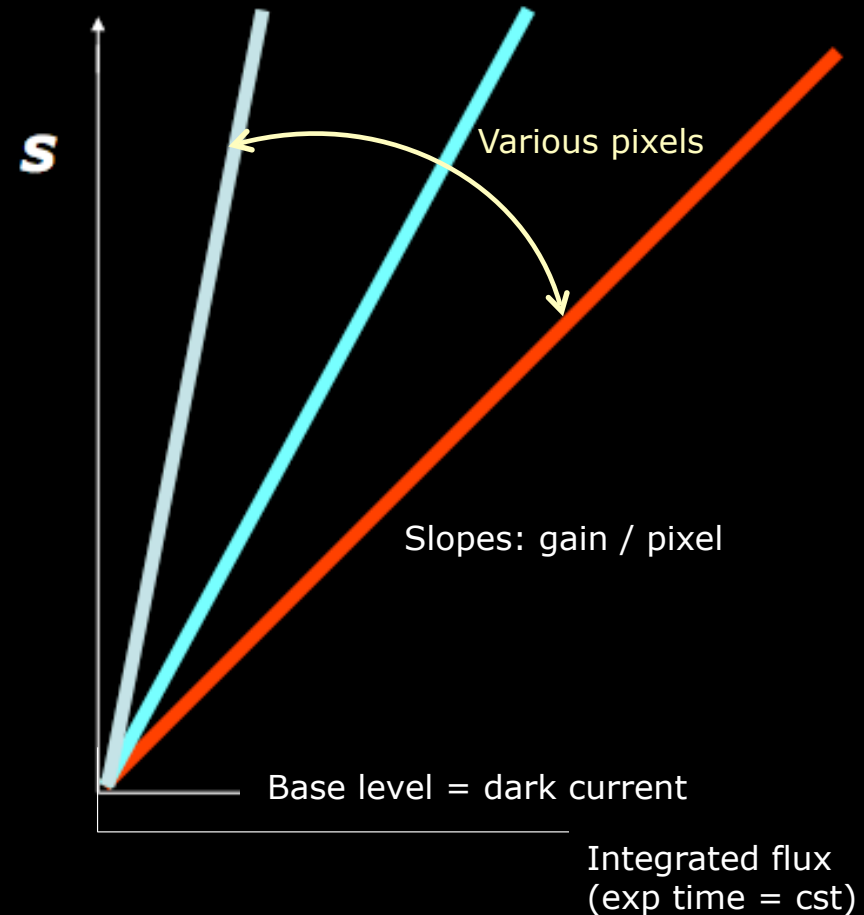
## CCD Cameras - Flat-field



Darkening  
with distance  
to optical axis



Filter  
transmission  
× variations of  
pixel response  
× "doughnut"  
patterns



## Image calibration / reduction

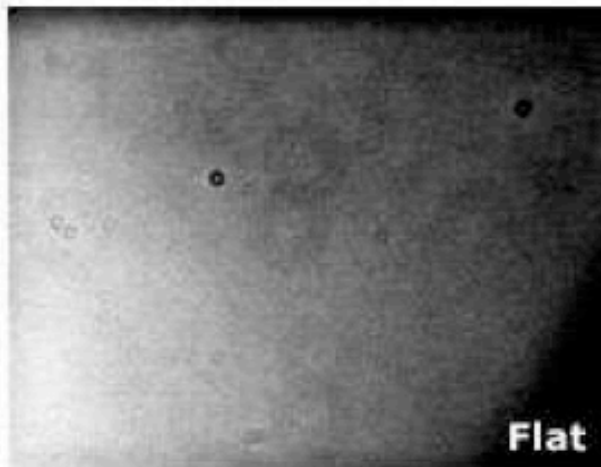
Exp. time  $t_{\text{exp}}$   
Filter F



Exposure time  $t_{\text{exp}}$



Filter F  
Normalised



$$\text{Calibrated} = (\text{Raw} - \text{Bias} - \text{Thermal frame}) / \text{Flat} / t_{\text{exp}} = (\text{Raw} - \text{Dark}) / \text{Flat} / t_{\text{exp}}$$

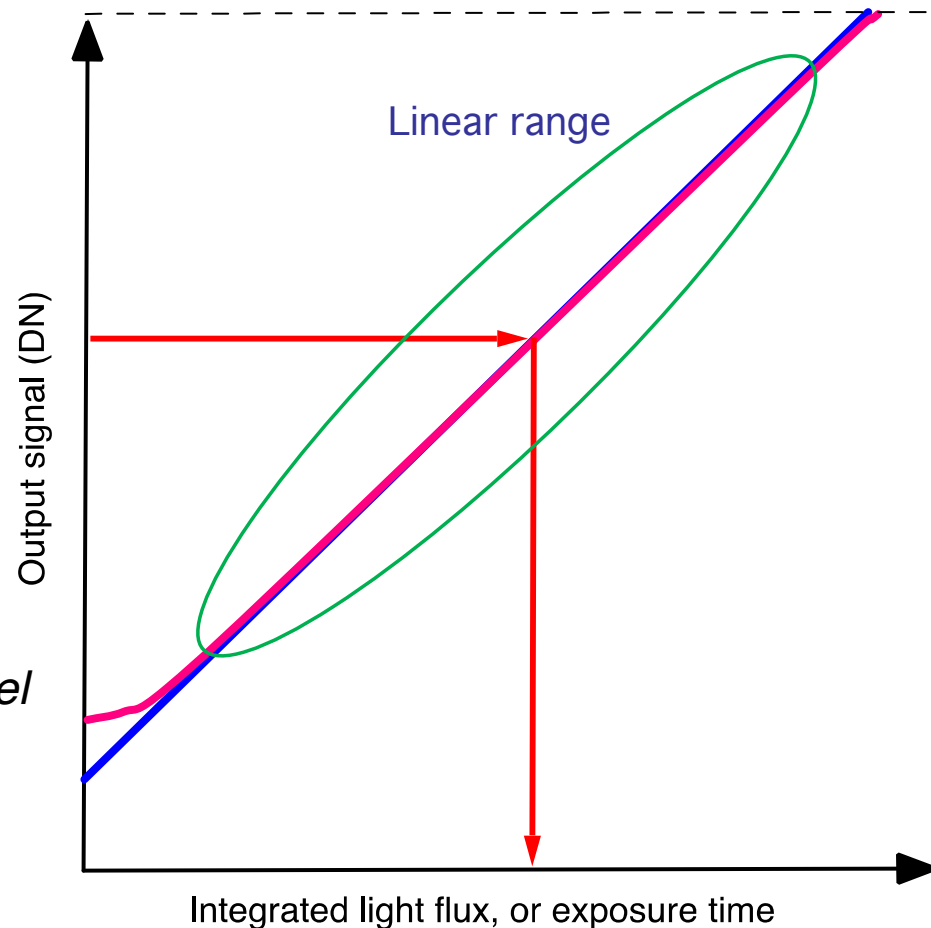
Linear approximation - Only calibrates in a relative sense

## Image calibration / reduction

**Calibrating** =  
recovering the light flux  
from the output signal

You want to use the  
linear part of the  
response function

=> Linear function *for each pixel*



Only calibrates in a relative sense (even if divided by exposure time)

**Absolute flux may be derived from comparison with reference sources observed in the same conditions**

## Electronic artefacts

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### Electroluminescence

The amp heats a part of the array => dark current increases locally (associated noise also increases)



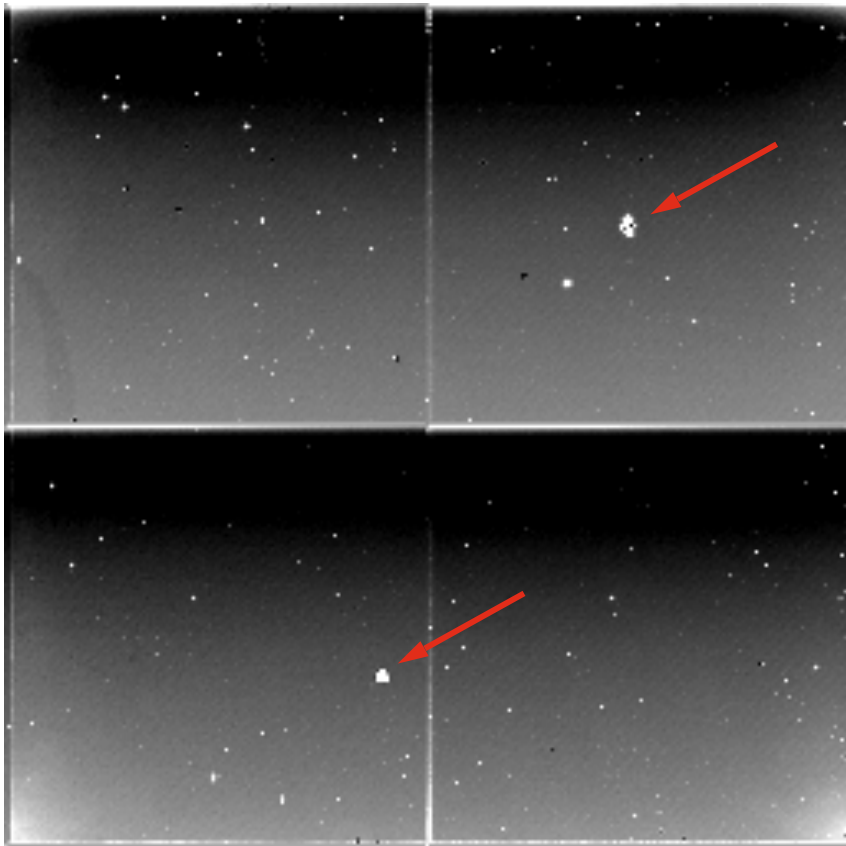


# Electronic artefacts

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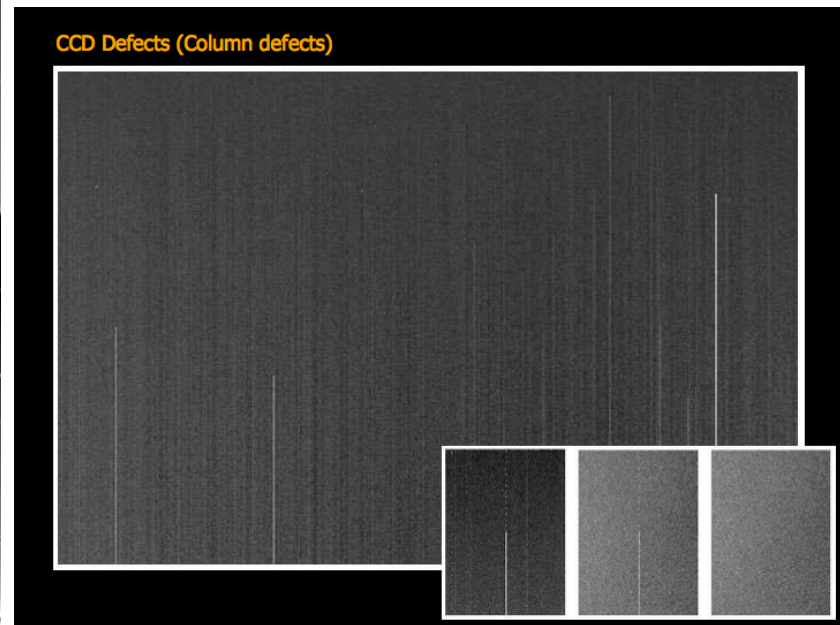
## Dead / cold / hot pixels

Some pixels have non standard behaviour: little or no detection, fast saturation...  
Often grouped in "clusters" or regular patterns

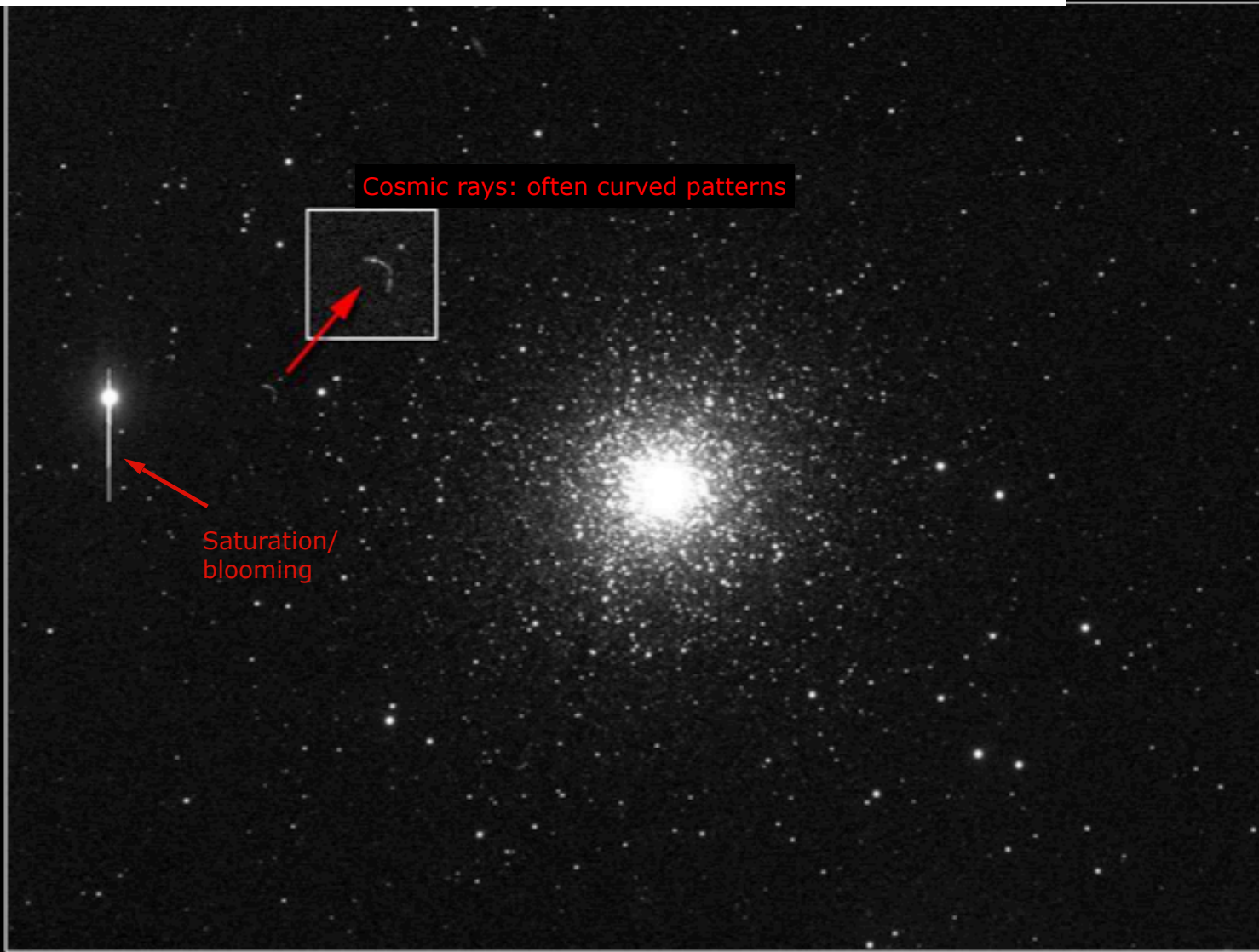


Quadrants: 4 independent readout circuits  
used in parallel on the same detector

Column defects (related to electrical circuitry)



## Electronic artefacts: effects of saturation + cosmic rays



## Electronic artefacts: spread of charges

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Even in absence of saturation, charges may spread along columns during exposure  
=> reduces contrast and increases noise





## Electronic artefacts

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**Salt and pepper noise, 1/f noise: punctual events / granularity**

~hots pixels, but nb increases with exposure time. Random pattern, noticeable for  $t \gtrsim 5$  min



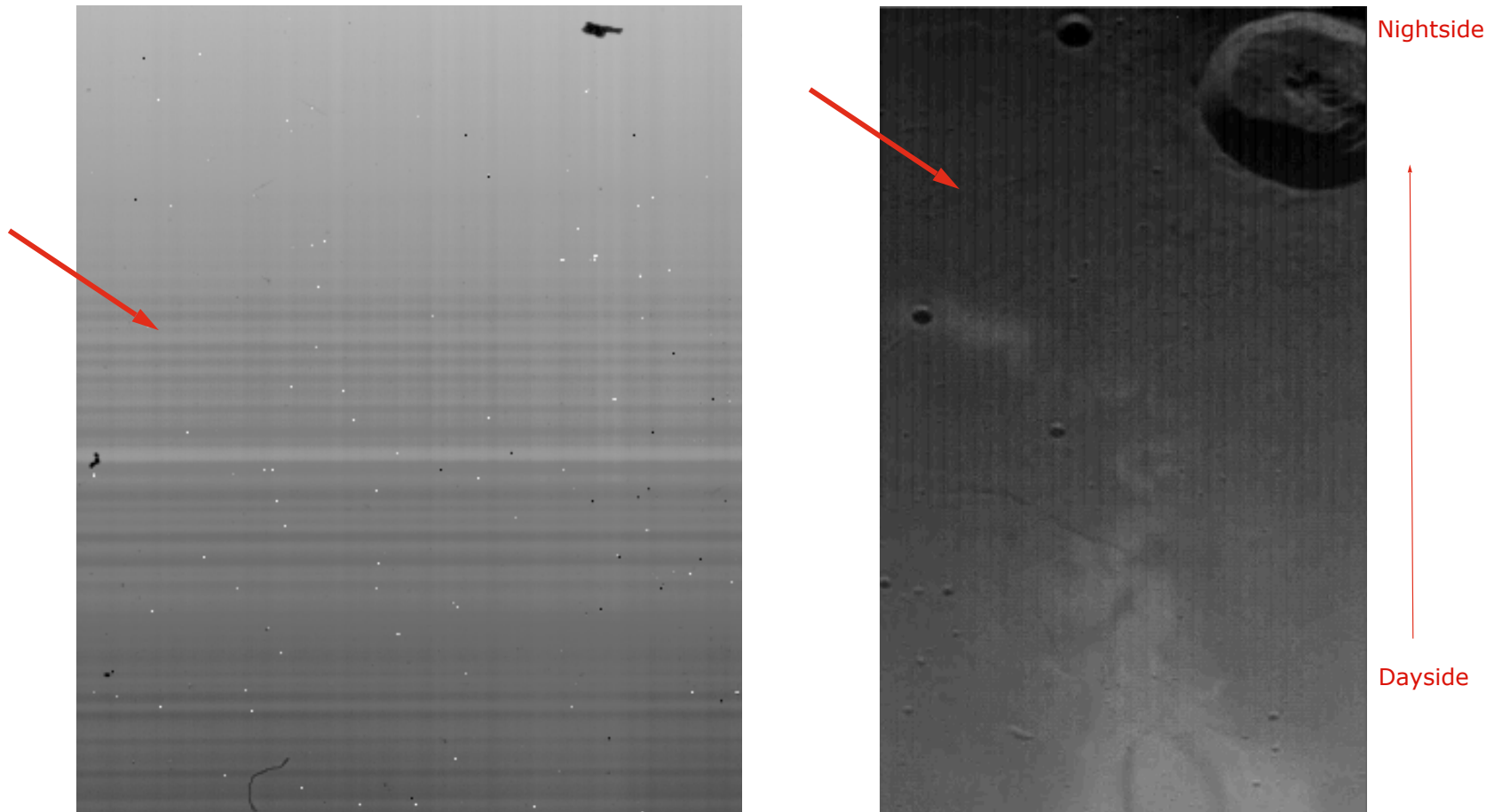
## Electronic artefacts

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### Various frames / patterns in dark current & low level images

Depends on readout circuitry: odd/even interlacing, blocks, quadrants, oblique patterns...

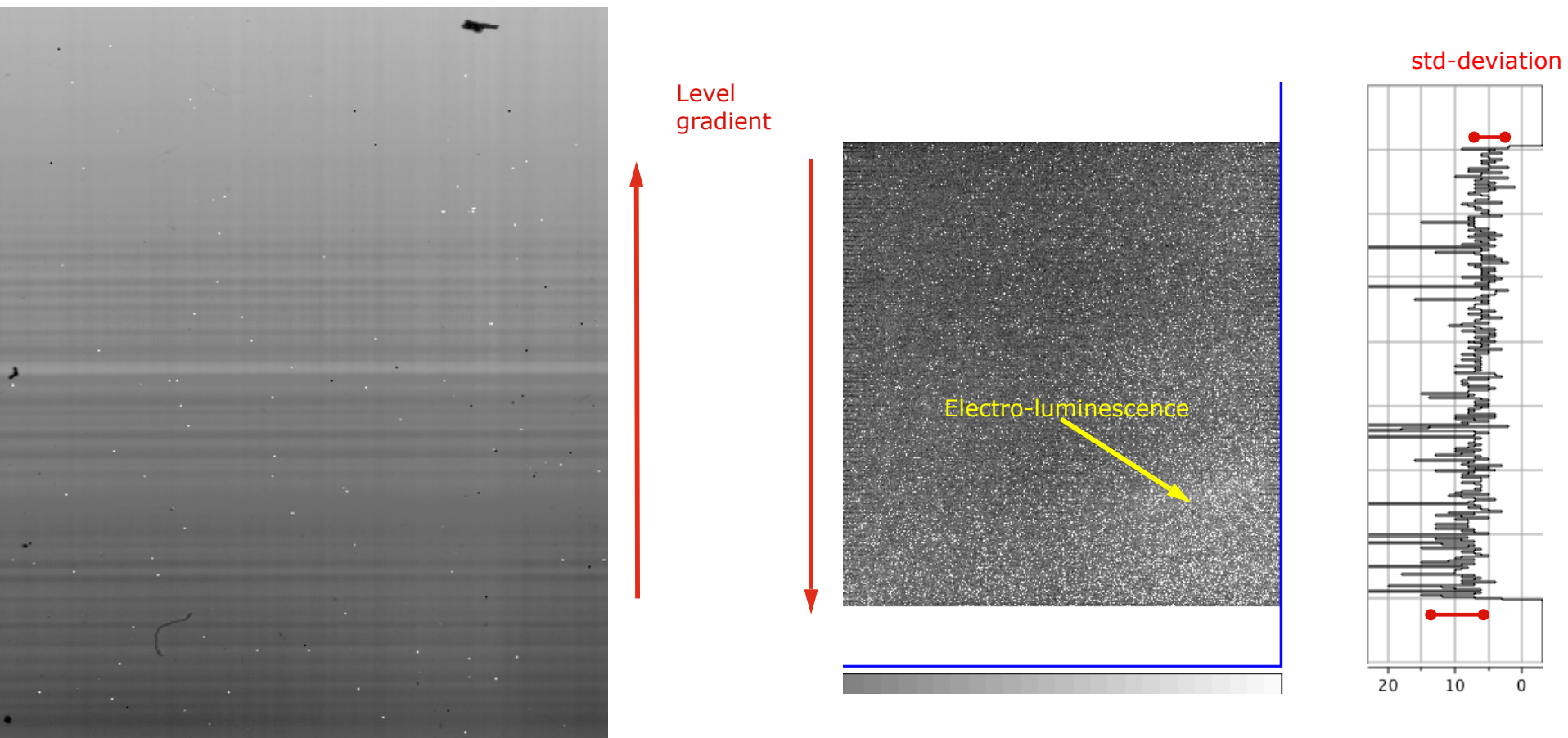
Non-linear behaviour in general (noticeable at low flux)



# Electronic artefacts

## Gradients

Last lines read have higher dark current (and more noise)  
and are subject to more transfer error ( $\sim 10^{-5}$  : noticeable for large arrays)





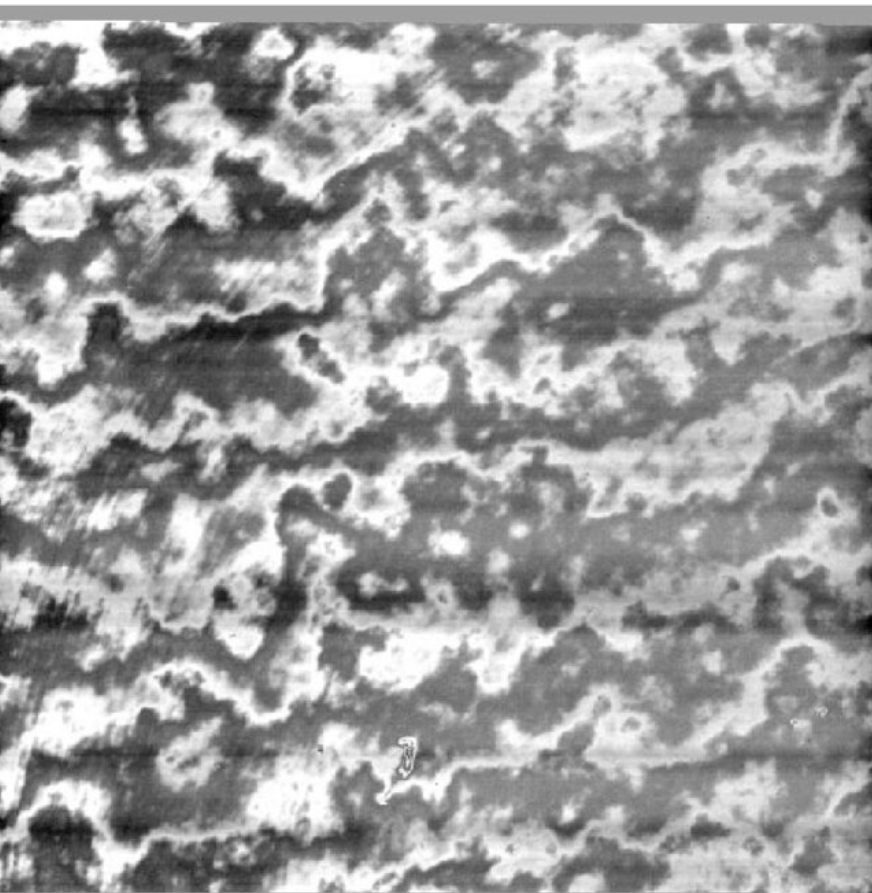
# Optical artefacts

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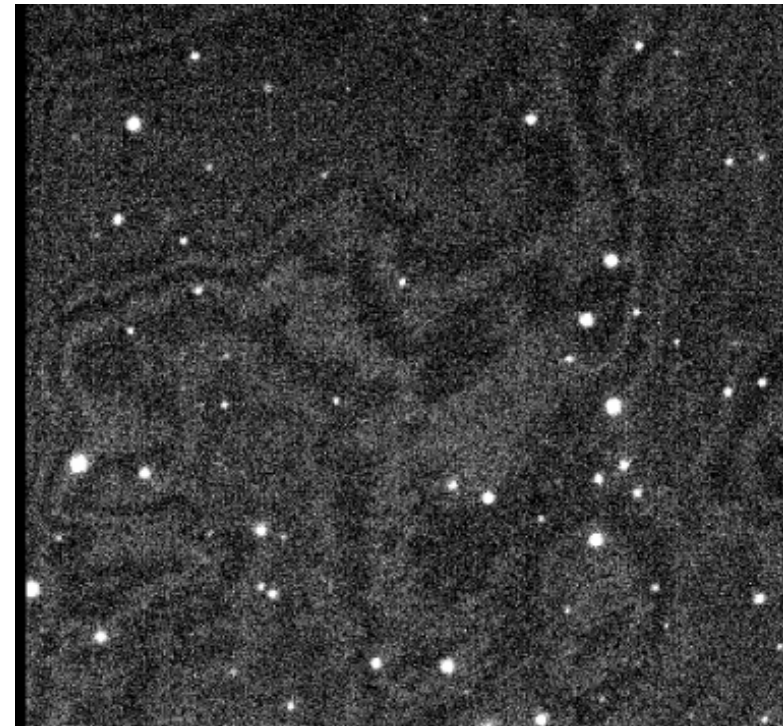
## Fringes

interferences from two sides of CCD - especially back-illuminated ones

Function of exposure time, temperature and wavelength, additive (can be corrected)



Goudfrooij et al 1998  
(flat-field)



Howell 2012  
(stellar field)

# Dark current issues

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You always want to minimise it, because:

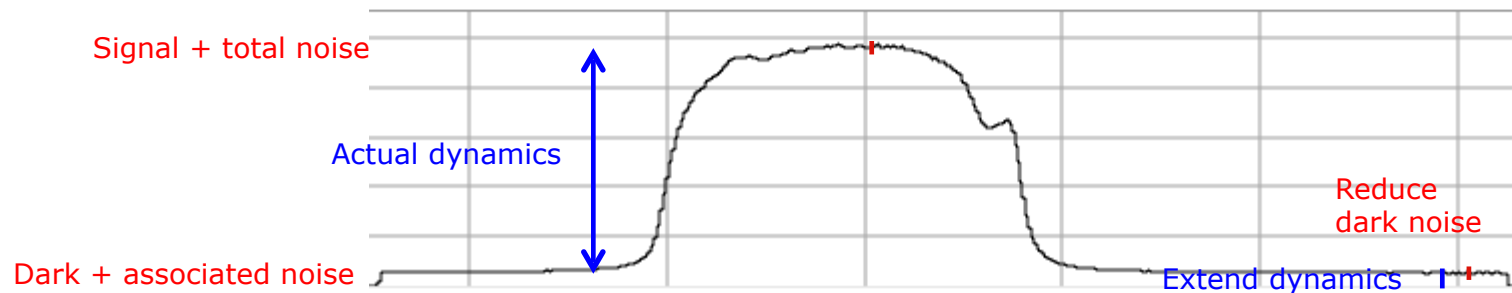
- It restrains dynamics by  $N$  (parasite signal, less space for target signal before saturation)
- It is associated with a noise  $\sigma = \sqrt{N}$  (remember Poisson!)

=> Decrease exposure time? (but this would also reduce the signal and S/N!)

=> Decrease temperature (very efficient)

**Special issue in IR range ( $\geq 4 \mu\text{m}$ ) :**

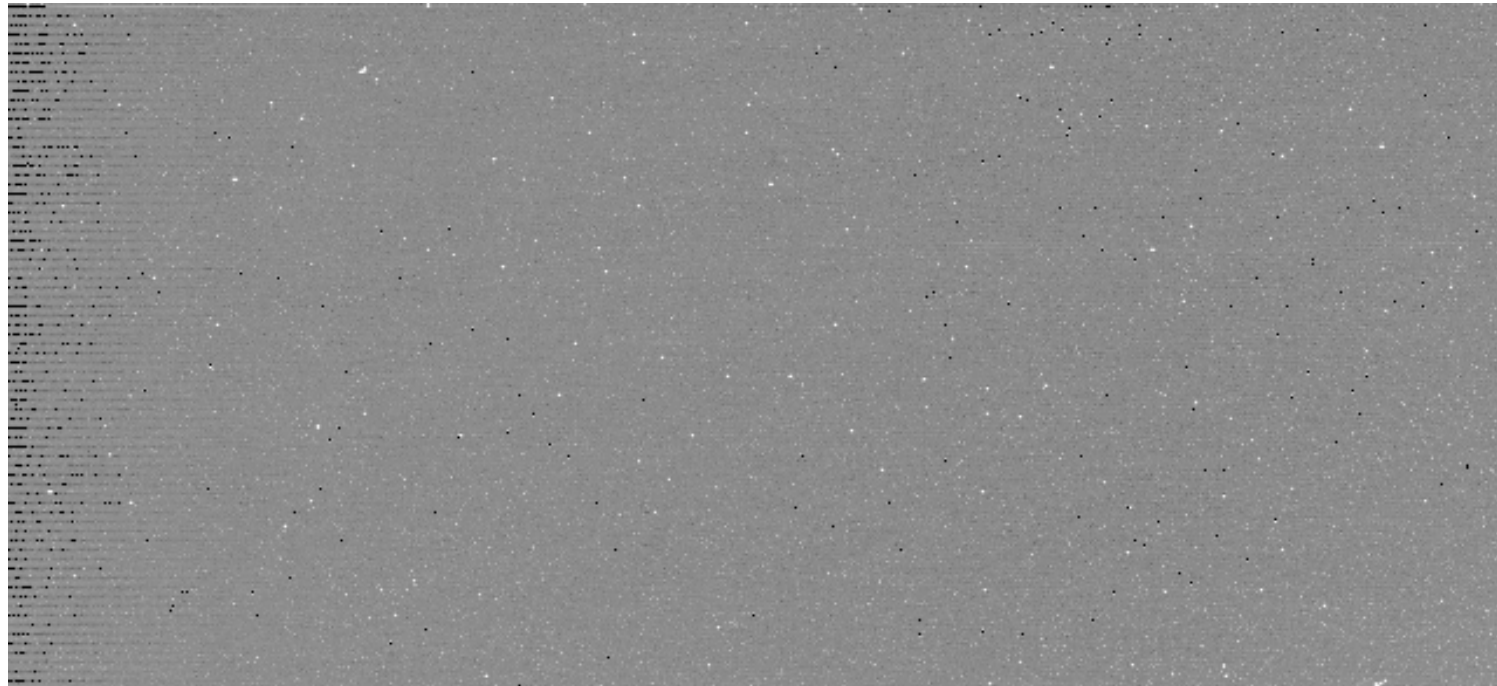
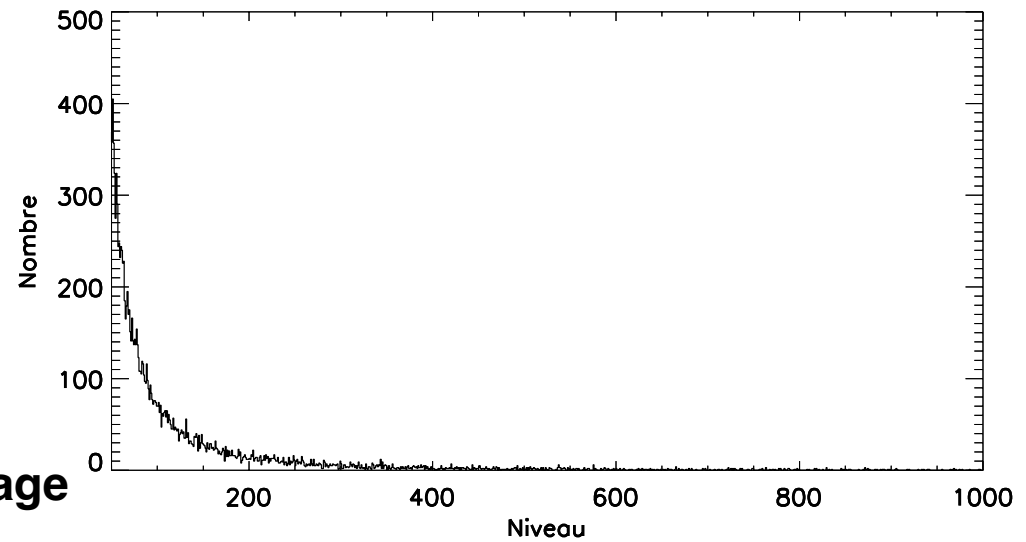
- Background sky is bright and varies rapidly
- Dark current also includes thermal emission from the instrument (thermal charges in CCD + photons *emitted* by the instrument)



## Playtime

Are histograms helpful?

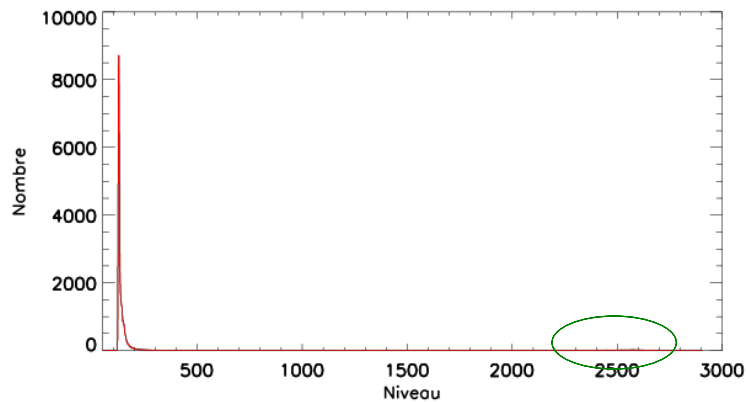
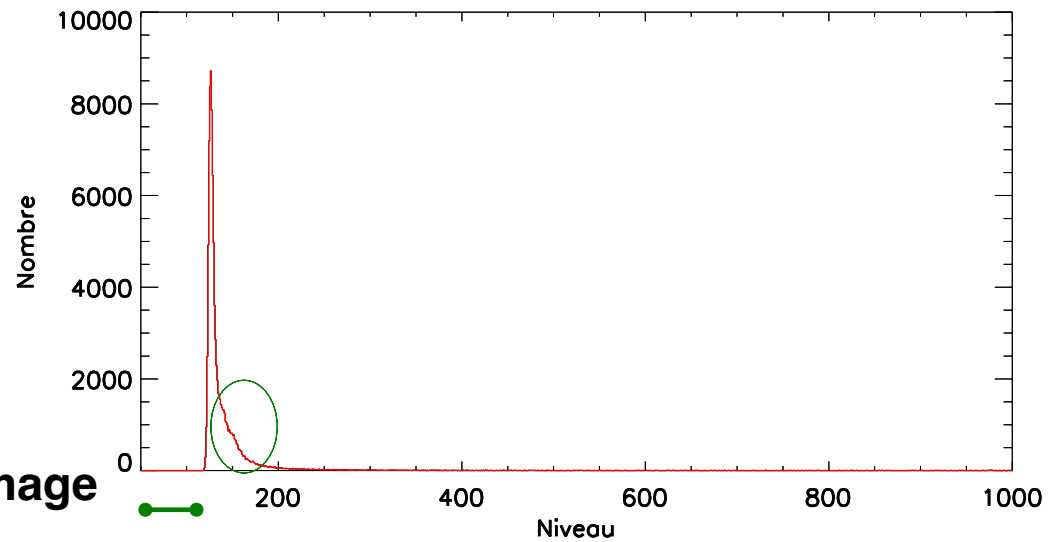
- Which image?
- What does it says about image content?



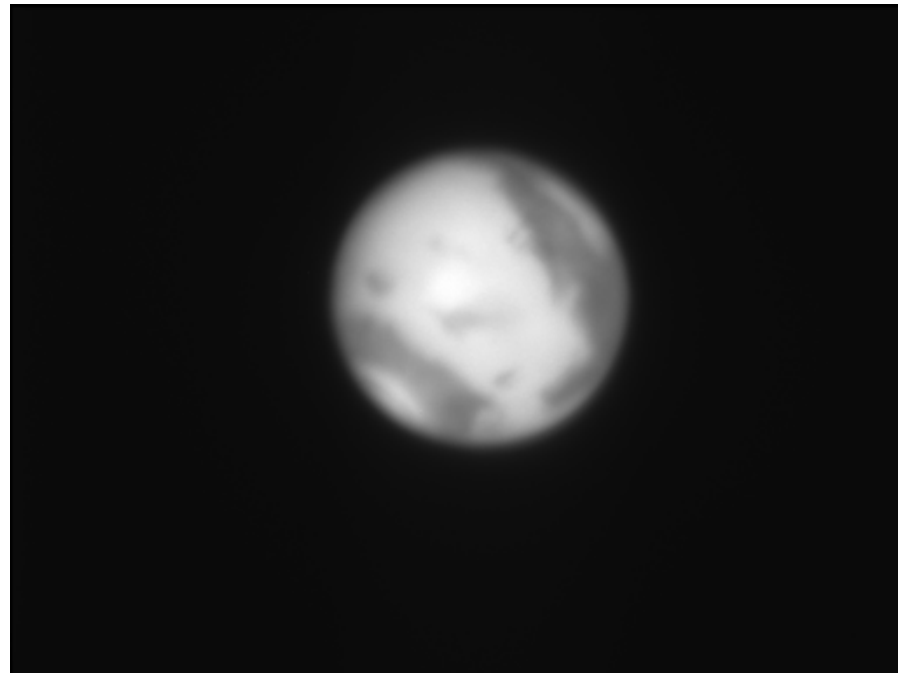
## Playtime

Are histograms helpful?

- Which image?
- What does it says about image content?



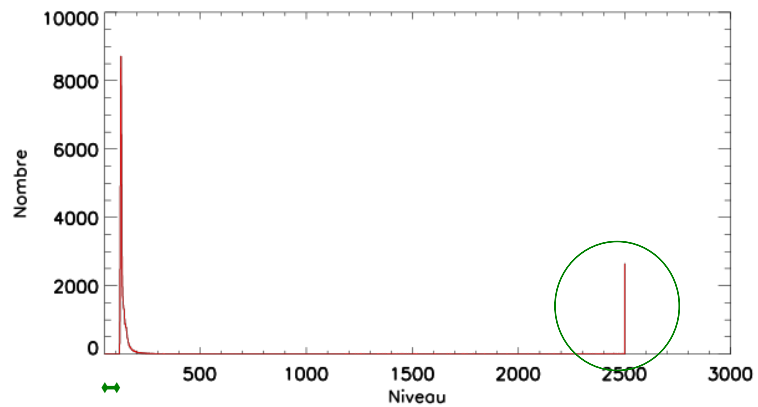
Not easy to distinguish from the dark image  
(mostly trailing high values)  
=> image structure is always subtle



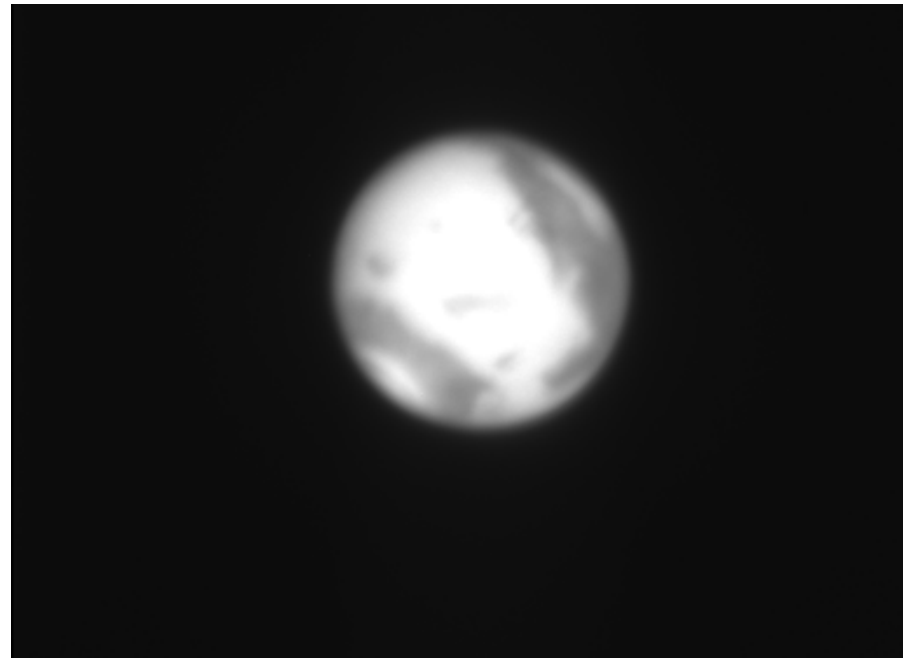
# Playtime

**Are histograms helpful?**

**- Same image, saturated**



But saturation and offset are readily noticeable



## Analyse your images!

### Display / profiles

- Level / variations?
- Structures / artefacts?
- Dead / hot pixels?

=> Adjust contrast, ranges, colour scales

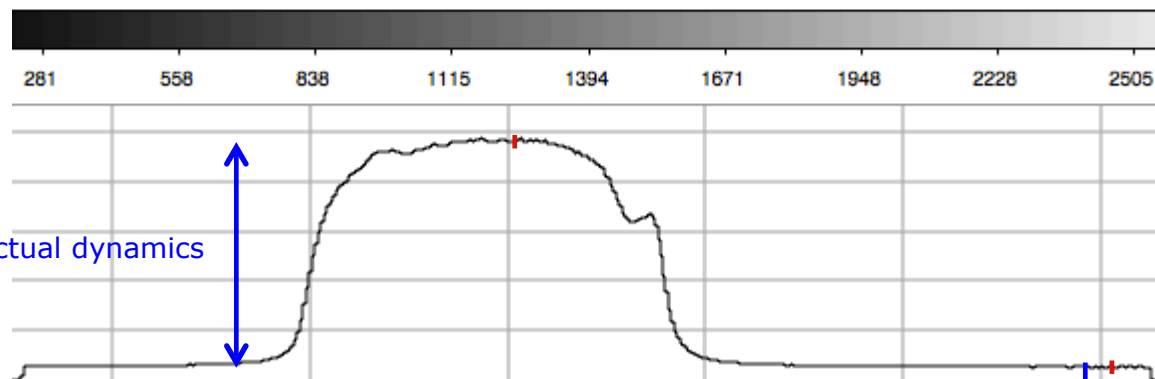
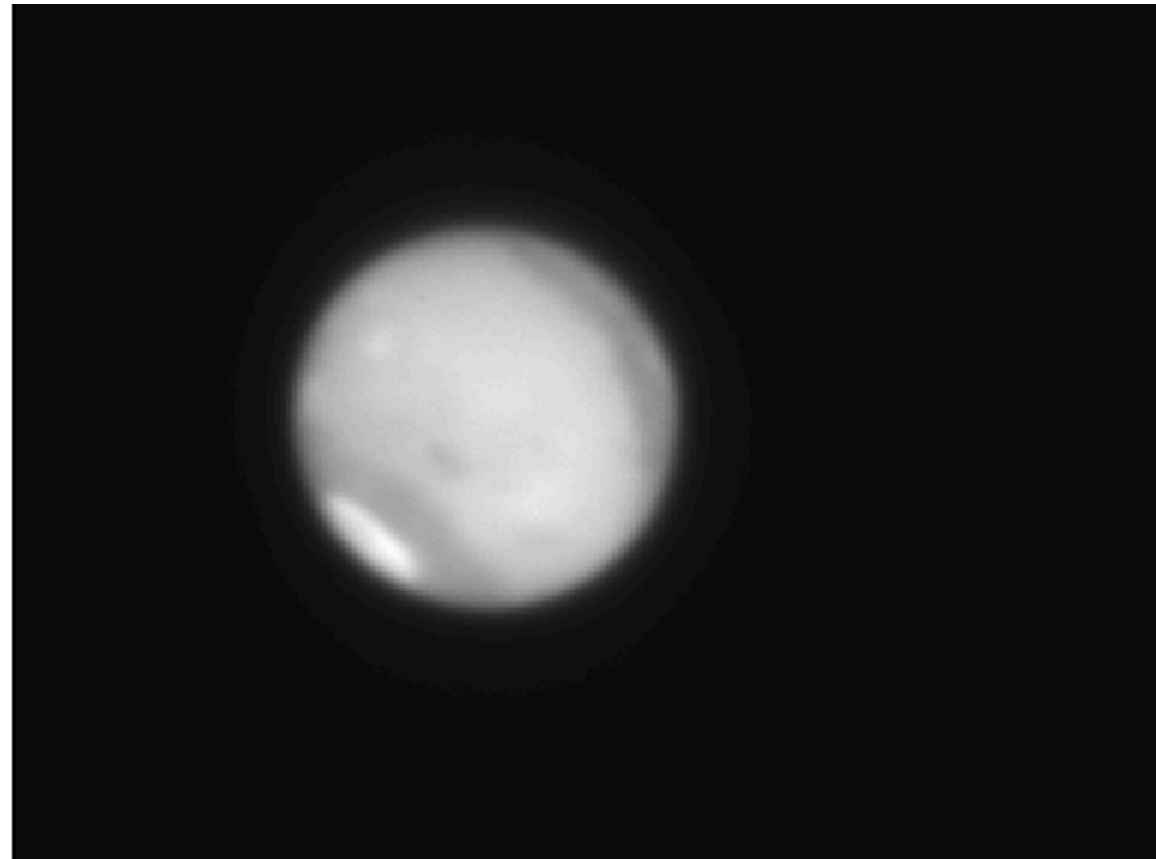


Image displayed in ds9



# Filter imaging

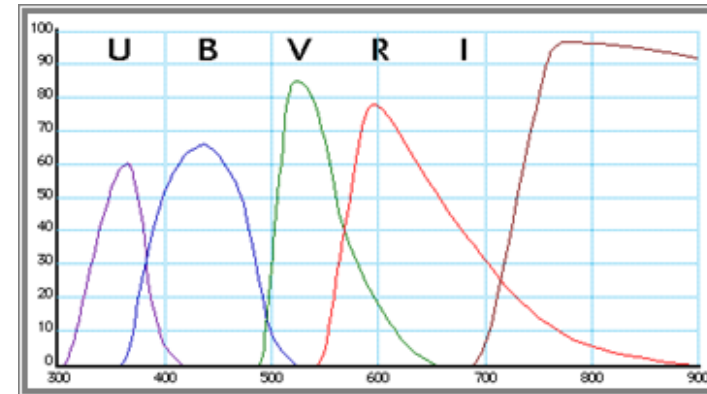
## Incident light observed through filters

### Main types

- **Broadband**: U, B, V, R, etc  
(as many photometric systems as providers)

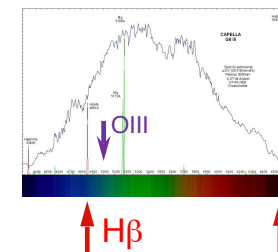
=> **Isolate a part of the visible spectrum**  
initially intended to measure star temperatures

standard colour images = RVB composites



- **Narrow**:  $H\alpha$  (656,3 nm): H, dark red  
 $OIII$  (500,7 nm):  $O^{2+}$ , turquoise

=> **Isolate atomic transitions**



Spectrum of  
Capella (G8)

Same wavelength scale

## Filter imaging

Measured flux equals Source x Filter

$$I = \int_{\lambda_0}^{\lambda_1} I_{source} QE_{CCD} T_{filtre} d\lambda$$

⇒ Flux reduction

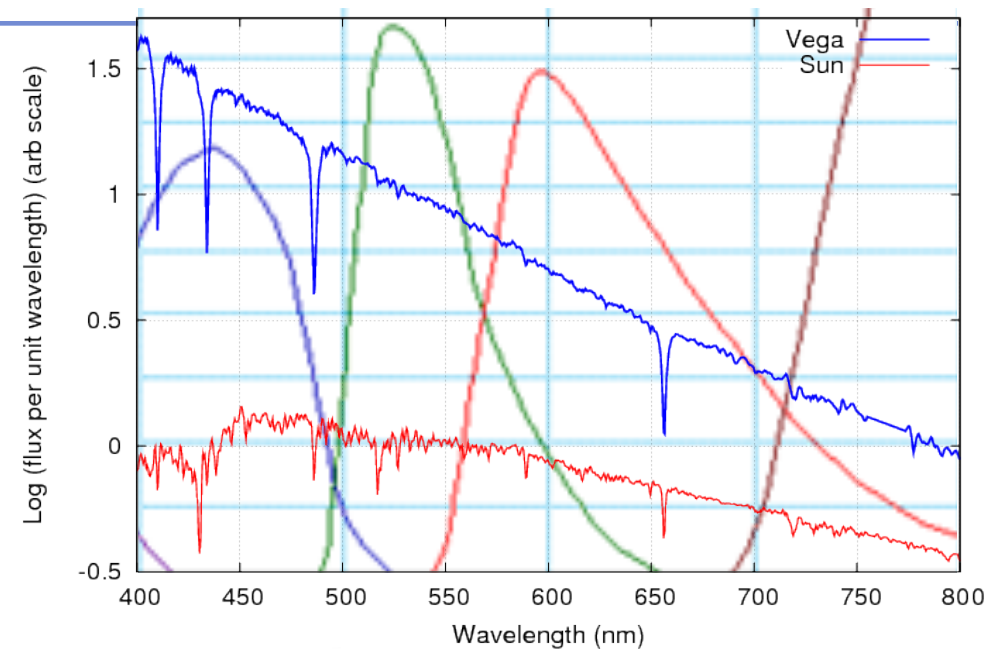
Also includes the detector spectral response (Quantum Efficiency as a function of wavelength)

⇒ Exposure time to be adjusted depending on both filter and source

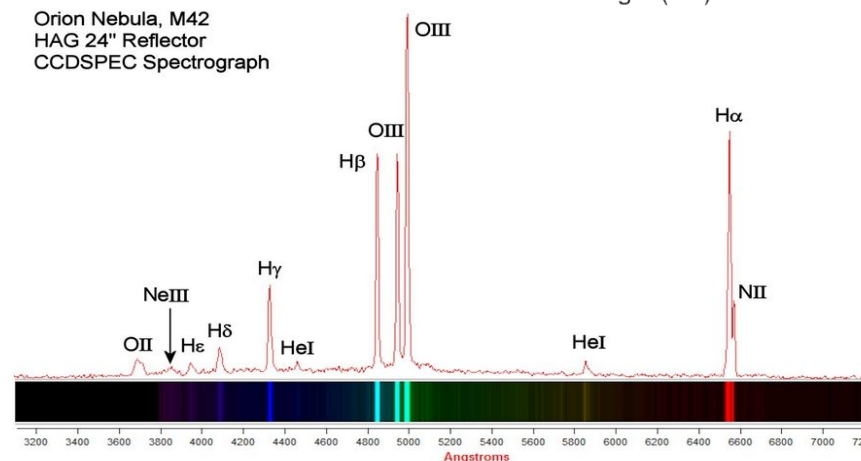
Narrow filters are used e.g. to measure emissions of hot gas

M42 / Orion

Spectra of two stars

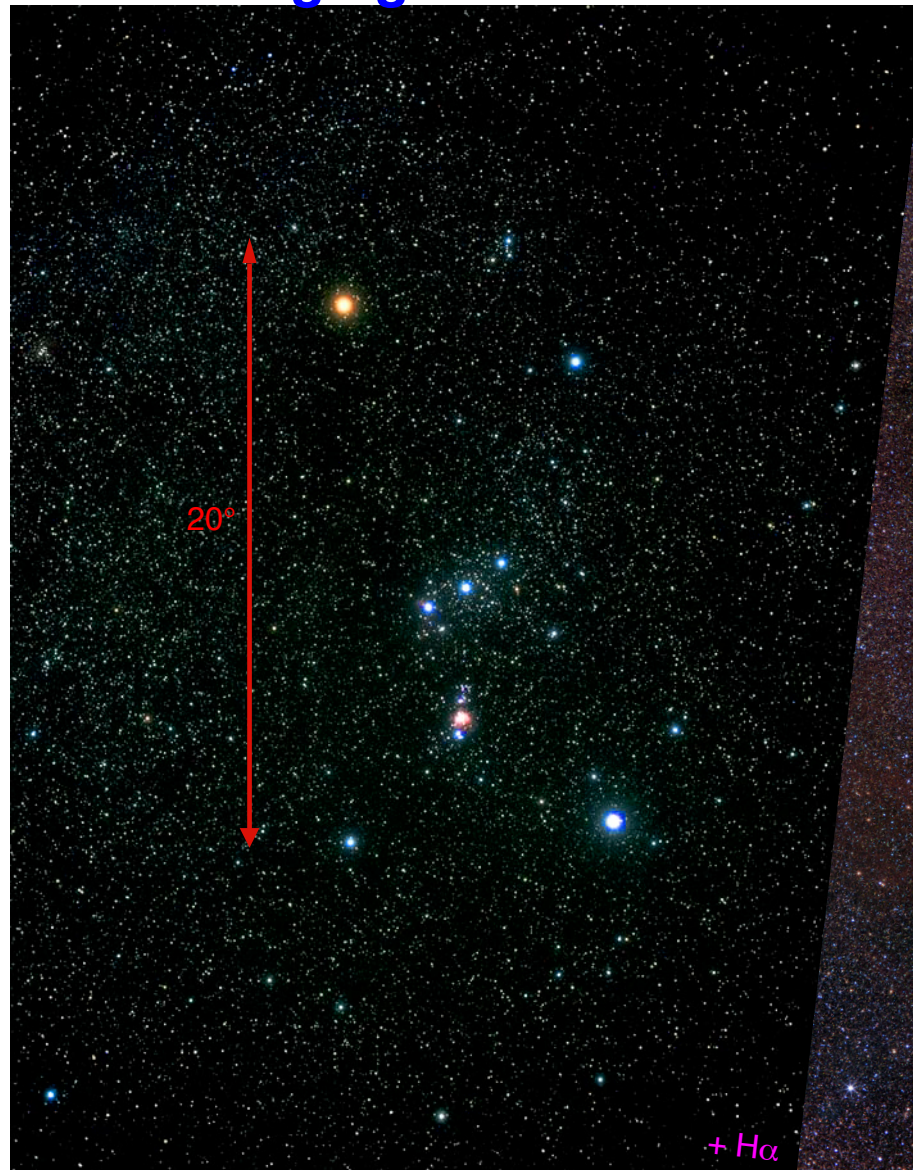


Orion Nebula, M42  
HAG 24" Reflector  
CCDSPEC Spectrograph





## Filter imaging



Akira Fujii

Orion constellation: RVB



S. Guisard &  
R. Gendler



## Colour composites: difficulties

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- Difficult to weight filter images correctly (need reference stars)
- Internal deformations
- Different PFS / resolution in each filter  
⇒ coloured haloes

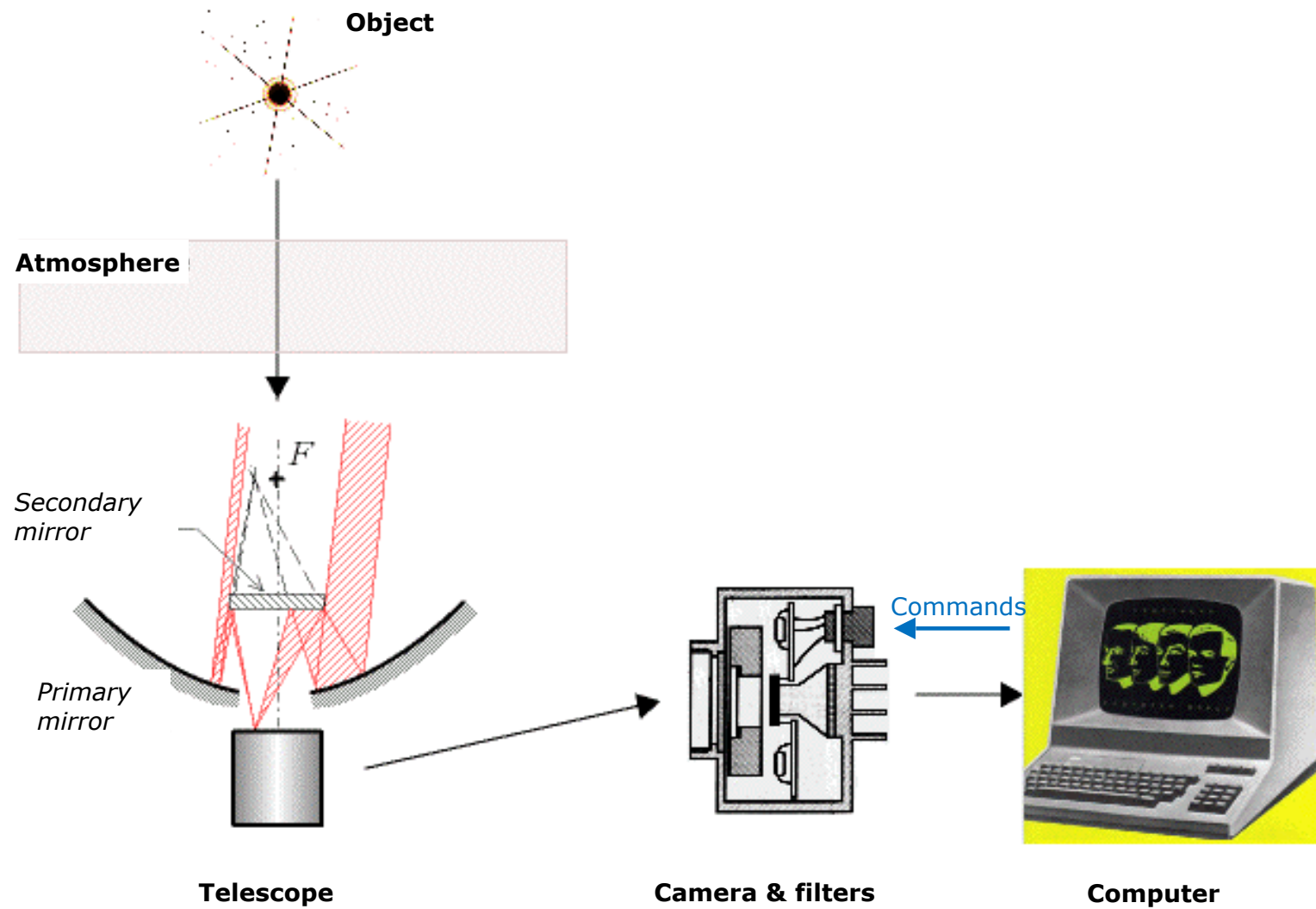
Long  
Often frustrating  
Colour composites have limited  
scientific interest anyway ;(

(1) Ceres passing M100, T120/OHP  
BVr composite, 27/3/2023



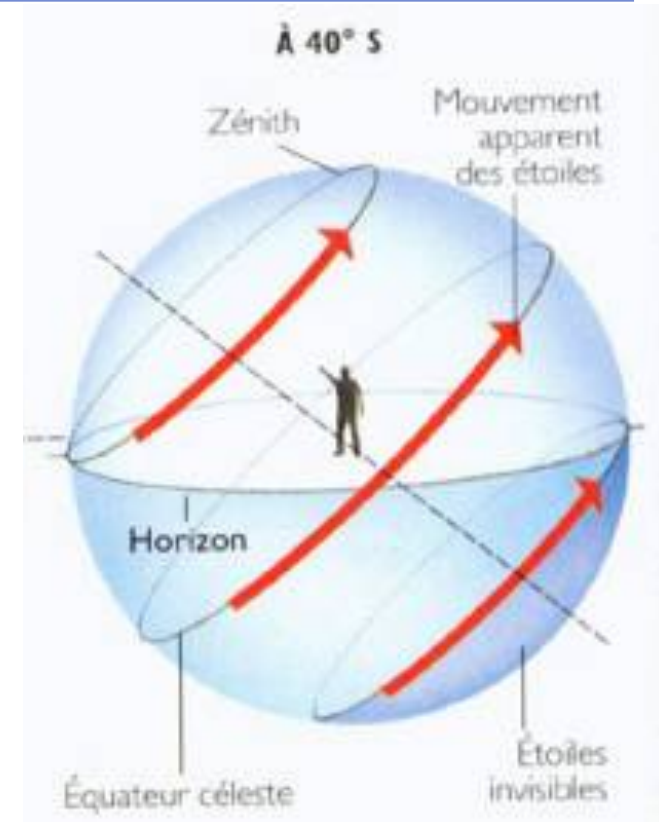
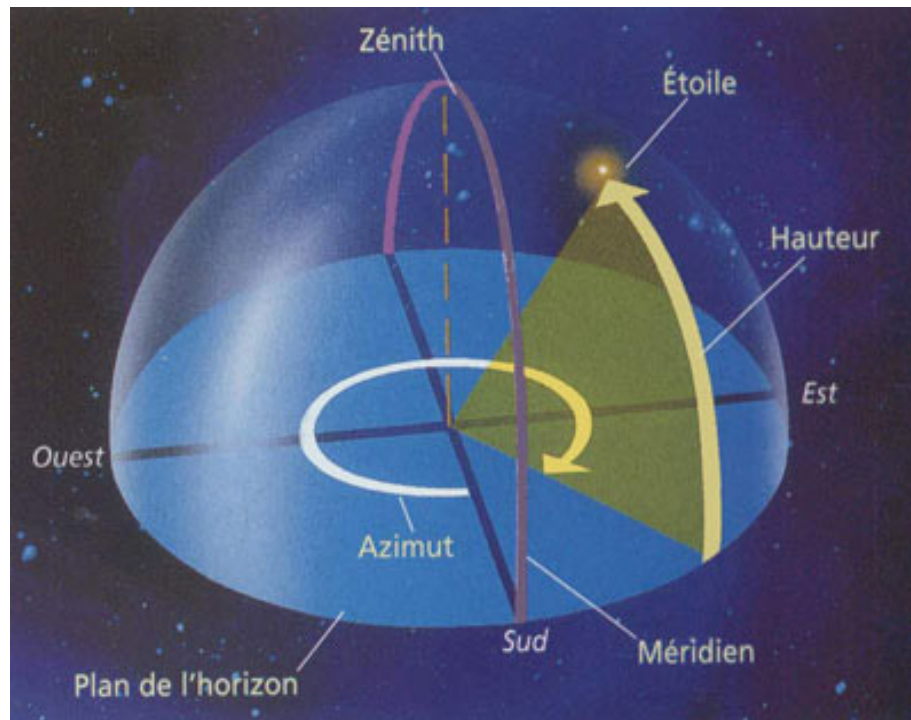
# Acquisition process in astronomy imaging

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## Coordinates for observation: horizontal coordinates



**Simple: azimuth (a) and elevation (h) [wrt horizon]**

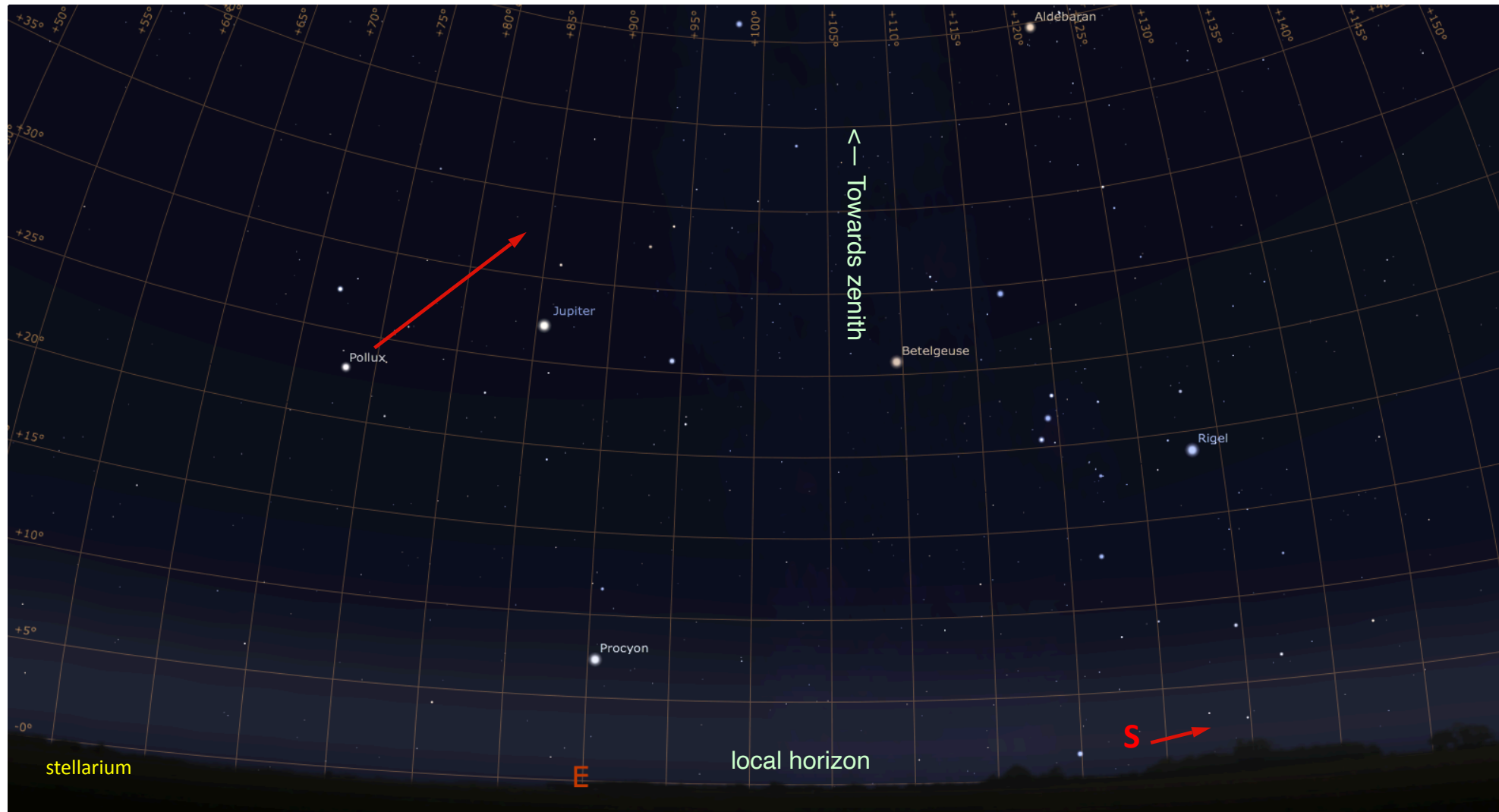
**Problems:**

- Depend on time and place => not fit to catalog objects with positions
- Stars move around the poles => both coordinates change overnight
- Frame rotates overnight

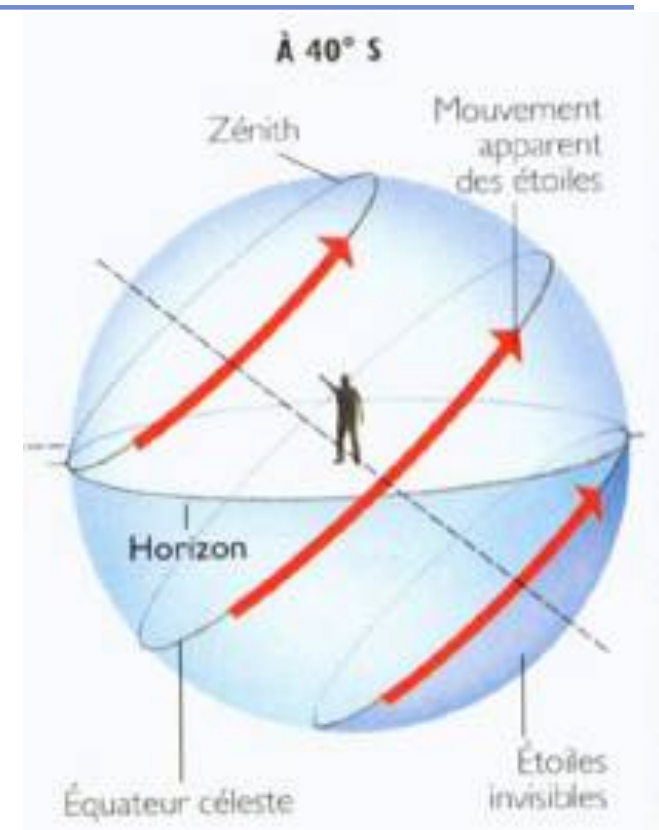
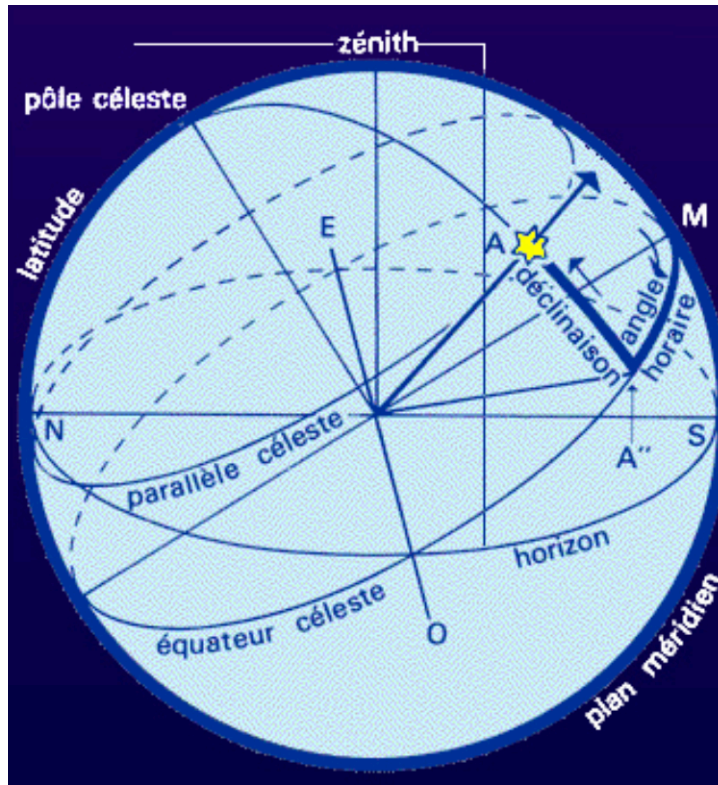
(French = coordonnées azimutales)

<http://www.astrosurf.com/toussaint>

# Horizontal coordinates



## Coordinates for observation: equatorial coordinates (1)

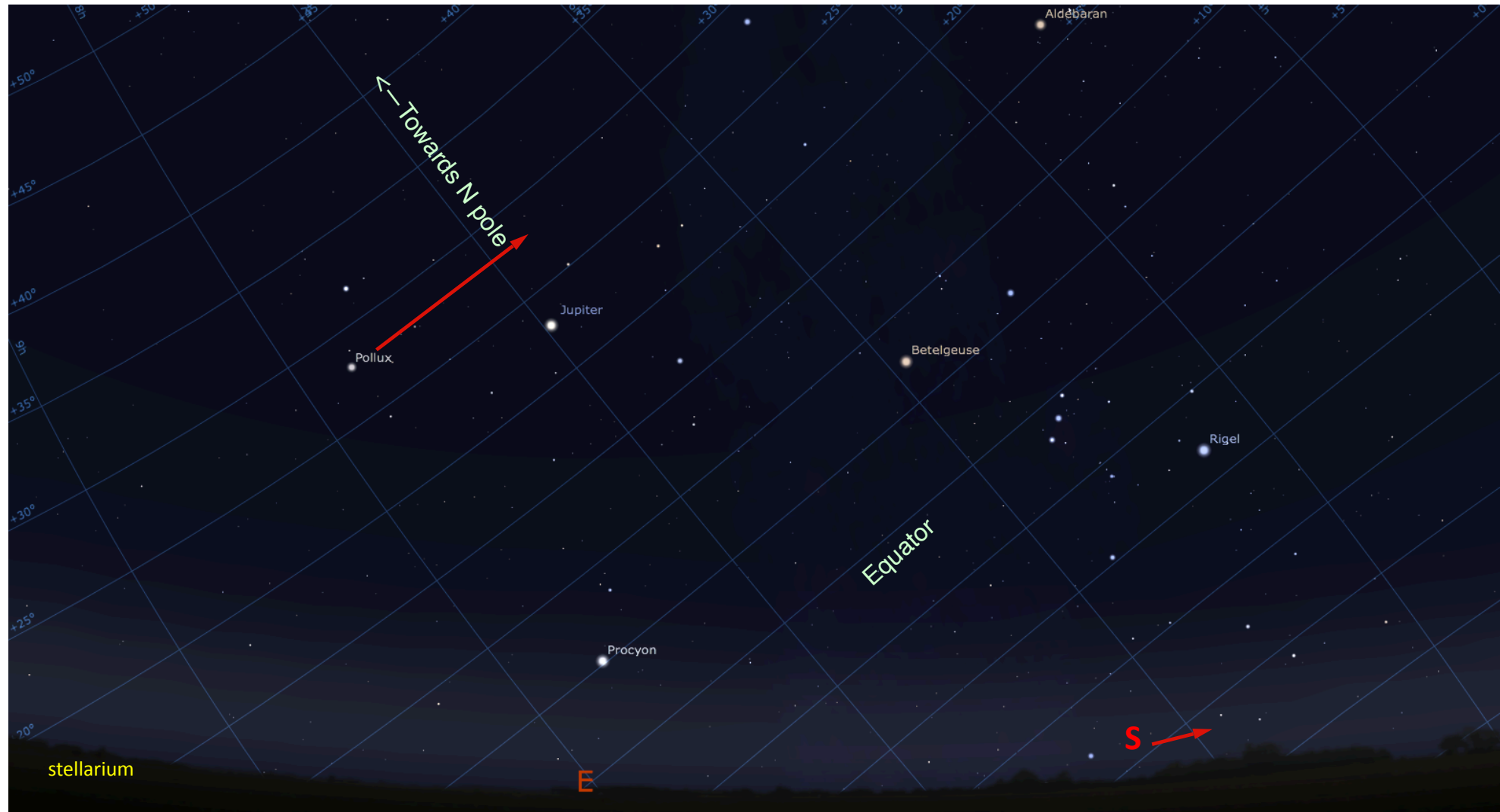


**Declination ( $\delta$ )** [wrt Equator] and **hour angle (H)** [wrt meridian]

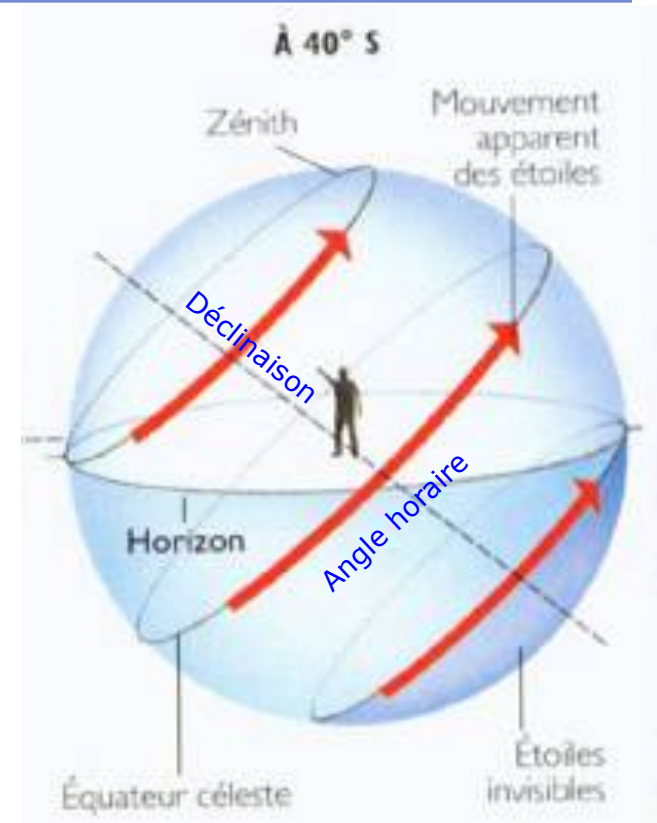
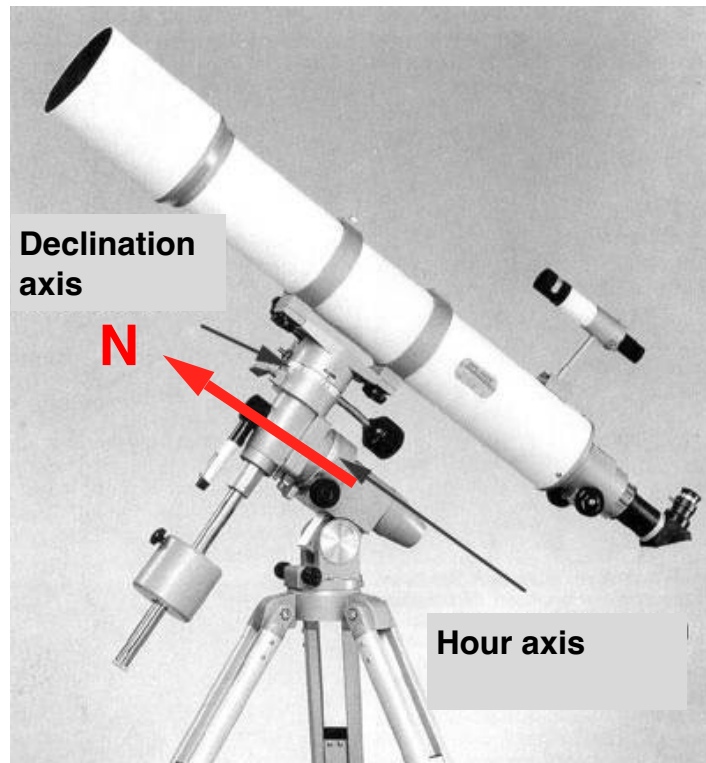
- **Pole distance is constant**  $\Rightarrow$  **only one coordinate changes overnight**
- **H is referred to the local S direction** (= meridian), practical on the telescope (French = coordonnées horaires – the English name is ambiguous)

<https://www.universalis.fr/encyclopedie/coordonnees-horaires/>

# Equatorial coordinates



## Coordinates for observation: Equatorial mount



- One axis parallel to Earth polar axis
- To follow one object overnight: just need to rotate at the same speed, declination remains constant



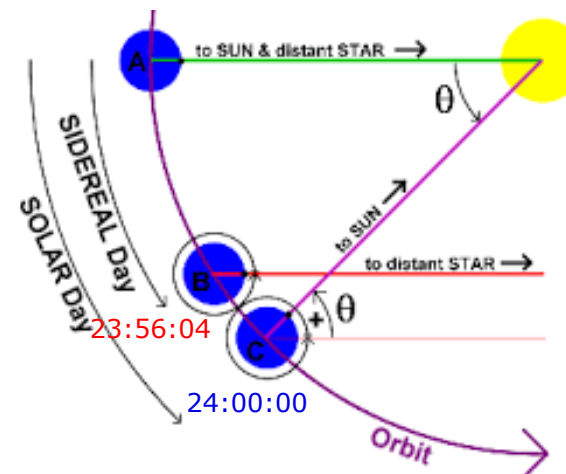
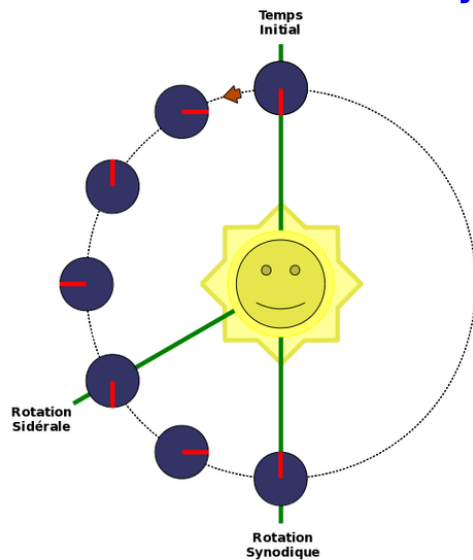
## Fun and educational question

How long does it take for the Earth to revolve around herself?

Answer : depends on "relative to what"

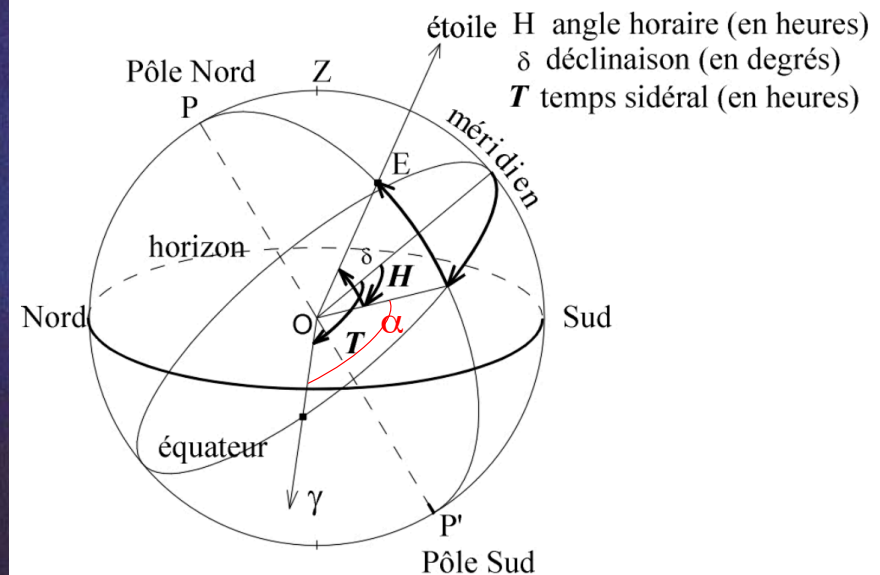
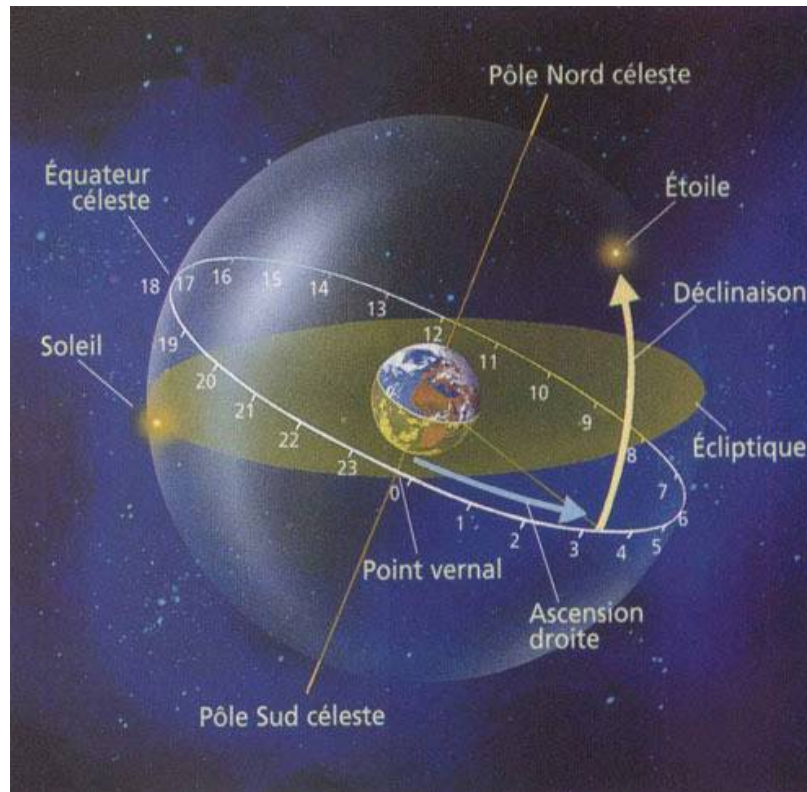
**24h = time required for the Sun to return to the same position in the sky**  
= **mean solar day** (averaged over the year - depends on Earth-Sun distance)

**23h 56' 04" = time required for a star to return to the same position in the sky**  
= **sidereal day** (period in an ~ inertial frame)





## Coordinates for observation: equatorial coordinates (2)



**Declination ( $\delta$ )** [wrt Equator] and **right ascension ( $\alpha$ )** [wrt vernal point]

- **Allows cataloguing of objects** (absolute, on short time scales)
- **2<sup>nd</sup> fixed coordinate defined by correcting observer's location**  
(right ascension  $\alpha$  - requires a reference point to be defined on the sky)

(French = coordonnées équatoriales)

<https://cral.univ-lyon1.fr>

## Vernal equinox and sidereal time

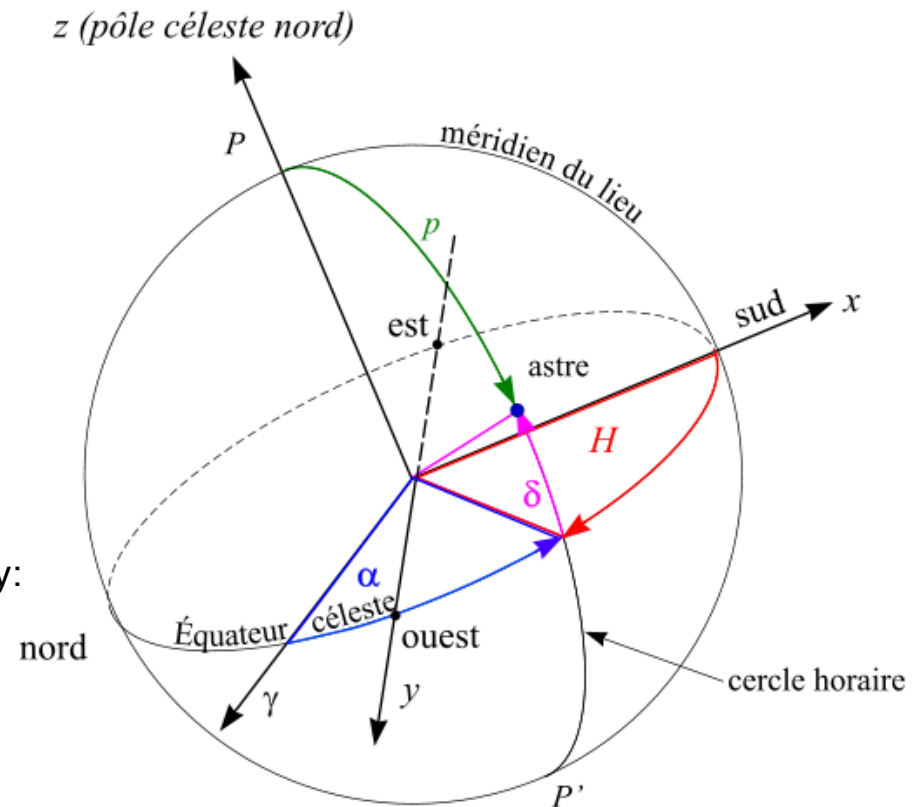
**Direction of Sun at N spring / March equinox  $\gamma$  (stands for Aries) or  $\gamma$   
= a reference direction in the Equator plane (French: point vernal)**

**Local sidereal time  $\Theta$  = hour angle of the vernal point (fct of time and longitude)**

**Right ascension of an object  $\alpha$  (fixed):  
Local sidereal time - hour angle**

$$\Theta = H + \alpha$$

**In practice:** you know  $\alpha$  from a catalogue,  
you need  $H$  to point the telescope manually:  
=> Use a computing applet  
(inputs: date, time, location,  $\alpha$ )

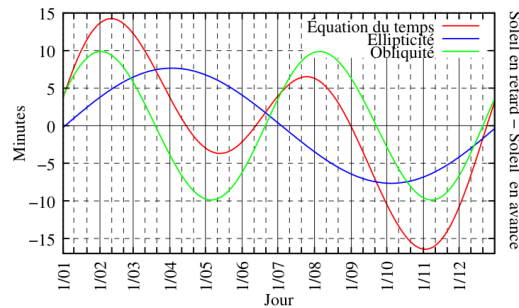


## Solar and sidereal times / subtleties

**Local sidereal time  $\Theta$**  = hour angle of vernal point (fct of time and longitude)  
= right ascension of objects at local meridian (always)

The **true solar time** depends on the shape of the Earth orbit and axis inclination

**Equation of time** = difference between mean (usual) and true solar times, an oscillating function of mean solar time over the year



See Equation of time on Wikipedia or anywhere

[https://media4.obspm.fr/public/ressources\\_lu/pages\\_mctc/](https://media4.obspm.fr/public/ressources_lu/pages_mctc/)

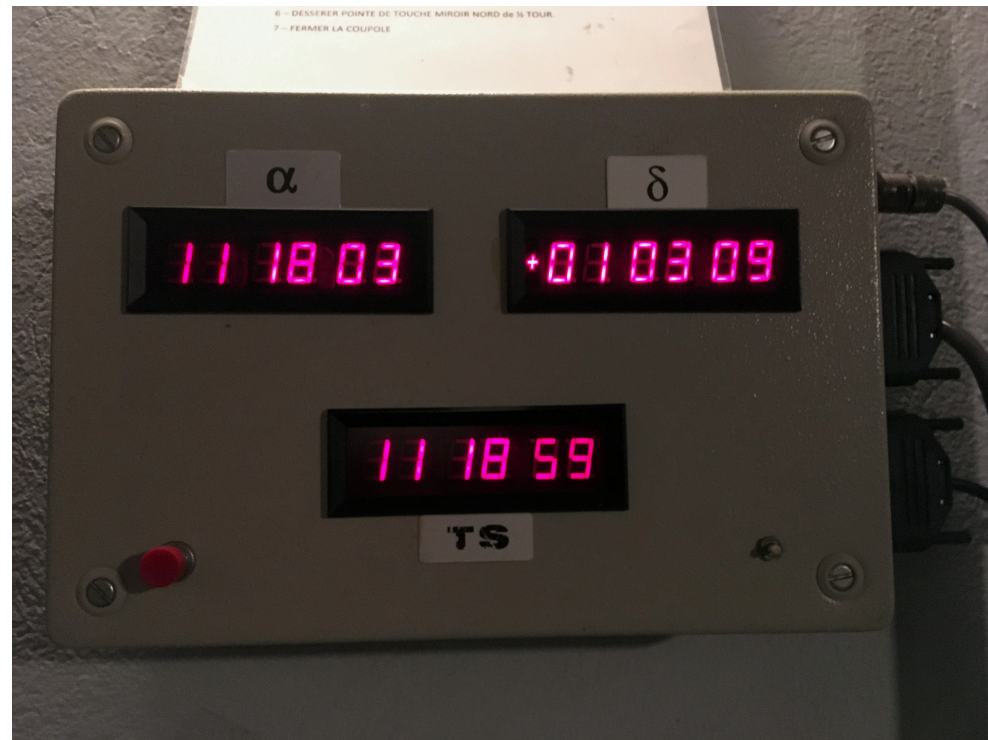
- Additionally: the vernal point drifts with Earth precession (period 26 000 yr,  $\sim 50''/\text{yr}$ )

=> Equatorial coordinates are provided for restrained periods (B1950, J2000) or for the current date

## Solar and sidereal times



Typical astronomical clock providing  
Mean solar time & Sidereal time: the  
Esclanon clock  
(Paris Observatory, bâtiment Perrault)



Pointing display at OHP's T120 – *figure it out!*  
(TS = sidereal time)  
Pointing ~ meridian —  $\alpha$  /  $\delta$  provide the pointing direction  
Image time (UTC+2) = 27/3, 00:39  
Longitude: 5°44' E

## Signal and noise – Notations (tentative)

Notation *and even vocabulary* depend on science field and context - be flexible!

**Flux and intensity** in particular can refer to very different things

	(from) Source	(on) Detector	(digital) Instrument output	
"signal"  <i>May be given by unit surface, solid angle, wvl/fq</i>	Light <i>flux</i> , radiant intensity / emitted power / specific intensity (W/m <sup>2</sup> /sr/μm) / radiance = luminance (W/m <sup>2</sup> /sr)  (intrinsic quantity) May be provided on magnitude/ log scale, with various normalisations	Measured power = flux density (W/m <sup>2</sup> ) / irradiance = brightness = illuminance = radiant flux  Depends on observing configuration, distance, field of view, filters, transmission, integration time, etc	Digital Number (DN) = Analog to Digital Unit (ADU) = counts  Depends on instrument characteristics and setup Can be calibrated to recover measured power and observed quantity	
Common notations	I, L, $\phi$ , etc B for a black body	E, F Integrated over spectral range and exposure time	S	
Fluctuations	$\sigma_{source}$ (std-deviation) S/N, SNR (signal-to-noise ratio)		$\sigma_{tot}^2 = \sigma_{source}^2 + \sigma_{dark}^2 + \sigma_{lecture}^2 + \sigma_{numer}^2$ The <i>variance</i> is additive if noise sources are independent	
	see e.g., here: <a href="https://en.wikipedia.org/wiki/Radiant_energy">https://en.wikipedia.org/wiki/Radiant_energy</a> <a href="https://en.wikipedia.org/wiki/Apparent_magnitude">https://en.wikipedia.org/wiki/Apparent_magnitude</a> <a href="https://en.wikipedia.org/wiki/Photometric_system">https://en.wikipedia.org/wiki/Photometric_system</a>			



# Signal and noise

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## Every measurement is subject to uncertainty

- Photon noise
  - Intrinsic variability of source
  - Poisson distribution  $\Rightarrow \sigma_{source} = \sqrt{N_{source}}$

$\Rightarrow$  S/N increases with

  - longer exposures
  - averaging
- Thermal (Johnson) noise (on dark current)
  - Uncertainty on accumulated thermal charges
  - Poisson distribution  $\Rightarrow \sigma_{dark} = \sqrt{N_{therm}}$

$\Rightarrow$  S/N increases with

  - longer exposures
  - lower temperatures
- Readout noise (~10 to 100 e<sup>-</sup> / pixel)
  - Charge transfer efficiency
  - Accuracy of analog amplification

$\Rightarrow$  S/N increases with

  - longer exposures
  - slower readout mode
- Digitization noise / roundoff error (constant in DN)

$\Rightarrow$  S/N increases with signal

Various noises combine in quadratic sum  
(because they are assumed independent)

**Signal-to-noise ratio = Average corrected signal / Overall noise**



## Signal and noise

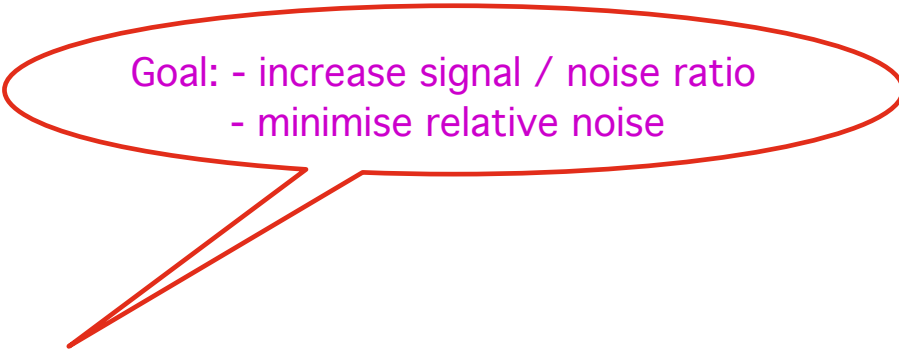
---

**Measured signal :**  $S_{tot} = I_{source} \times Flat + Dark$

**(Overall noise)<sup>2</sup>:**  $\sigma_{tot}^2 = \sigma_{source}^2 + \sigma_{dark}^2 + \sigma_{lecture}^2 + \sigma_{numer}^2$

**Total noise = Root mean square of various noises**

(i.e.: they combine in quadratic sum — because they are assumed independent)



Goal: - increase signal / noise ratio  
- minimise relative noise

**Signal-to-noise ratio = Mean corrected signal / noise std-deviation**

# The Poisson distribution

Assumptions: - events are random and independent  
- event frequency is constant ( $\lambda$ )

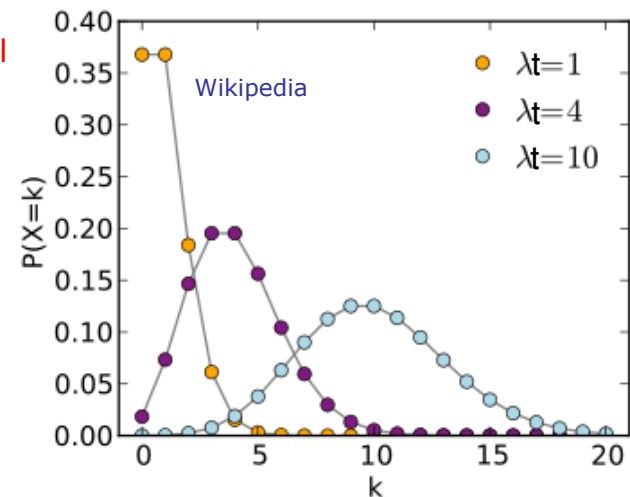
Examples: photon emission; creation of thermal charges

Probability mass function (to have  $k$  event during interval  $t$ ): 
$$P(k) = e^{-\lambda t} \frac{(\lambda t)^k}{k!}$$

Demonstration: see MPA site

[https://media4.obspm.fr/public/AAM/pages\\_proba/poisson.html](https://media4.obspm.fr/public/AAM/pages_proba/poisson.html)

Tends towards a Gaussian distribution  
when  $\lambda t$  is large (central limit theorem)



With  $N = \lambda t$ :

Mean =  $N$  (nb of photons received during  $t$ ) => Predictable

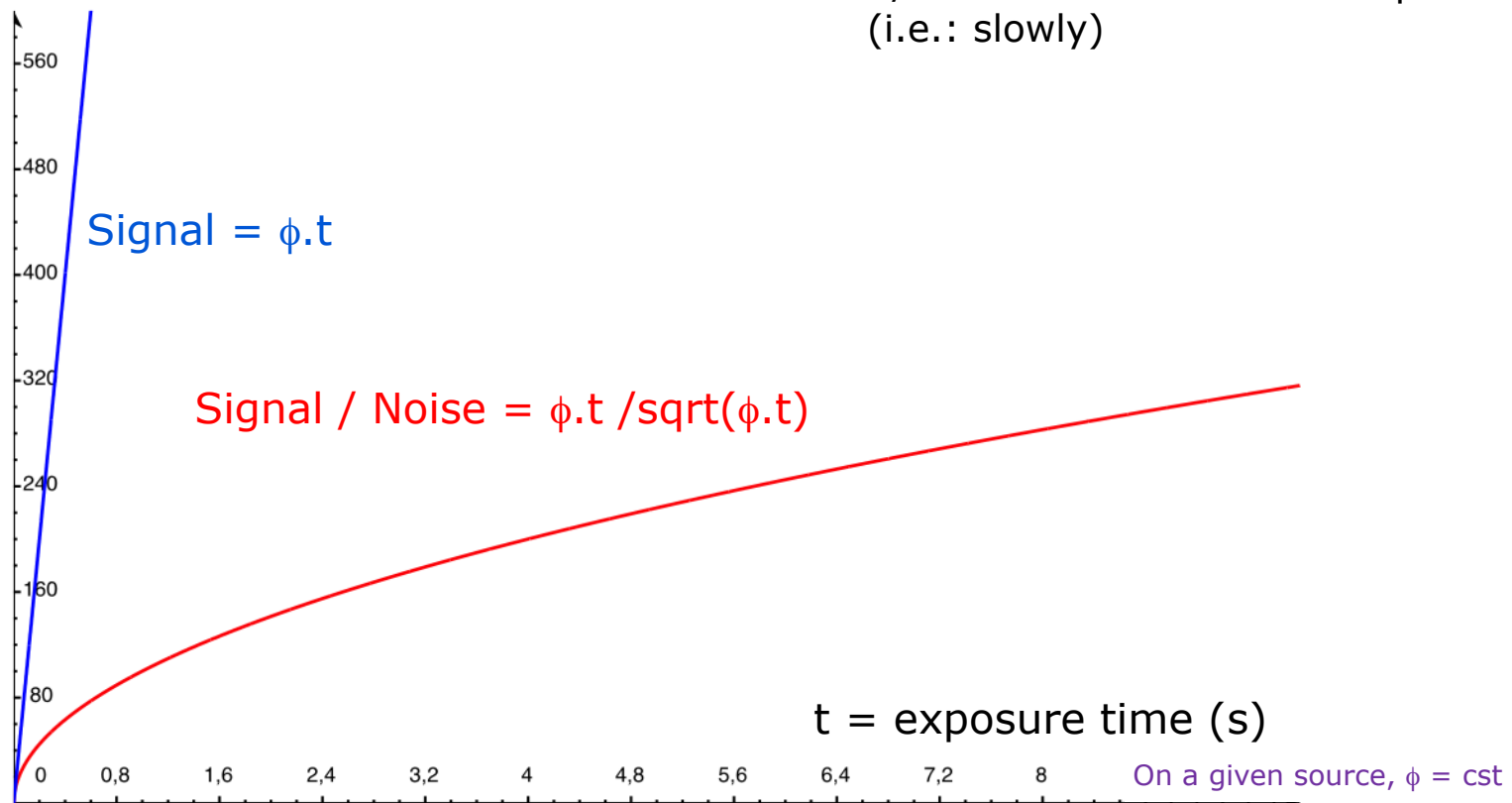
Standard deviation:  $\sigma = \sqrt{N}$  (mean variation around this value, between successive measurements)  
=> Random: *this* is noise (sometimes referred to as *shot noise*)

## The Poisson distribution

Signal to noise ratio  
(for a Poisson distribution)

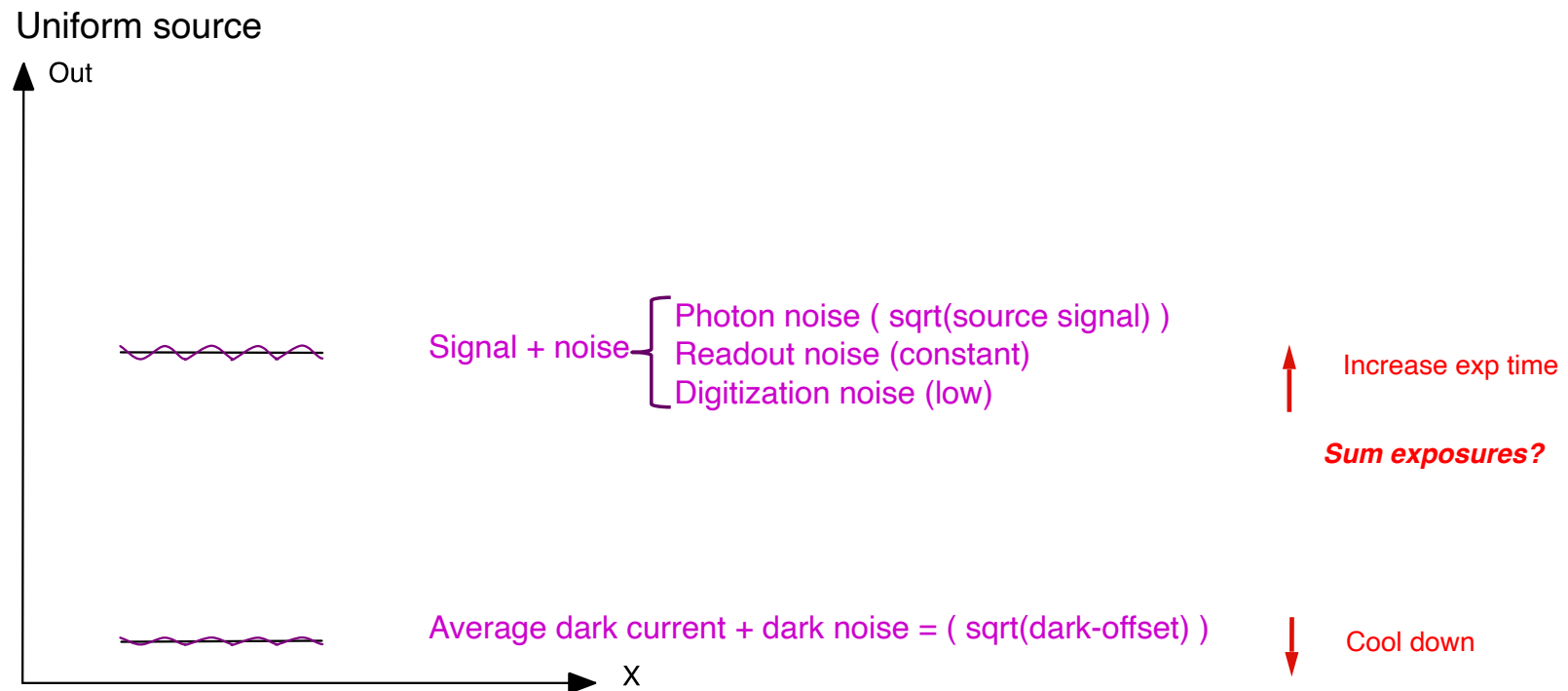
$$\frac{I_{source}}{\sigma_{source}} = \frac{N}{\sqrt{N}} = \sqrt{N} = \sqrt{\phi t}$$

S/N ratio increases as the square root of t  
(i.e.: slowly)



# Signal and noise

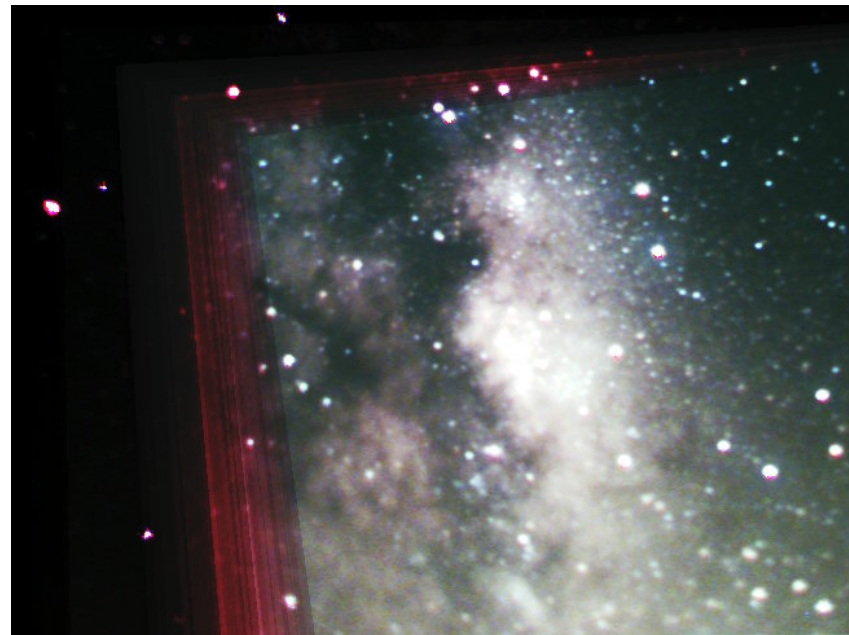
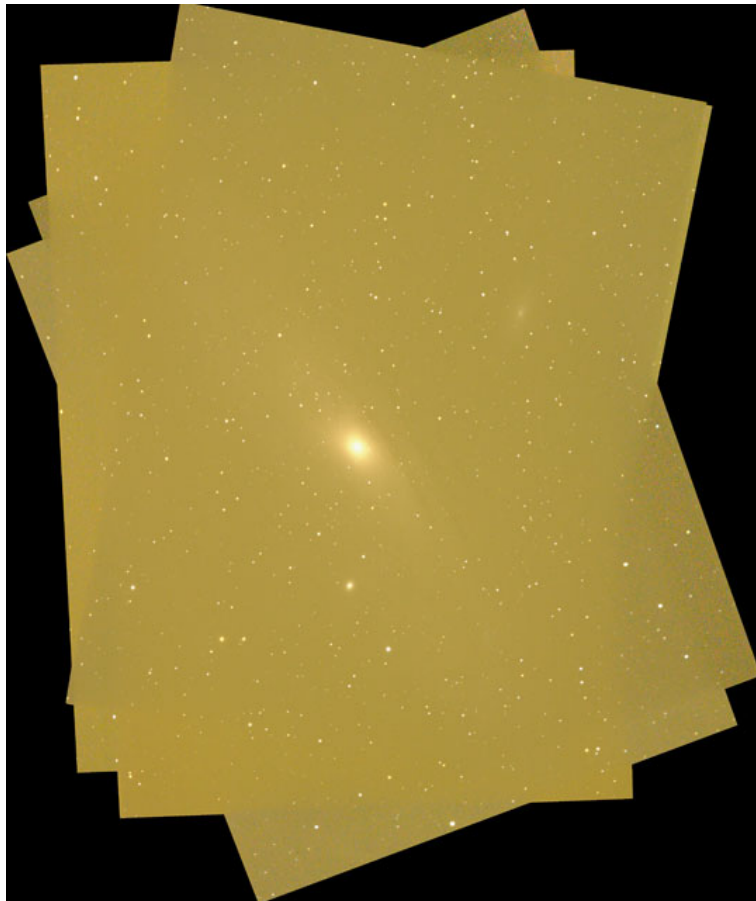
---



## Reducing noise by summing

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- Successive exposures => image stacks centred / aligned on object





## Reducing noise by summing

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- Images must correspond in X/Y plane  
=> centring, rotation, scaling  
(may be tricky)
- Sum, average or median over Z  
(i.e., pixel by pixel)

n images

S : average signal (over Z)

$\sigma$  : individual noise



## Summing vs readout noise

---

	Total signal (average)	Readout noise (std-deviation)	Signal-to-noise ratio
1-sec exposure	Signal	$\sigma_{\text{lect}}$	$\text{SNR} = \text{Signal} / \sigma_{\text{lect}}$
Sum of 10 1-sec exposures	10 . Signal	$\text{sqrt}(10) \cdot \sigma_{\text{lect}}$	$\text{sqrt}(10) \cdot \text{SNR}$
1 exposure of 10 sec	10 . Signal	$\sigma_{\text{lect}}$	10 . SNR

Signal-to-noise ratio when readout noise is  
the main source of uncertainty (common case)

=> It's always better to use longer exposure when feasible  
The same thing applies to binning modes  
You normally average several dark frames and flat-field images anyway

# Noise reduction techniques

---

- **Summing successive frames**

- Signals add linearly ( $n \times S$ )
- Readout noises add quadratically ( $\sqrt{n} \times \sigma_{\text{lect}}$ )
- Signal to noise ratio increases slowly – but always OK for dark frames or flat-fields

- **Longer Exposure**

- Signals add ( $n \times S$ )
- Readout noise is unchanged ( $\sigma_{\text{lect}}$ )
- Signal to noise ratio increases rapidly if and only if readout noise dominates!
- Signal to noise ratio increases slowly whenever photon noise dominates

**=> Optimise exposure time and binning size during acquisition!**

- **Binning**

- Efficient only if done at readout time (reduces relative readout noise)
- Less efficient if done after acquisition (by software) - like average of successive frames

- **Median of successive frames**

- Very efficient to filter outliers (cosmic rays, parasites...)
- Does not explicitly reduce noise (but roughly equivalent with 30+ images)

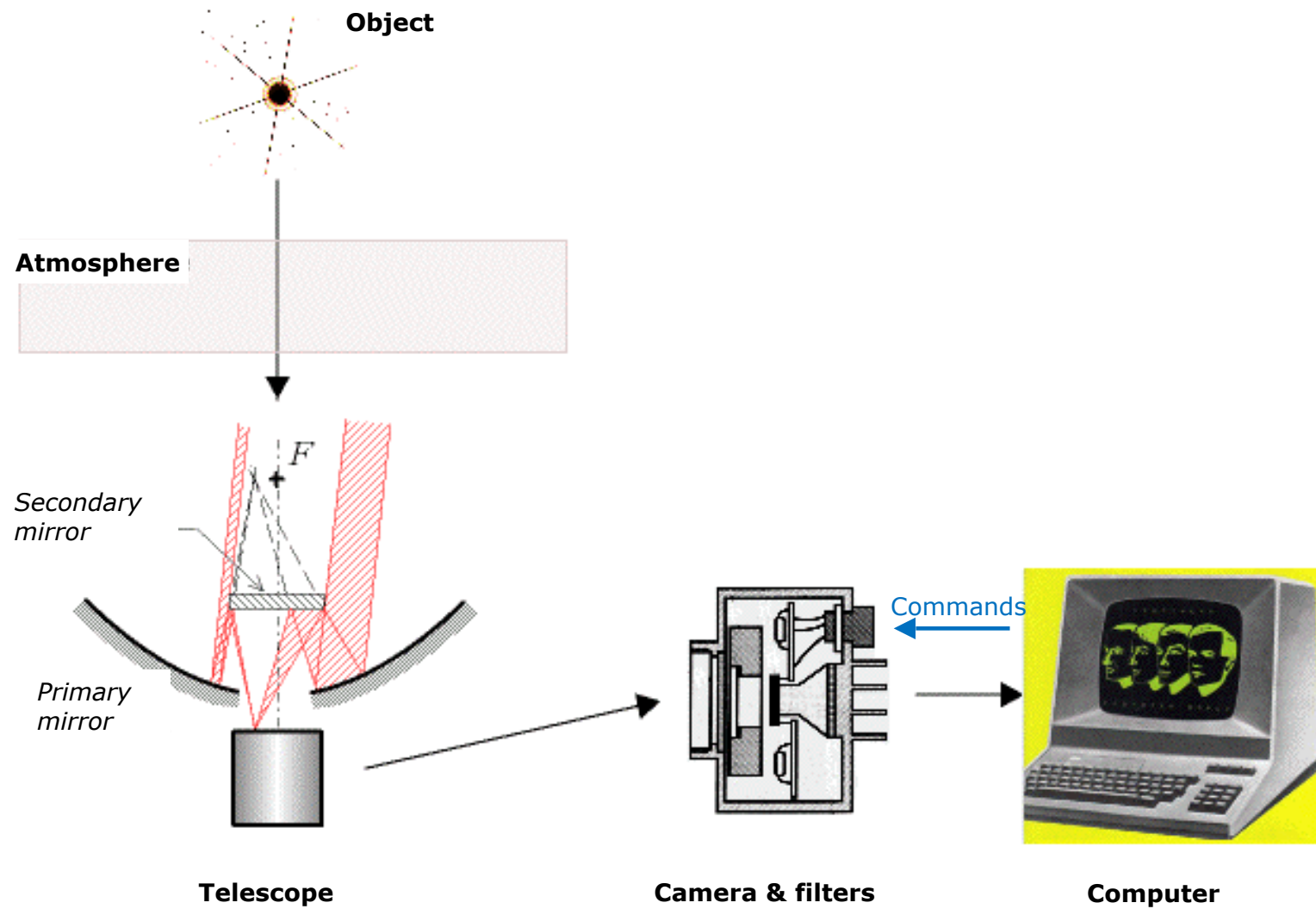
- **Sigma-clipping**

- Iterative average & rejection of outliers: eliminates peaks and increases S/N ratio



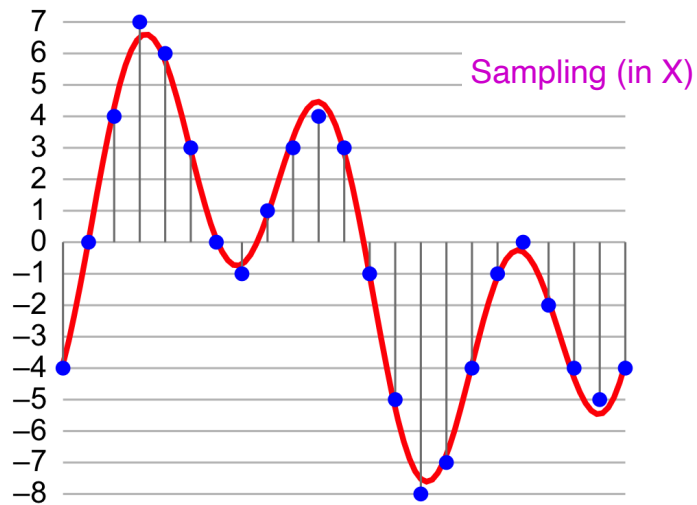
# Acquisition process in astronomy imaging

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## Digitisation (reminder) — sampling effects



**Nyquist-Shannon (sampling) theorem:**  
**Fourier components with**  
 **$f_q > \text{sampling } f_q / 2$  (Nyquist  $f_q$ ) are lost**  
(actually: aliased, folded around sampling  $f_q$ )

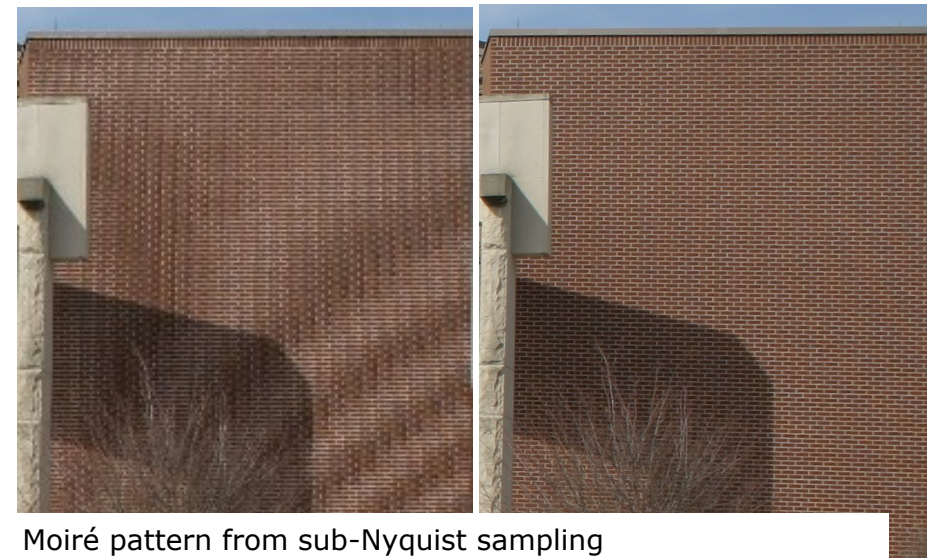
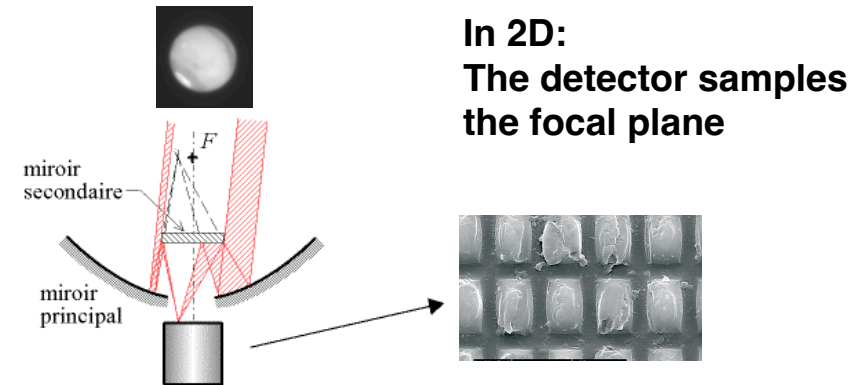
**Required**  
**sampling step  $\leq$  size of smallest details / 2**

Hear the aliasing!

[https://www.audiolabs-erlangen.de/resources/MIR/FMP/C2/C2S2\\_DigitalSignalSampling.html](https://www.audiolabs-erlangen.de/resources/MIR/FMP/C2/C2S2_DigitalSignalSampling.html)

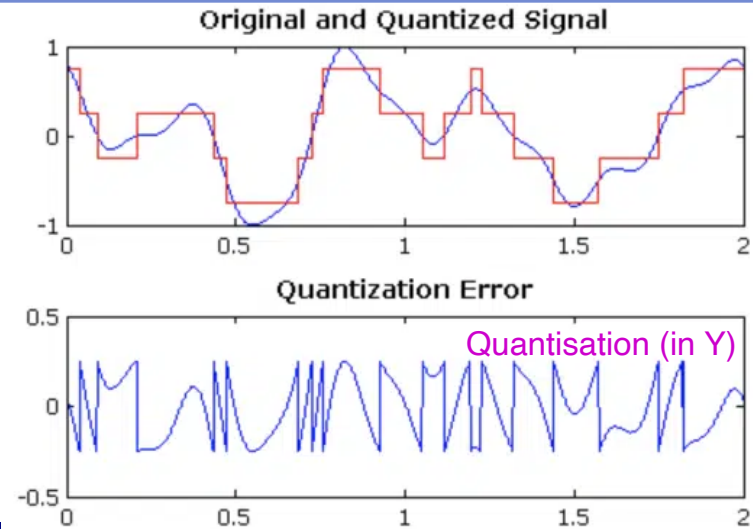
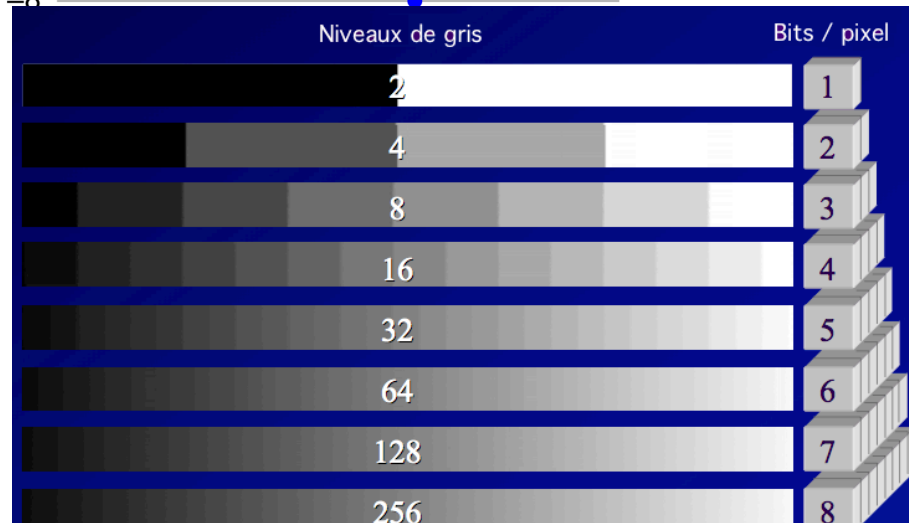
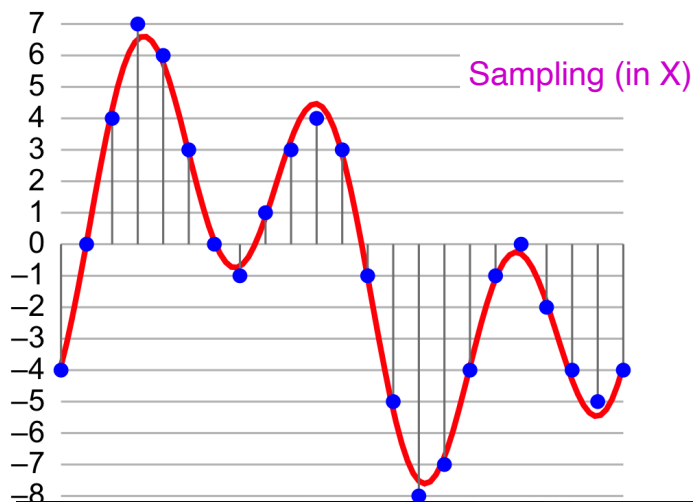
See it in movies:

[https://en.wikipedia.org/wiki/Wagon-wheel\\_effect](https://en.wikipedia.org/wiki/Wagon-wheel_effect)



Moiré pattern from sub-Nyquist sampling  
(enlarge this doc if Moiré is also present on the right image)

## Digitisation (reminder) – Quantisation effects



**Continuous values => steps**

**Nb of grey levels =  $2^{\text{bit/px}}$  encoded in DN or ADU**

(Digital Numbers, or Analog to Digital Units

- French: pas-codeurs)

**Quantisation noise = rounding error**

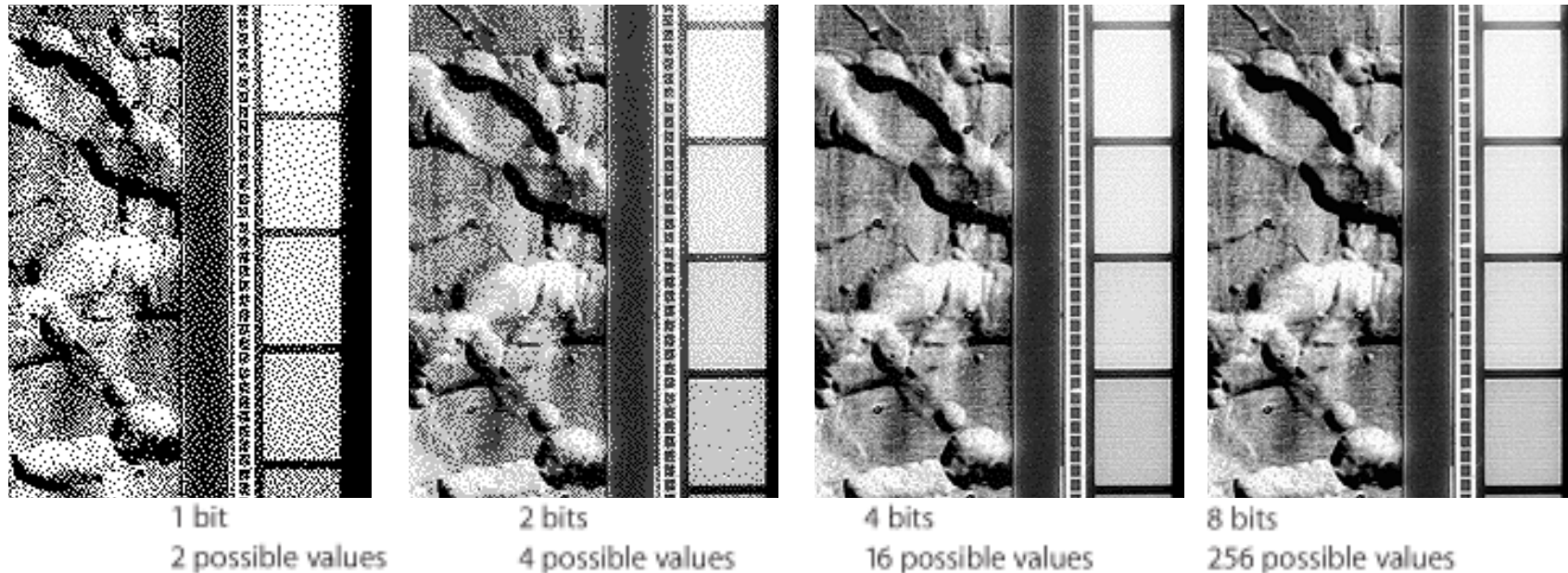
(depends on nb of bits for encoding)

Have fun! Show that

$$\sigma_{\text{numer}} = 1/\sqrt{12} \quad (\text{in DN})$$

Hear the noise! [https://www.audiolabs-erlangen.de/resources/MIR/FMP/C2/C2S2\\_DigitalSignalQuantization.html](https://www.audiolabs-erlangen.de/resources/MIR/FMP/C2/C2S2_DigitalSignalQuantization.html)

## Digitisation (reminder)



Mariner 9 / Mars (digitised from analog measurements)

=> Details are lost in visual noise, lesser dynamics affects spatial resolution

**Nb of bits required?** Noise encoded on (at least)  $\sim 1$  DN ;  $N$  bits =>  $2^N$  levels (DN)

=>  $N$  such that  $2^N > \text{well capacity} / \text{readout noise (complete dynamics)}$  - nothing more required

**CCD used in astronomy typically encode on 12-16 bits**

Warning: the claimed depth (e.g., 16 bits) is not always reached ( $\leq$  irregular ramps)

## Digitisation (reminder)

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Same thing in colours



2 bits

(Nb of bits in each colour plane)



4 bits



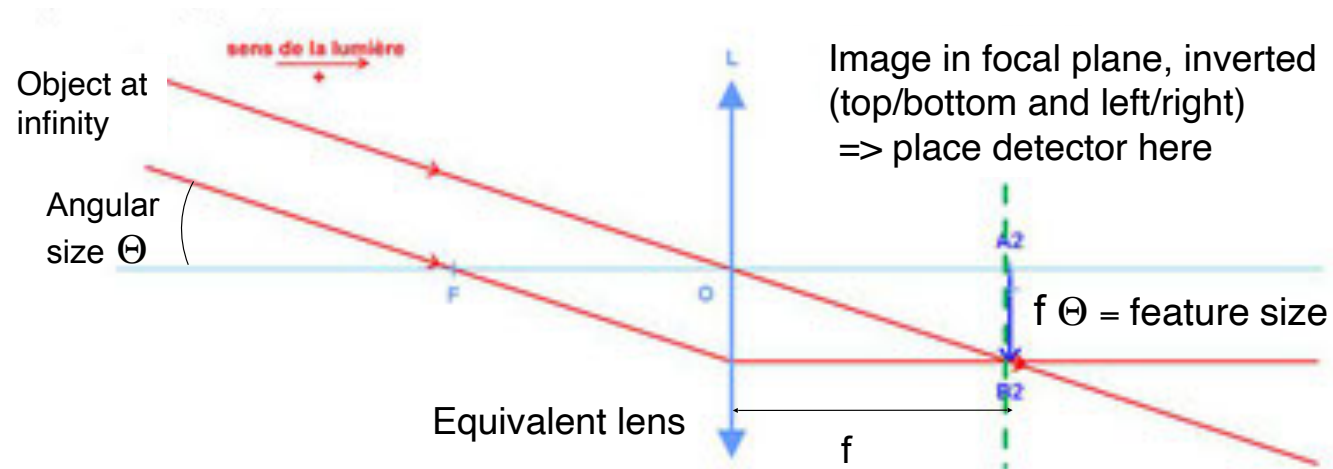
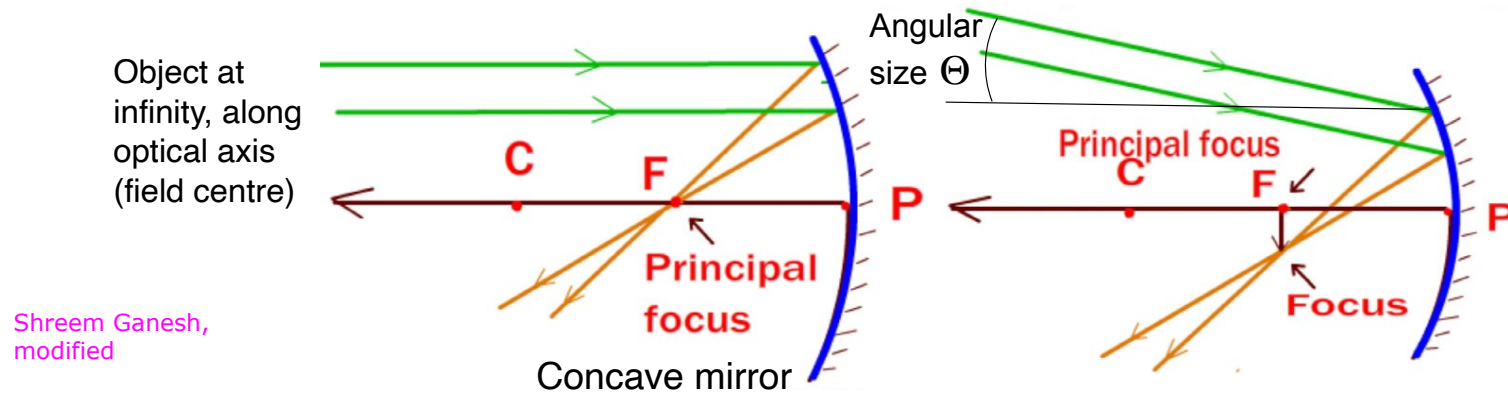
8 bits

=> Colours disappear

Details are lost in visual noise, lesser dynamics affects spatial resolution

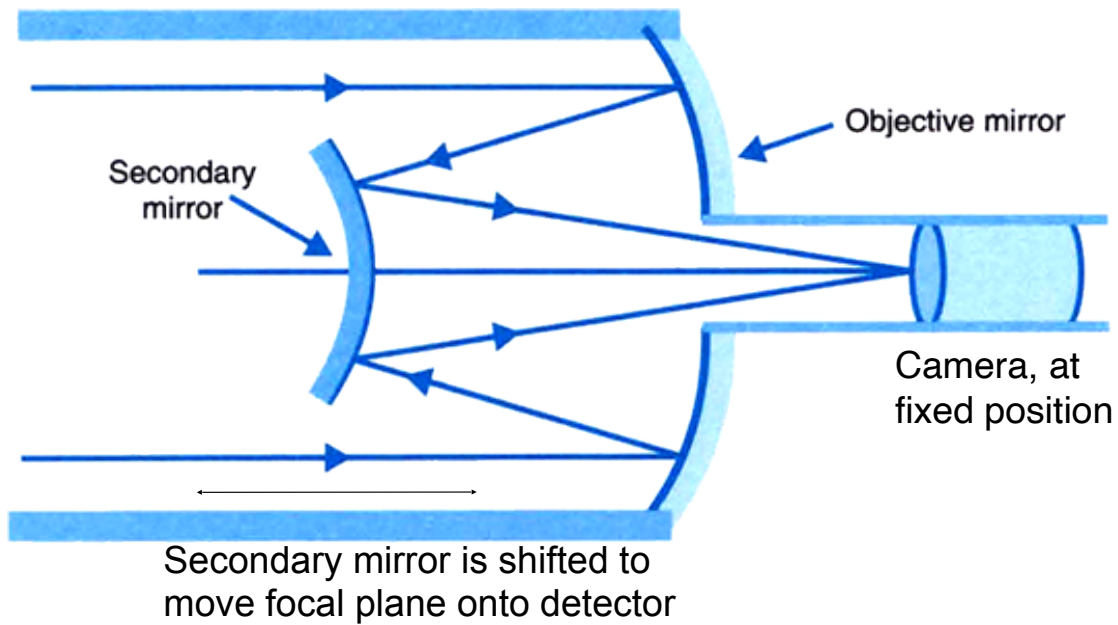


## Geometric optics (reminder)

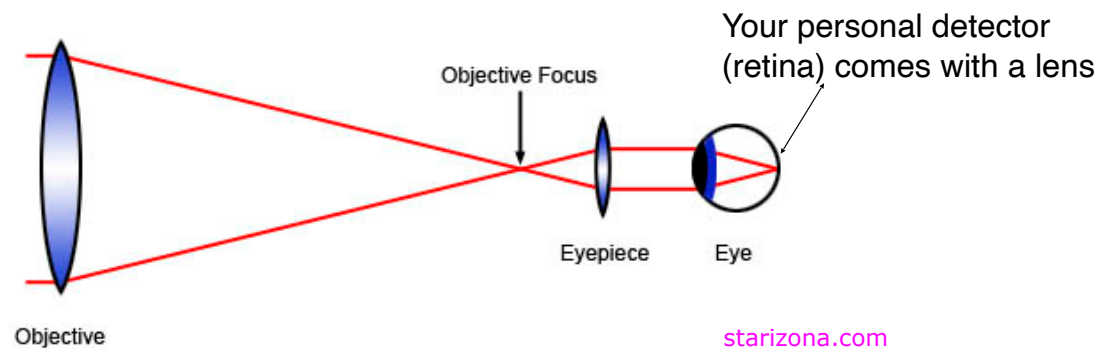
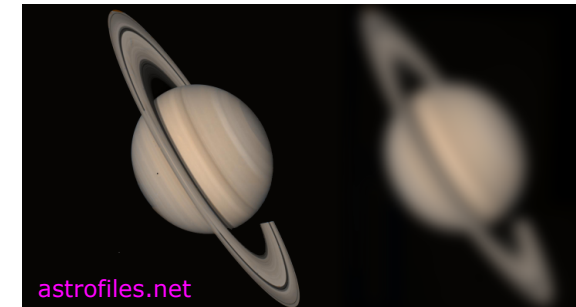
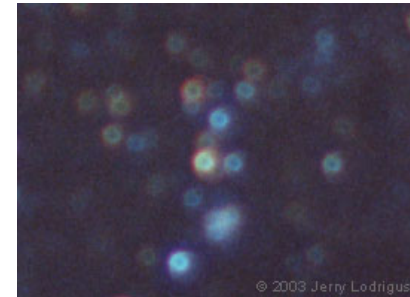




## Geometric optics (reminder)



Don't forget to focus!



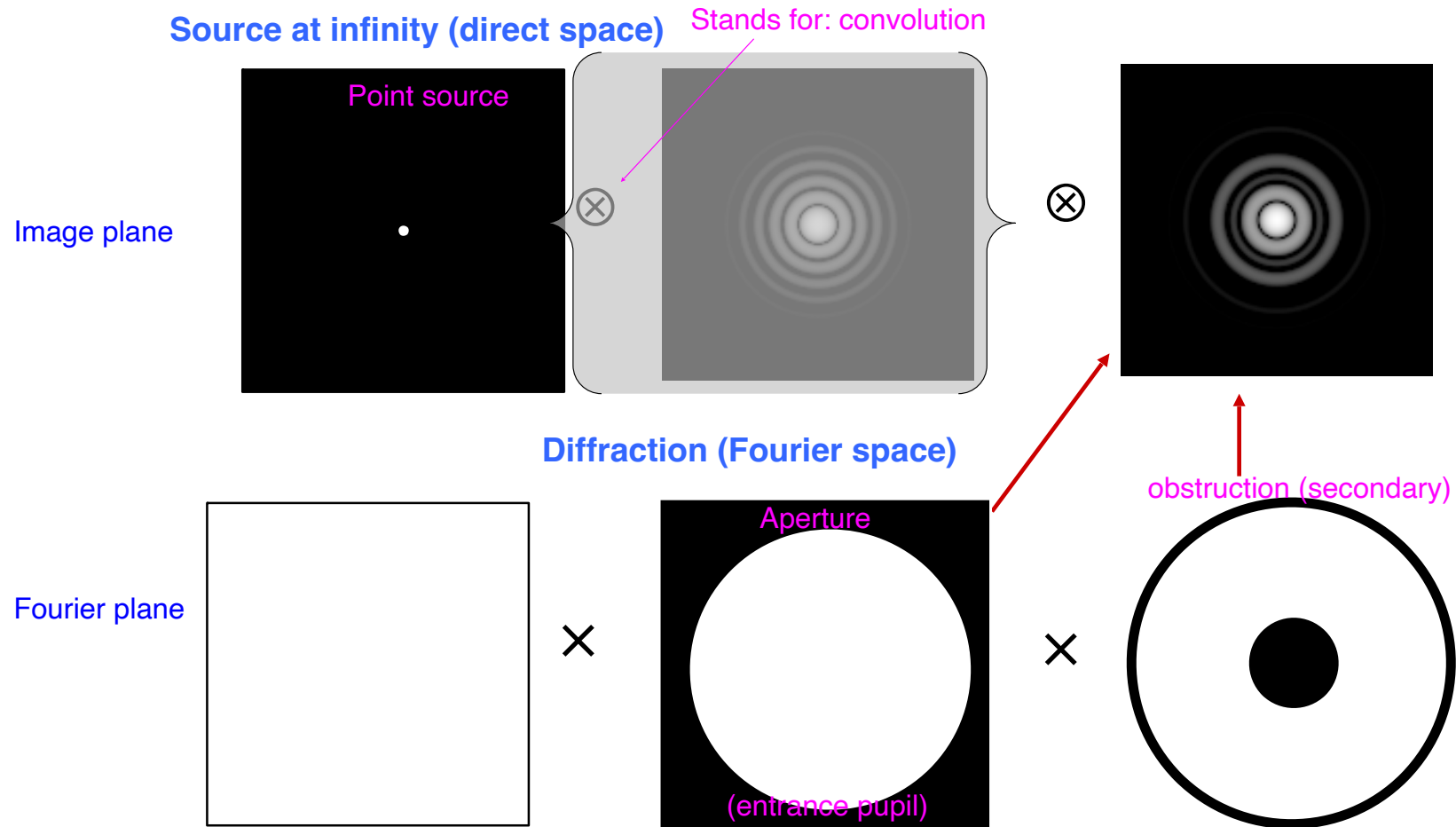
**Visual observations:**  
eyepiece needed to  
provide parallel rays to  
eye's inner lens

# Image formation (reminder)

## See your optics / instrumentation lectures

A lens = a machine to make Fourier transforms

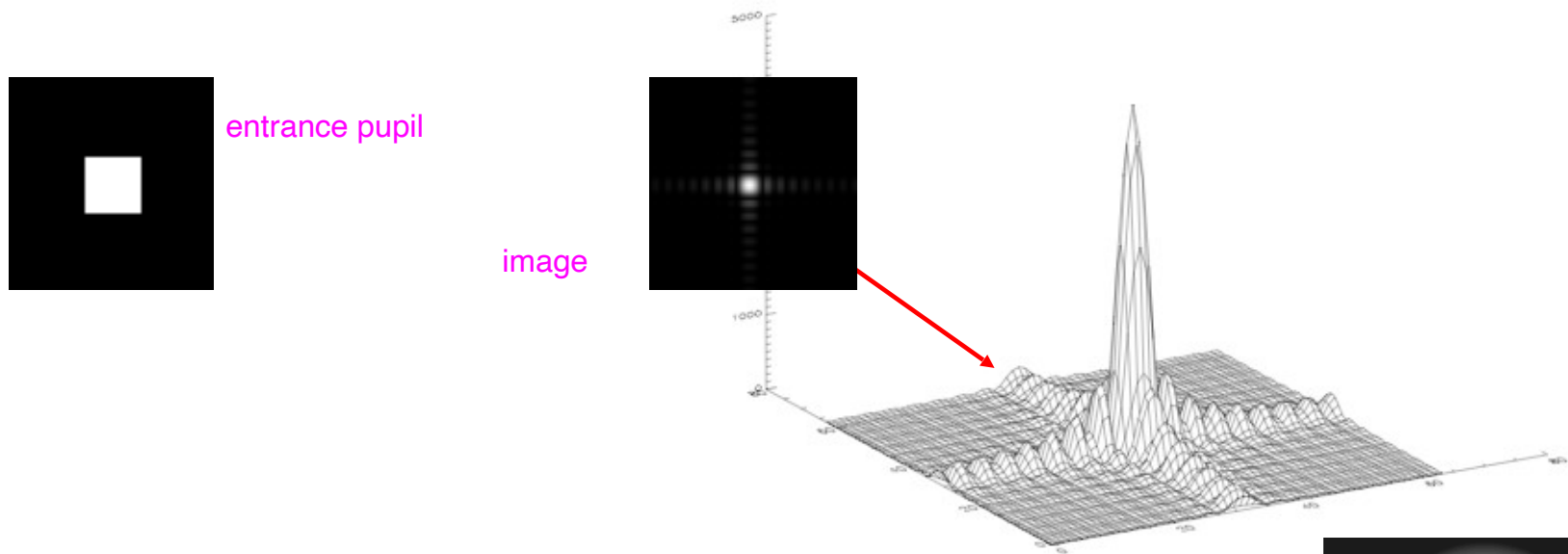
What is there in the light path?



## Image formation (reminder)

### See your optics / instrumentation lectures

- In the best possible conditions, the image of a point is an extended pattern
  - Entrance pupil illuminated by a distant source => **Image intensity = (FT of pupil)<sup>2</sup>**
  - Rectangular pupil, spectrometer slit => **Intensity in sinc<sup>2</sup> (French: sinus cardinal)**



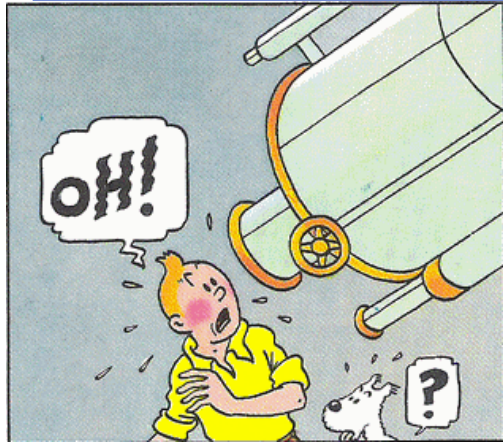
- Circular pupil => same with circular symmetry:  
**Airy function** (involves Bessel functions of the 1st kind)

=> The image of a point by a perfect optic with a circular pupil is a series of concentric, decreasing rings



Figure1 : Image d'une étoile

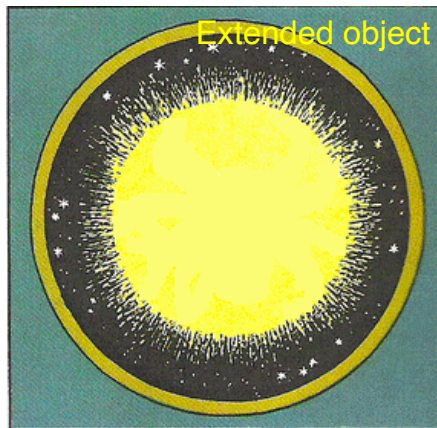
## The non-Fourierist spider



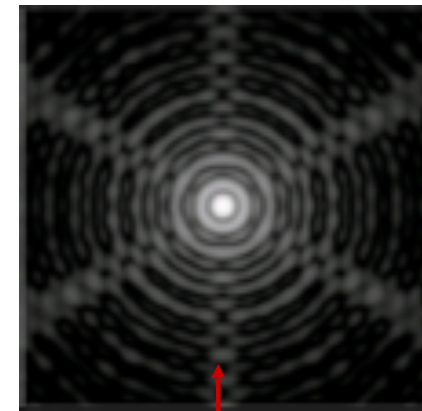
?

# Tintin and the non-Fourierist spider

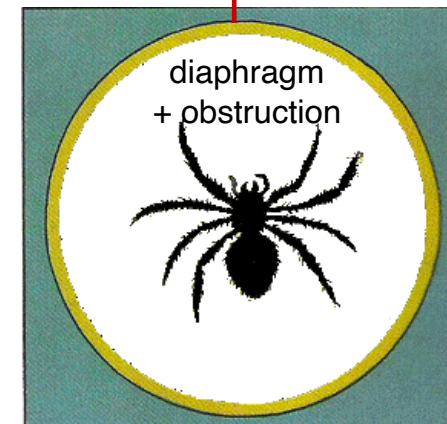
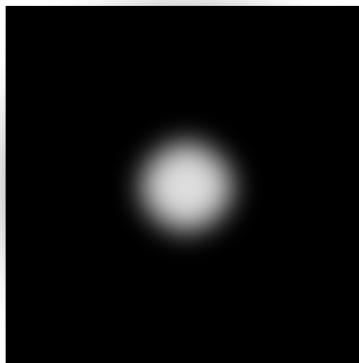
---



Source at infinity (direct space)



Diffraction (Fourier space)



## Image formation (reminder)

Practically:

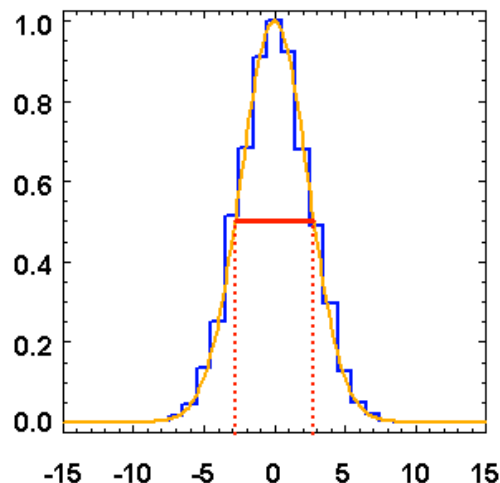
Impulse response in intensity = **Point-Spread Function (PSF)**

– French: Fonction d'étalement de point

~ **Gaussian profile** (FT of pupil spread by the atmospheric turbulence)

Image = object  $\otimes$  PSF

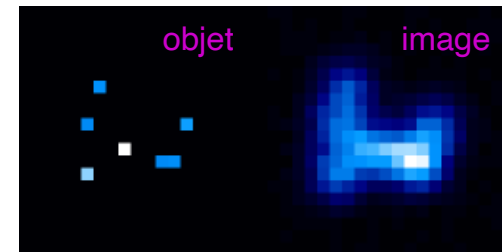
convolution



If PSF is compact with circular symmetry,  
characterised by Full-Width at Half-Maximum (FWHM)

Not necessarily uniform in the field of view  
Secondary lobes may be present

Broader PSF => blurred image



**Modulation Transfer Function (MTF)** ~ FT of PSF, normalised

Finite pupil => MTF with bounded support  $\Leftrightarrow$  filters high spatial frequencies

The larger the pupil/mirror, the more details you get (as long as there is no other diaphragm)

=> We're losing details because of the limited field of view

**(pupil = low-pass filter for spatial frequencies)**



## Dependences of PSF?

---

- **Telescope** (diametre D) :

**Angular resolution**  $\sim 1,22 \lambda / D$  (distance of first zero of Airy pattern  
= width of central peak, in radians)

Improves at shorter wavelengths and with larger mirror

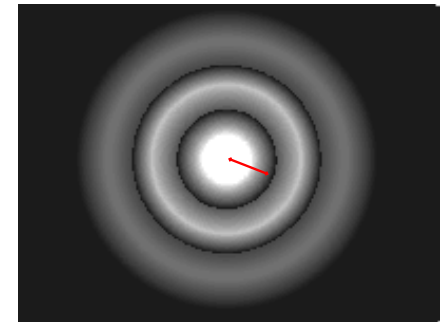


Figure1 : Image d'une étoile

- **Atmosphere** :

**Turbulence reduces angular resolution**

Turbulence cells, blurring the image  $\Rightarrow \sim 50$  cm telescope (= Fried parameter)

Improves at longer wavelengths (IR), short exposures, and in zenith direction

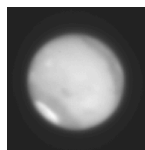
**Seeing:**

Estimate of resolution at time of observations

2" is very good, 0.5" is exceptional (= diffraction limit with  $D \sim 1$  m)

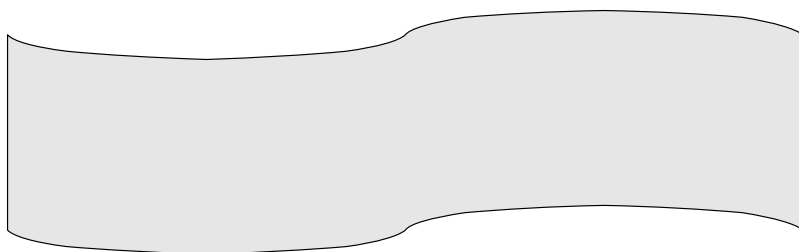
**How can we improve this?**

- remove atmosphere (orbital telescope)
- limit/correct turbulence (short exposures, speckle interferometry, Adaptive Optics)



**Object**

Atmosphere: usual limit of resolution  
(PSF  $\leq$  turbulence)



**Atmosphere**

Seeing = 0.4" (very good)



8 m VLT, no AO  
diffraction limit = 0.02"



30 cm tel  
diffraction limit = 0.4"

**Both provide the same angular resolution  $\sim 0.4''$**   
(but much better luminosity at VLT)

**Camera: samples the focal plane with step= pixel size**  
Px size/focal must *always* sample the PSF  $>$  Nyquist frequency  
— but not more  $\Rightarrow$  bin when seeing is not optimal

# Effects of the atmosphere

- **Turbulence / seeing**

Limitation in angular resolution (see below)

- **Absorption / transmission**

Depends on wavelengths (bands), variable with time

- **Scattering / extinction**

Depends on wavelengths, low frequency => spectral slope

May scintillate / twinkle => another source of noise

- **Refraction / dispersion**

Changes apparent direction of source, depends on wavelength

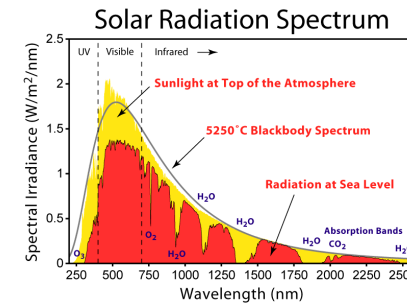
=> effect on spectra

- **Effects depends on atmospheric path length = airmass**

$X = 1 / \cos(\text{zenith angle})$

=> Observe as high as possible - typically at S meridian

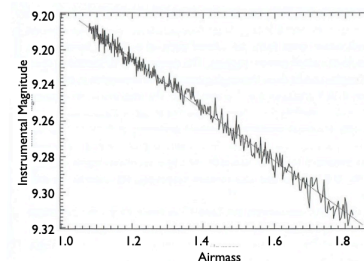
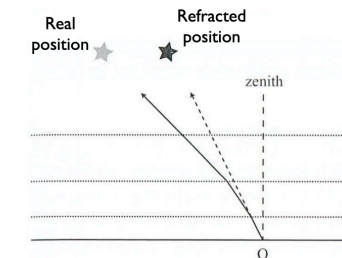
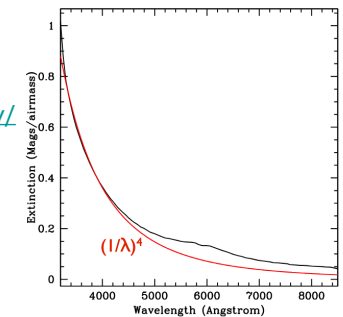
=> Measure and correct extinction (Bouguer law)



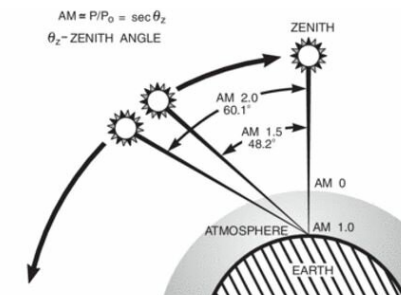
Wikipedia

Ph. Massey:

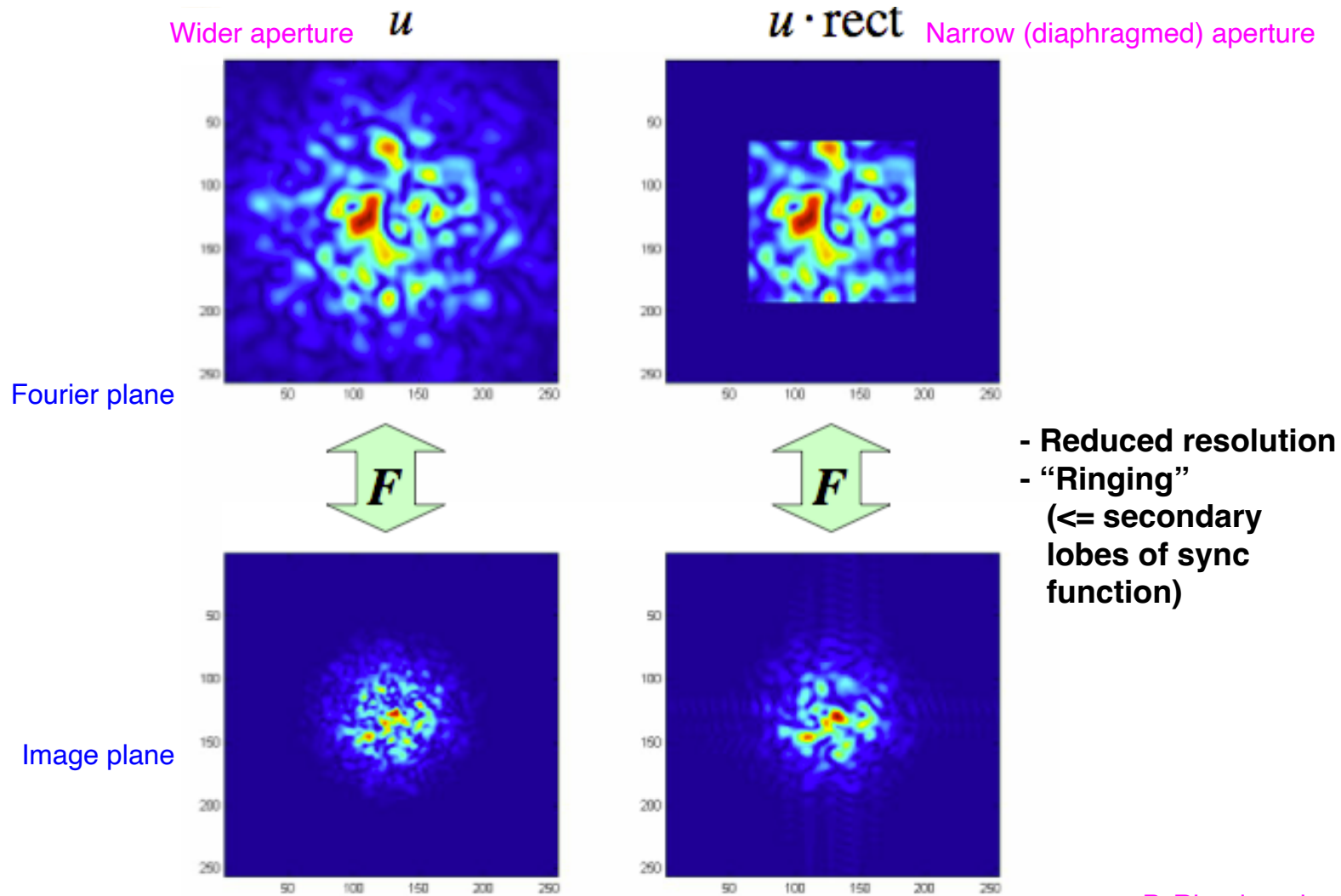
[http://www2.lowell.edu/users/massey/7\\_Atmosphere.pdf](http://www2.lowell.edu/users/massey/7_Atmosphere.pdf)



H. Al-Taani  
doi: [10.21625/archive.v3i1.441](https://doi.org/10.21625/archive.v3i1.441)



## Dependences of PSF? Field width



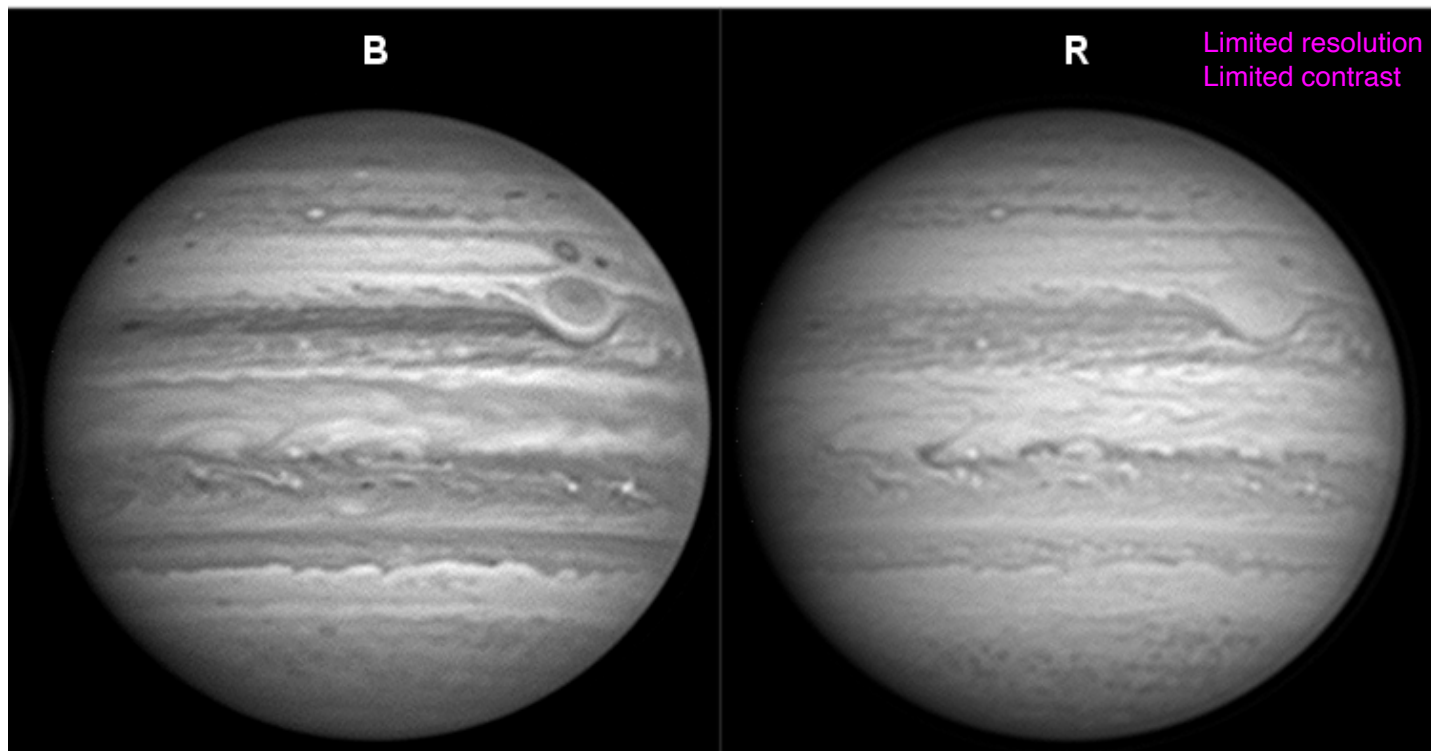
## Dependences of PSF? Optics and wavelength



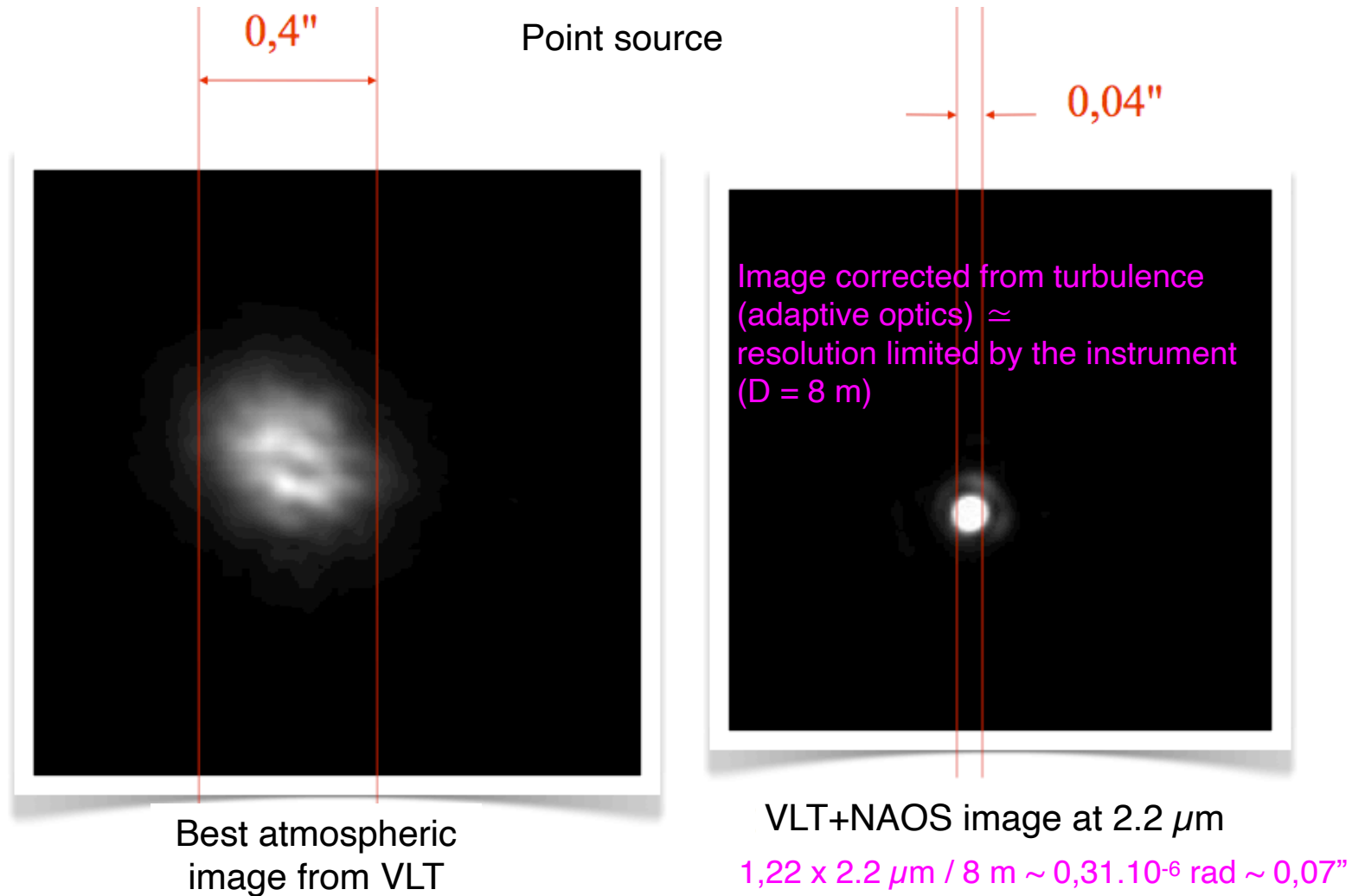
### Variation with wavelength: influence of optics

(conditions of a very stable atmosphere [quite rare] and a small telescope)

$D = 0,25 \text{ m}$



## Dependences of PSF? Turbulence



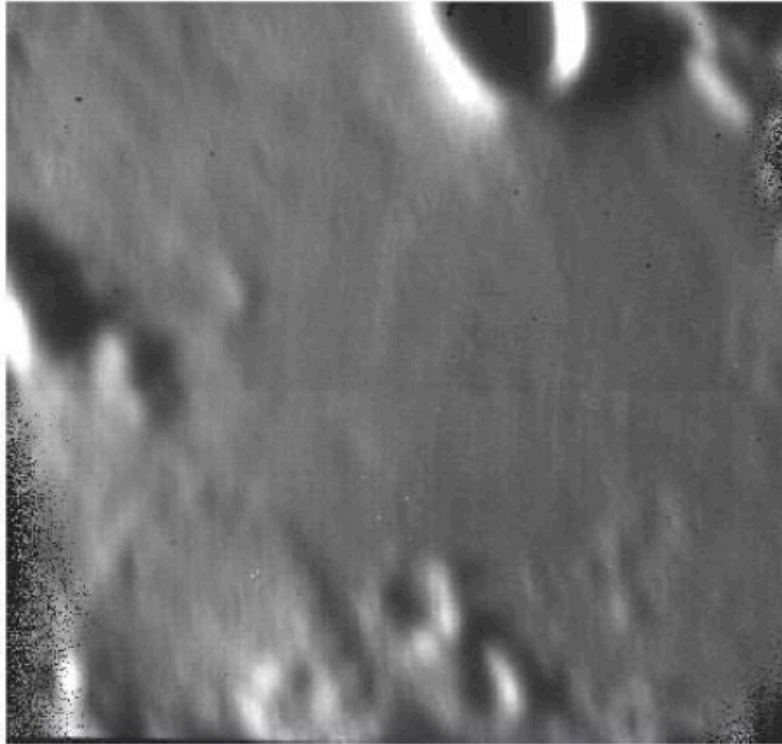
S. Lacour



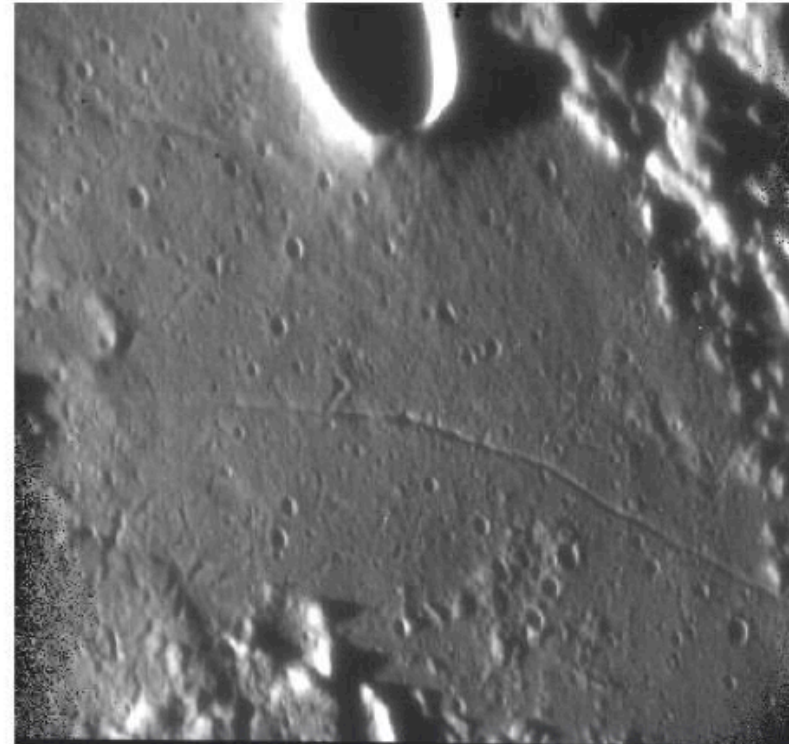
# Angular resolution

## Continuous field

Atmospheric image, object  $\otimes$  turbulence



AO corrected image, object  $\otimes$  instrument



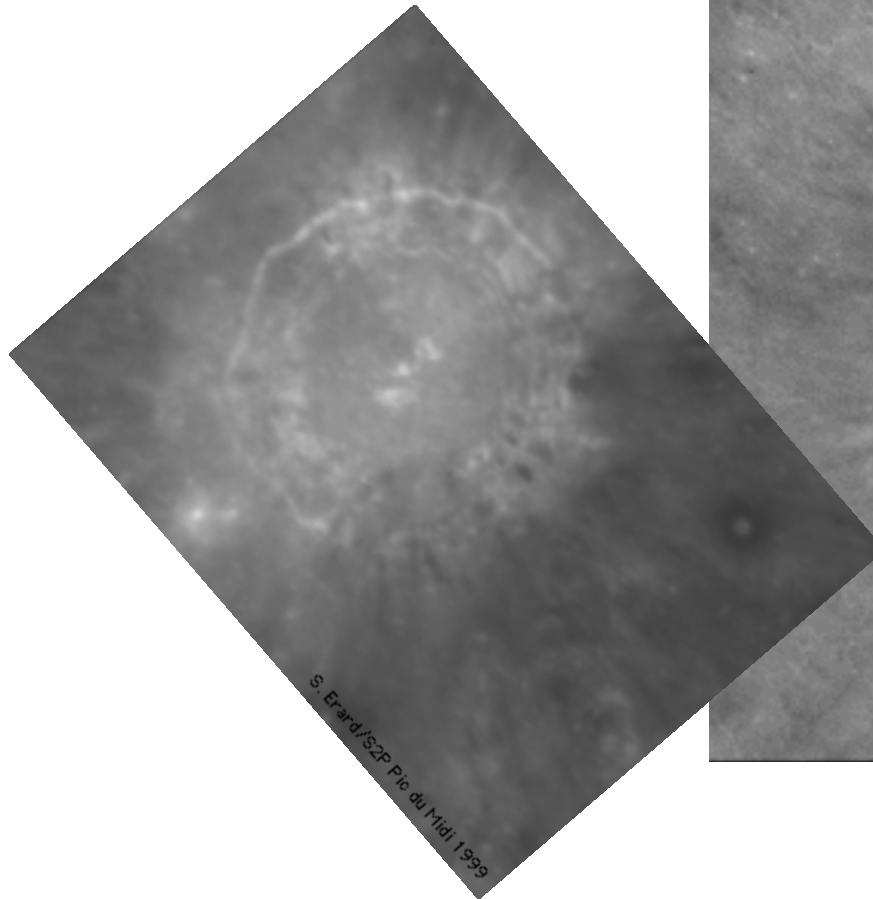
~60 km ~30 arcsec

# Angular resolution

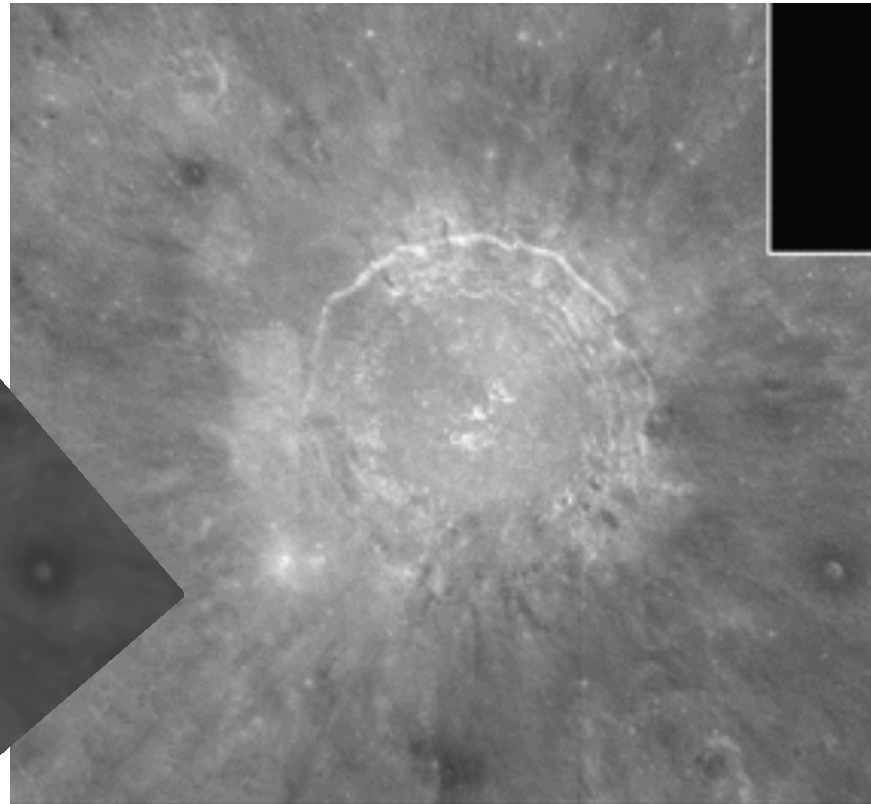
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## Continuous field

T1m / OMP (altitude 3000 m,  
short exposure, very good image)



HST (no turbulence, D = 2,4m)



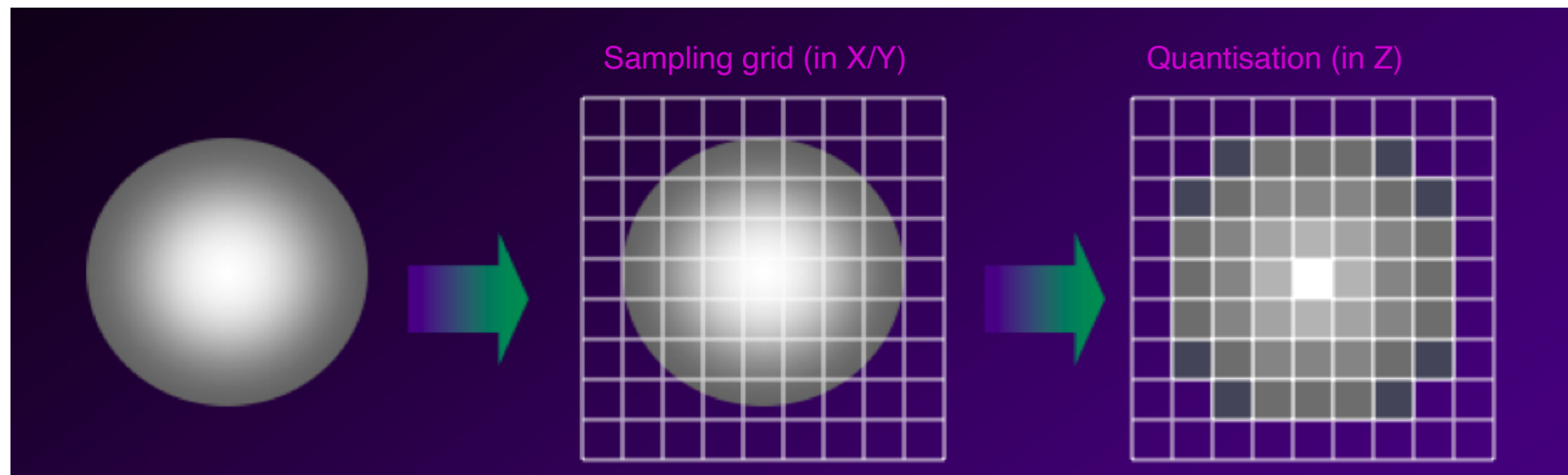
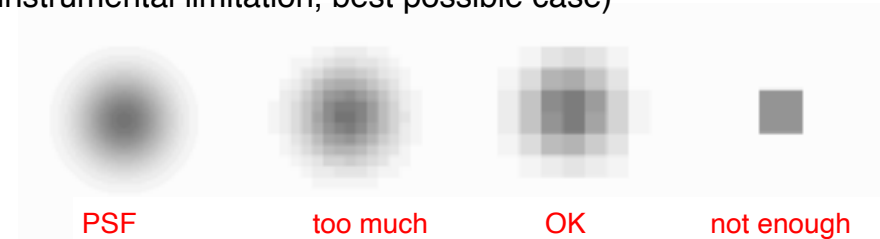
## Sampling of the image plane (reminder)

- Smallest resolved object =  $\Theta_{\min}$  (PSF size)
- Size of  $\Theta_{\min}$  in focal plane =  $f \times \Theta_{\min}$
- Shannon theorem: 2 measurement points / resolved element (ie: inside PSF)

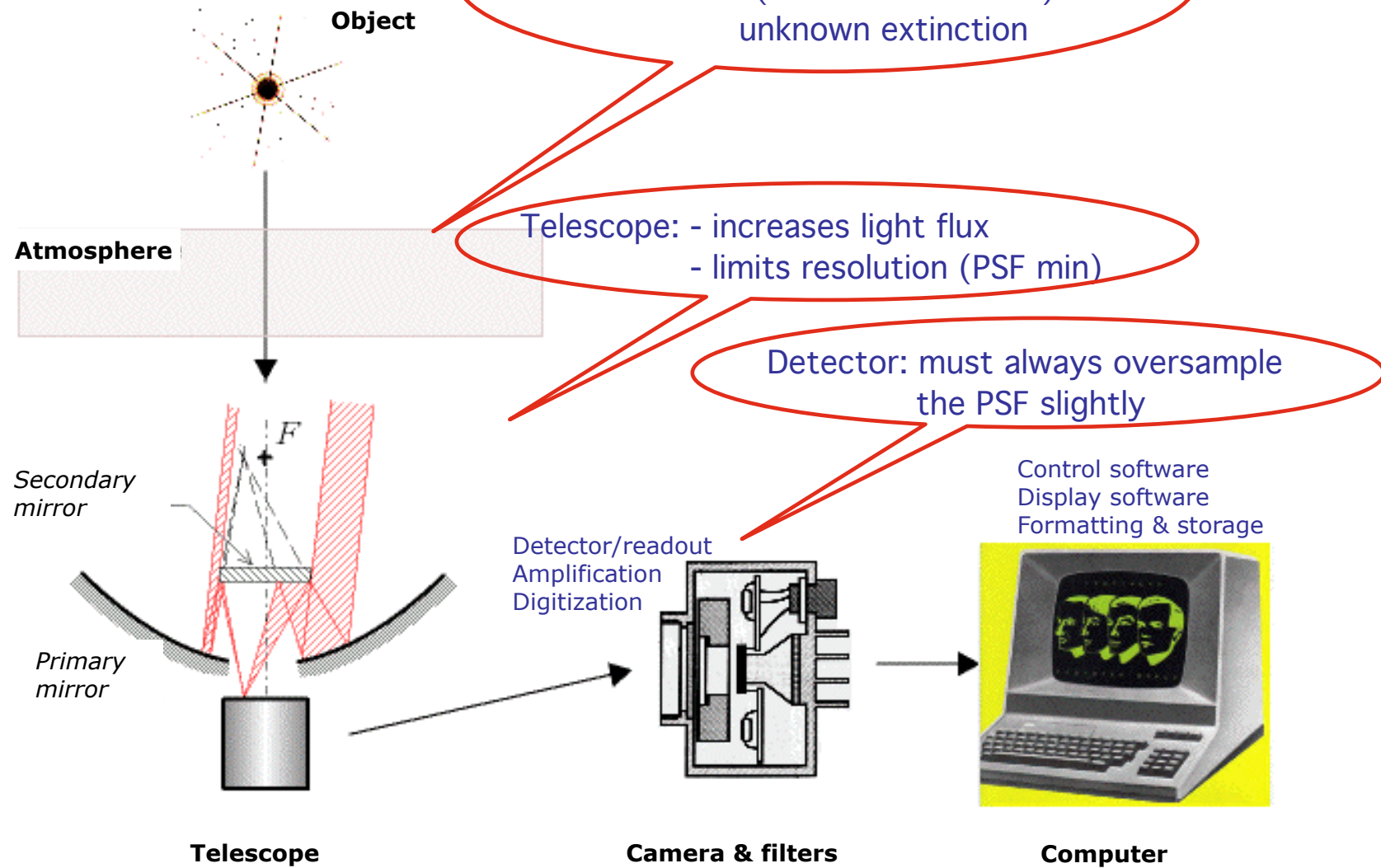
⇒ size of detector pixels =  $0,61 f \lambda / D$  (for instrumental limitation, best possible case)

**Resolution  $\neq$  pixel size!**  
**Resolution is driven by PSF size,**  
**not by pixel size**  
**which is always smaller**

**In non-optimal cases, binning is always preferred!**



## Influence of other elements



# Vade-mecum

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## To be optimised during acquisition

- **Observe targets when close to the S meridian** (highest elevation / minimum airmass)
- **Binning** (minimises readout noise, if no loss of resolution)
- **Exposure time** (max signal, no saturation)
- **Don't forget to focus!** — Estimate seeing (qualifies turbulence)
- **Maintain observation log** / take notes (events, doubts, questions...)

## After the fact (by software)

- **Stacks + summing / median**  $\leq$  centre on object
- **Calibration**
- **Further processing**





## Other things you can do with a telescope

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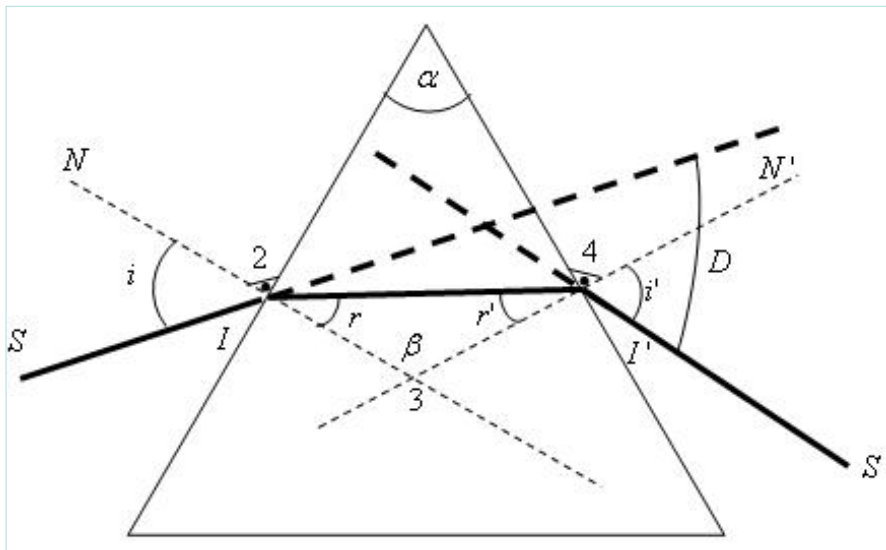
### Spectroscopy

- Disperse light in wavelength
  - ⇒ Estimate objects temperature
  - ⇒ Study of composition (emission or absorption lines)
  - ⇒ With high resolution: pressure, temperatures... (line profiles)

# Spectroscopy (reminder)

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## Prism



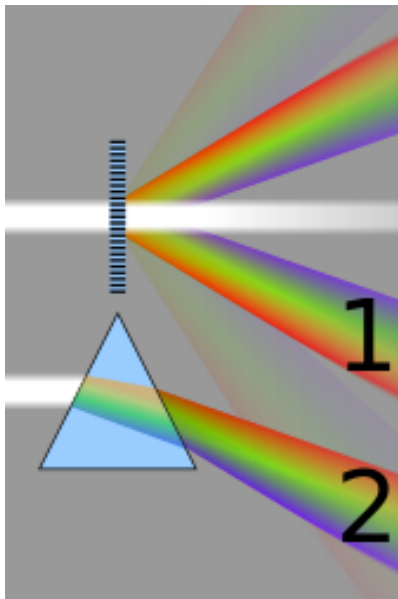
Index  $n$ , function of wavelength



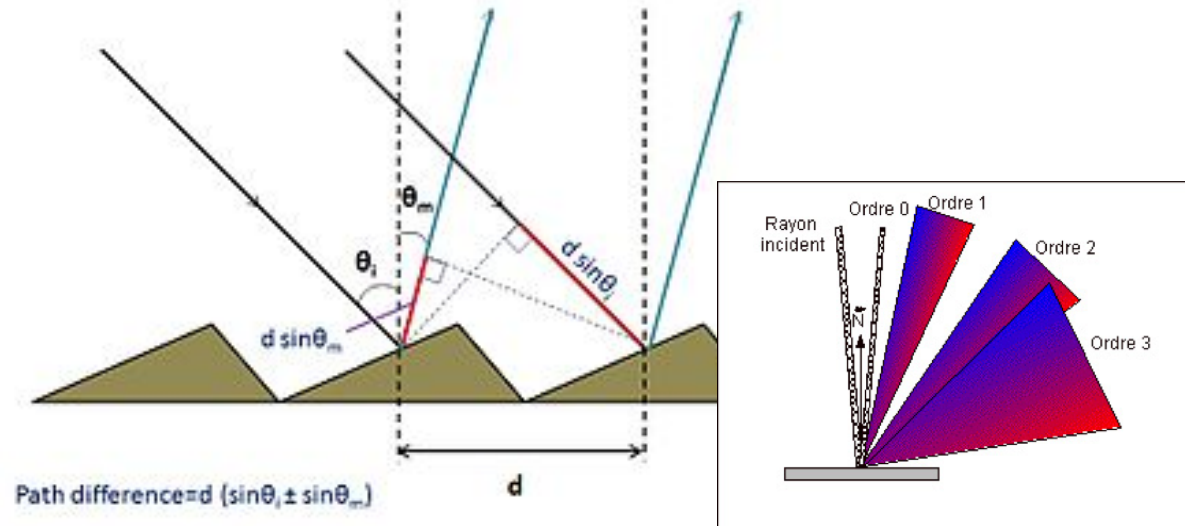
Refraction in different directions  
=> dispersion of light

# Spectroscopy (reminder)

## Transmissive diffraction grating



## Reflective diffraction grating



Constructive interferences in given directions  $\theta_m$  for a given  $\lambda$

$\Rightarrow$  Max luminosity at 
$$n_1 \sin \theta_m = n_1 \sin \theta_i - m \frac{\lambda}{d}$$

$d$  = grating line distance

$m$  = integer number  $\Rightarrow$  several spectra (successive grating orders)

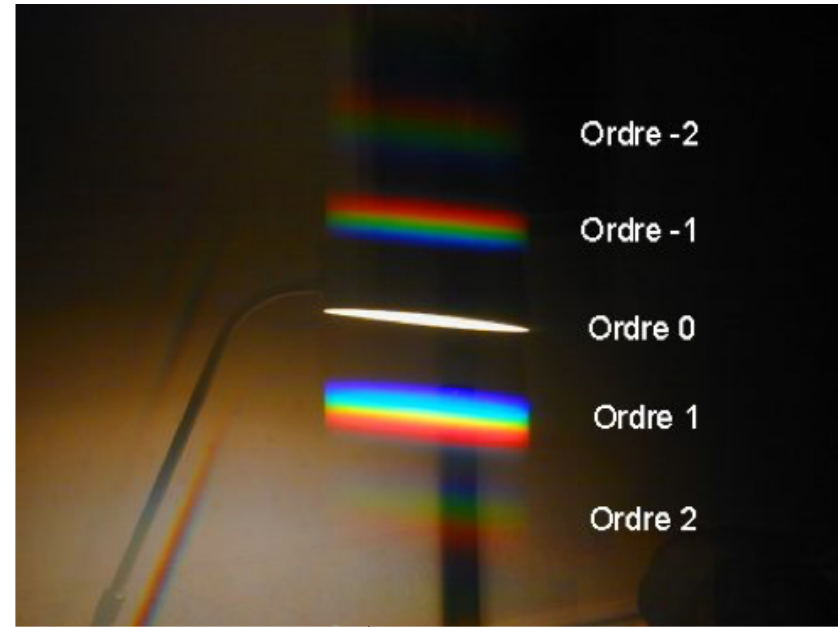
Order 0 is not diffracted, but reflected

# Spectroscopy

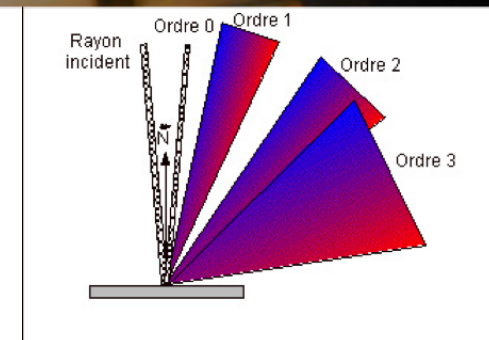
## Diffraction grating



Monochromatic source (laser)



White light

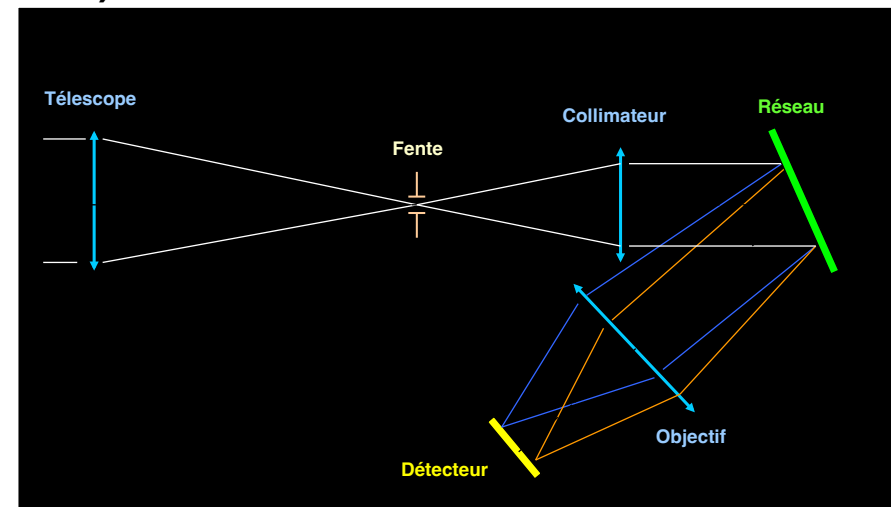


# Spectroscopy

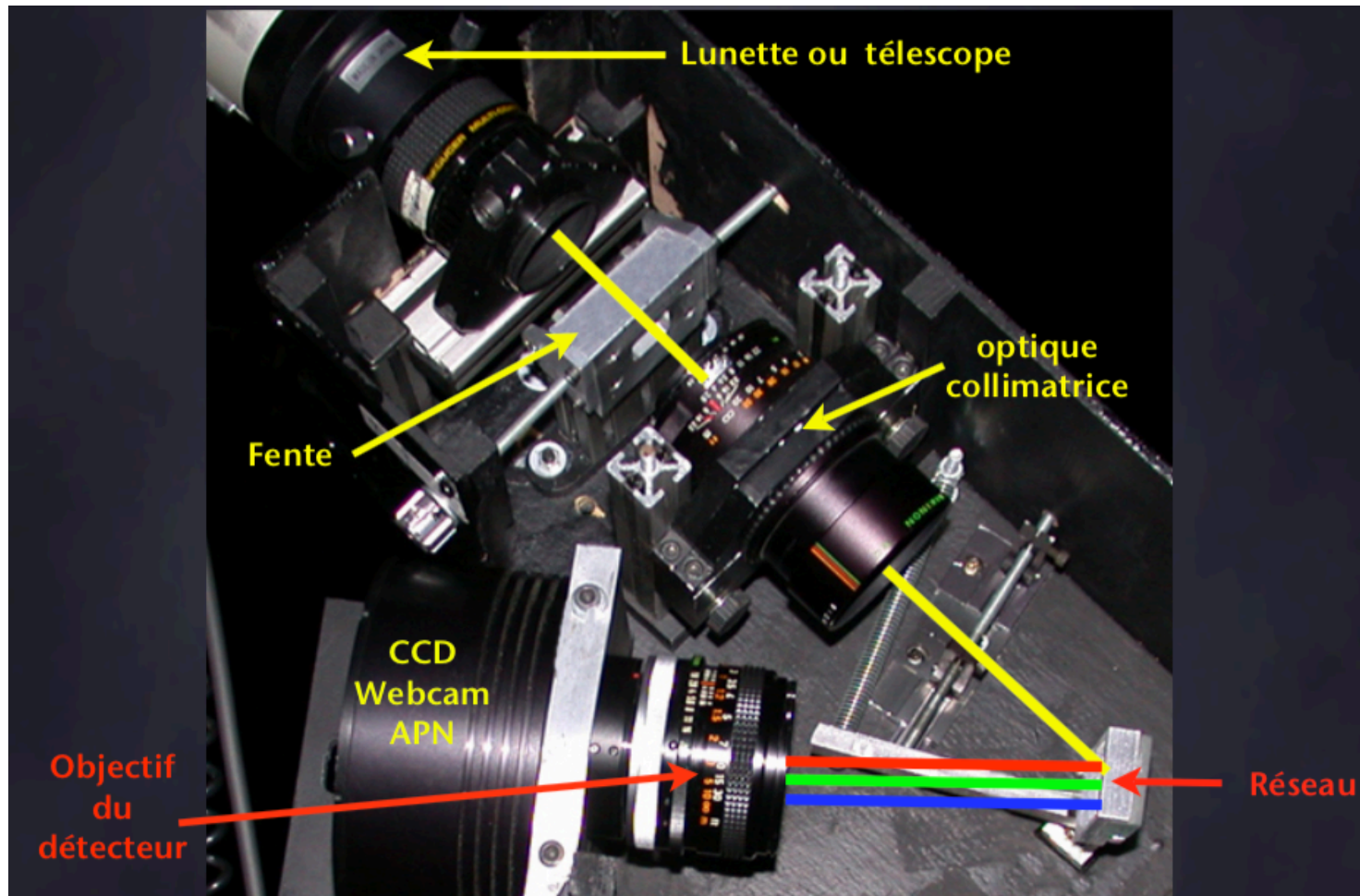
## Spectrometre

- Light from target is diffracted along a spectral direction  
⇒ Light beam is blocked in this direction to isolate objects  
⇒ Entry slit in orthogonal direction  
⇒ On the CCD: one spectral dimension, the other spatial
- Grating to be illuminated by a collimated beam  
⇒ Extra lens behind the telescope (collimator)
- Need to form an image after the grating  
⇒ Extra lens behind the grating (objective)
- If high dispersion:  
⇒ Rotate the grating to scan the complete spectral range

Littrow mount:  
A setup using 2 coinciding lenses  
(collimator = objective)



# Spectroscopy



<https://www.shelyak-instruments.com>  
20061111\_Olivier-Garde-Spectro.pdf



# Spectroscopy

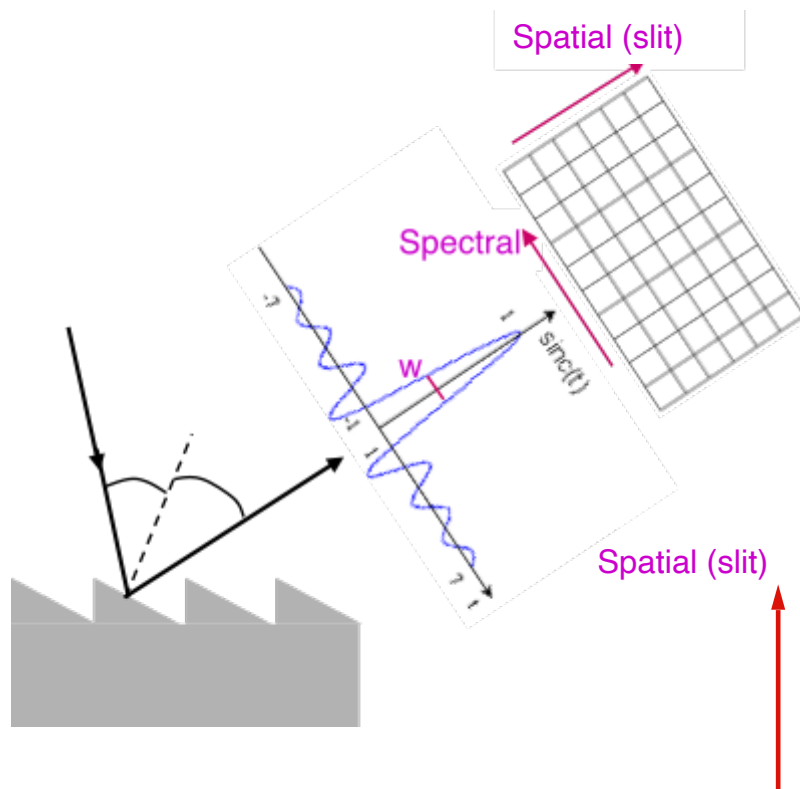
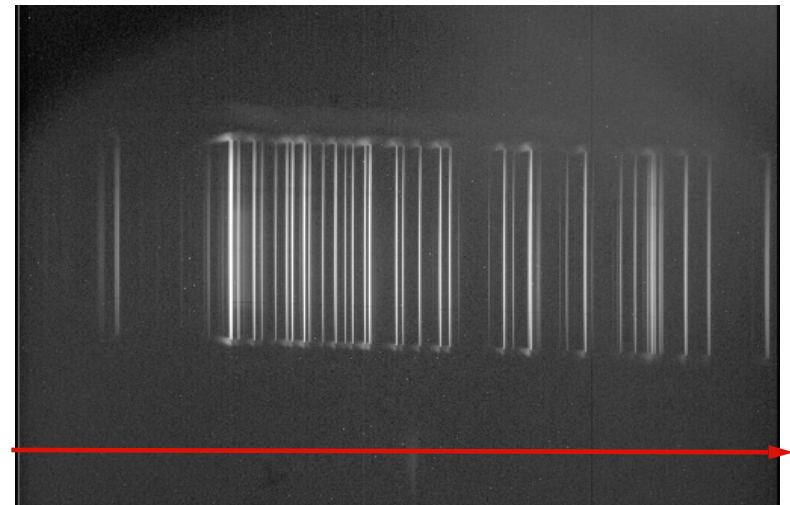


Image of the slit at various wavelengths

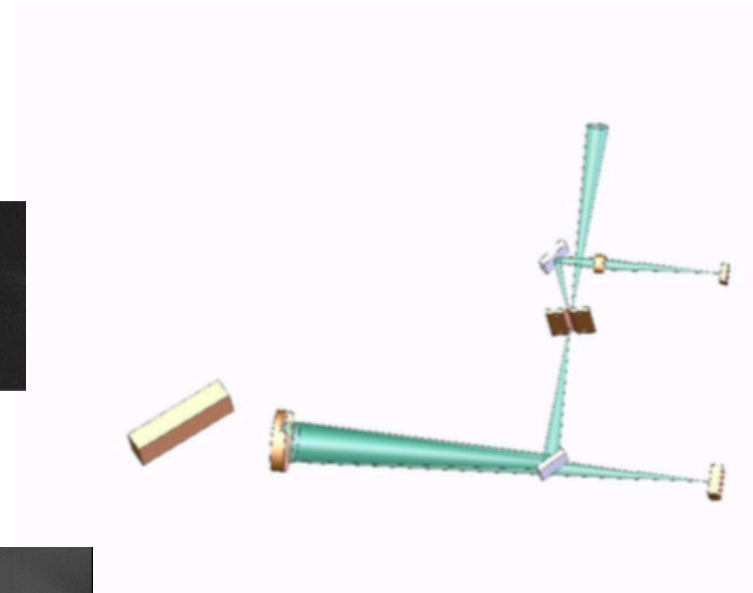
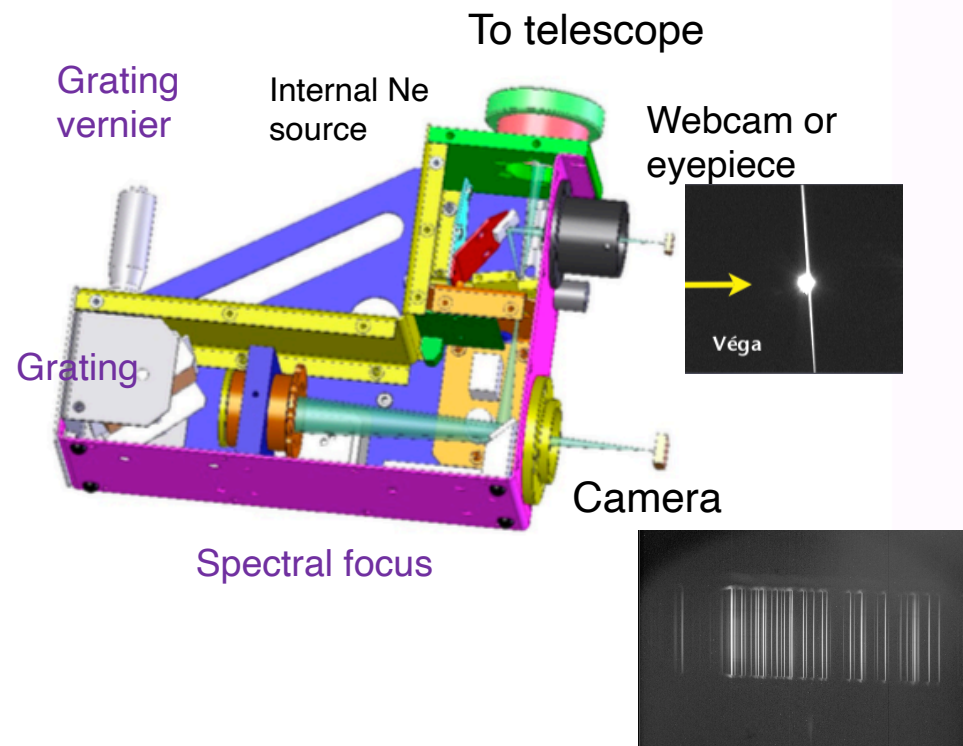
- Slit must be aligned on CCD array
  - Spectral axis must be calibrated
- ⇒ Reference sources with known spectral lines: Ne



Spectral ( $\mu\text{m}$ )

# Spectroscopy

## LHIRES



Littrow mount:  
A setup using 2 coinciding lenses  
(collimator = objective)

# Spectroscopy

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## LHIRES

### Settings:

- Install eyepiece instead of camera, focus
- Identify/note 3 fixed vernier positions to observe 3 overlapping parts of spectrum (red, green, blue) — use the internal source and ambient light
- Calibrate X-axis with internal source (Neon) on these 3 vernier positions:
  - Install and align camera (slit image must be // to Y-axis)
  - Focus (camera in lens focal plane => narrow lines; different from eyepiece)
  - Expose images for the 3 vernier positions
- At the telescope:
- With eyepiece or webcam:
  - Acquire target on slit and focus (slit in telescope focal plane, with webcam)
  - Toggle input mirror when done
- With camera:
  - Expose images for the 3 vernier positions